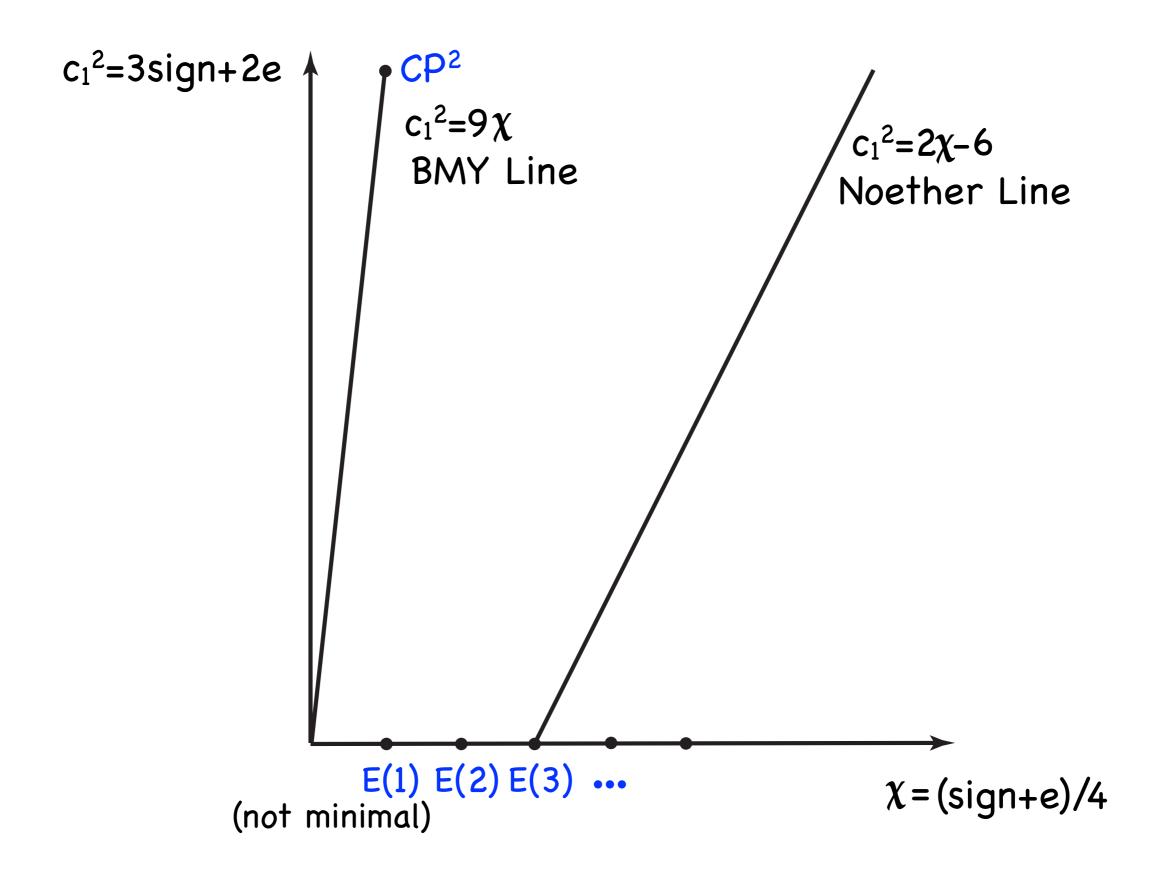
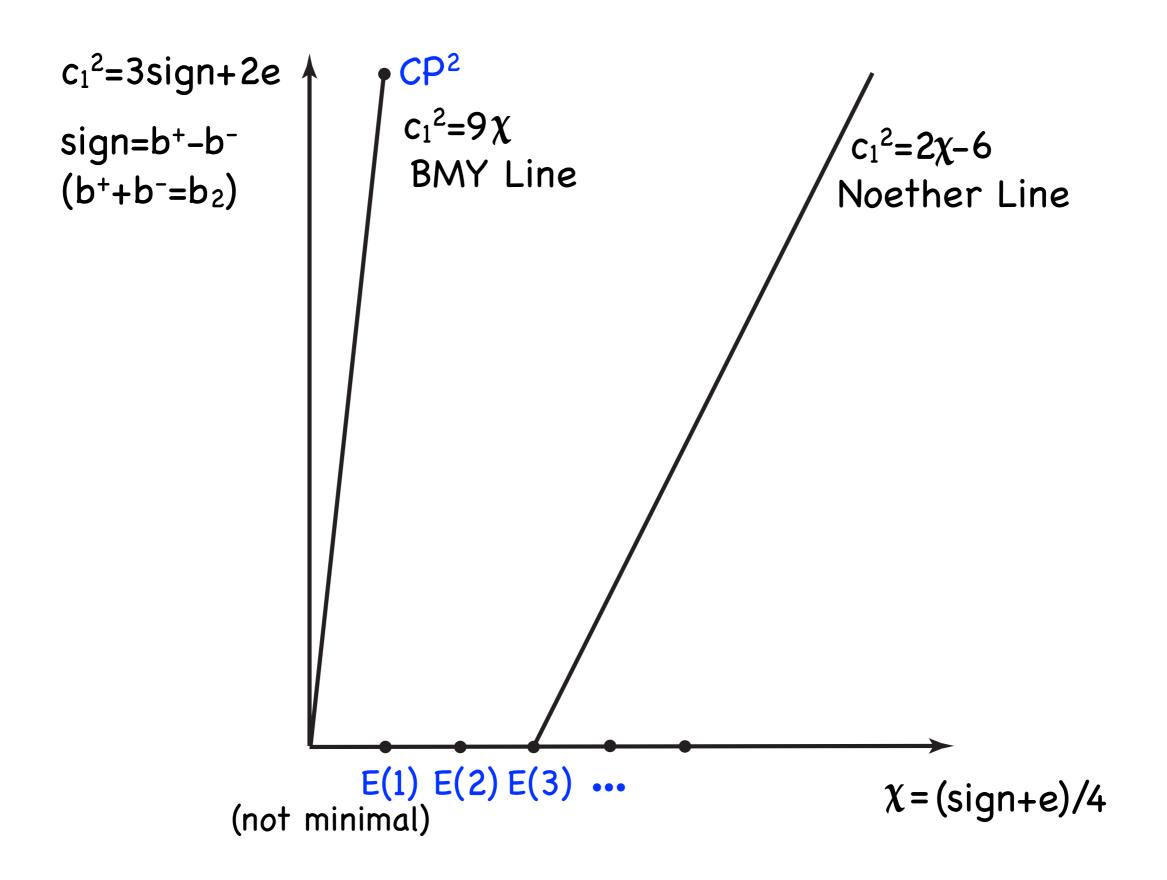


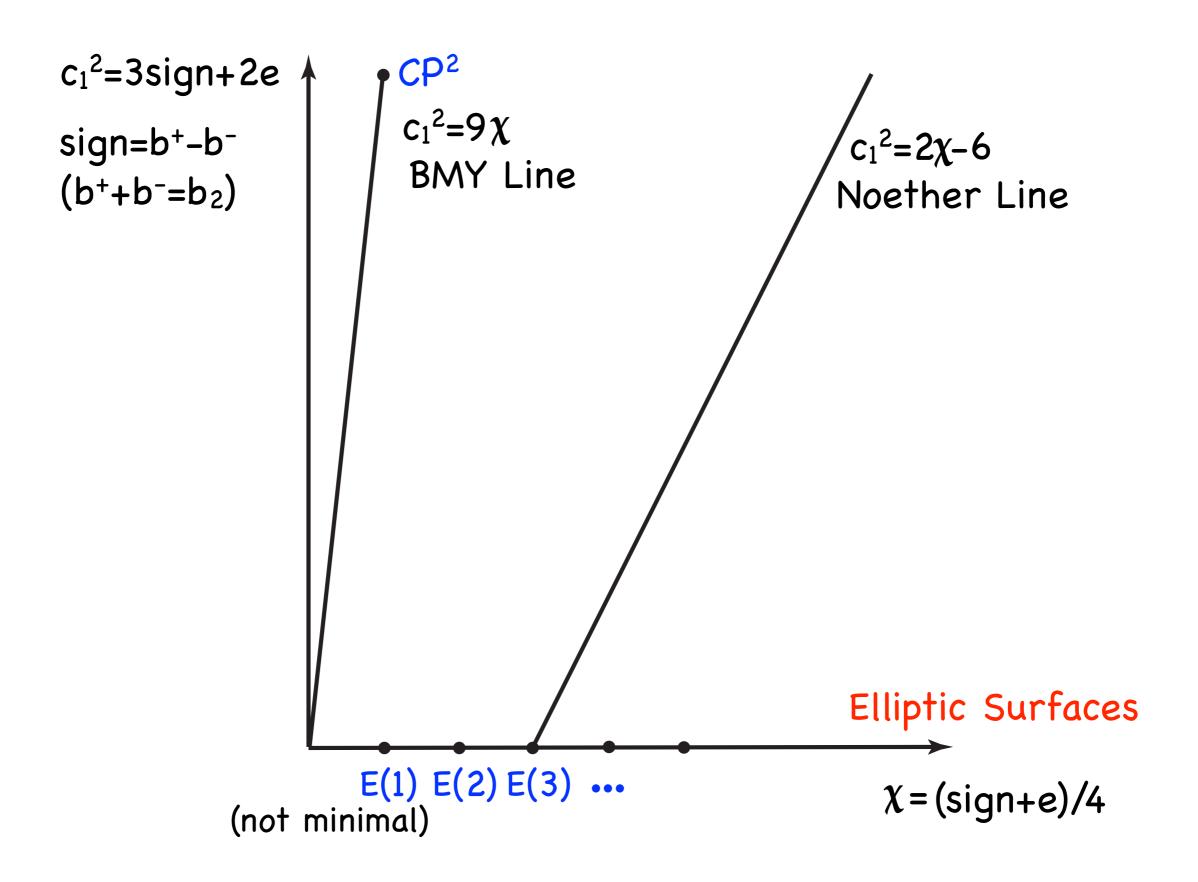
### Smooth 4-Manifolds: 2011

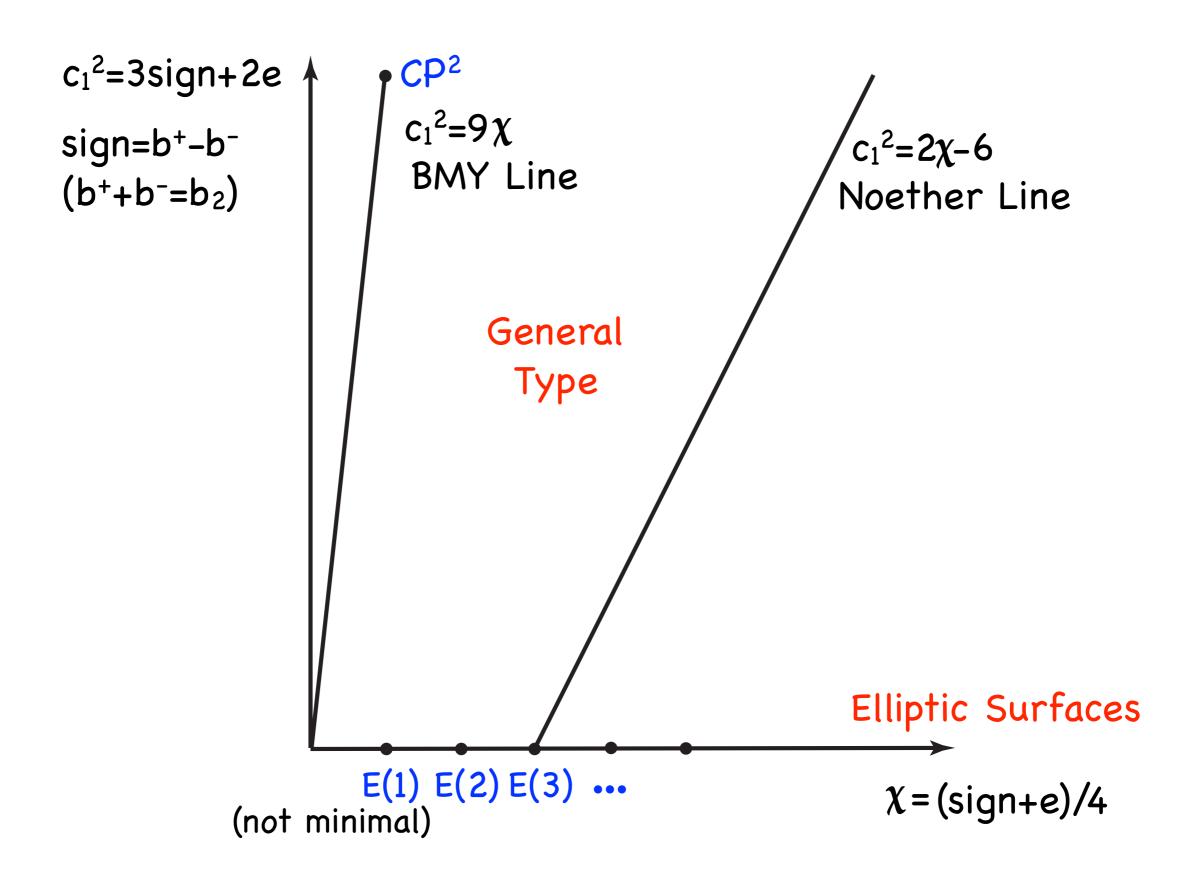
### Ron Fintushel

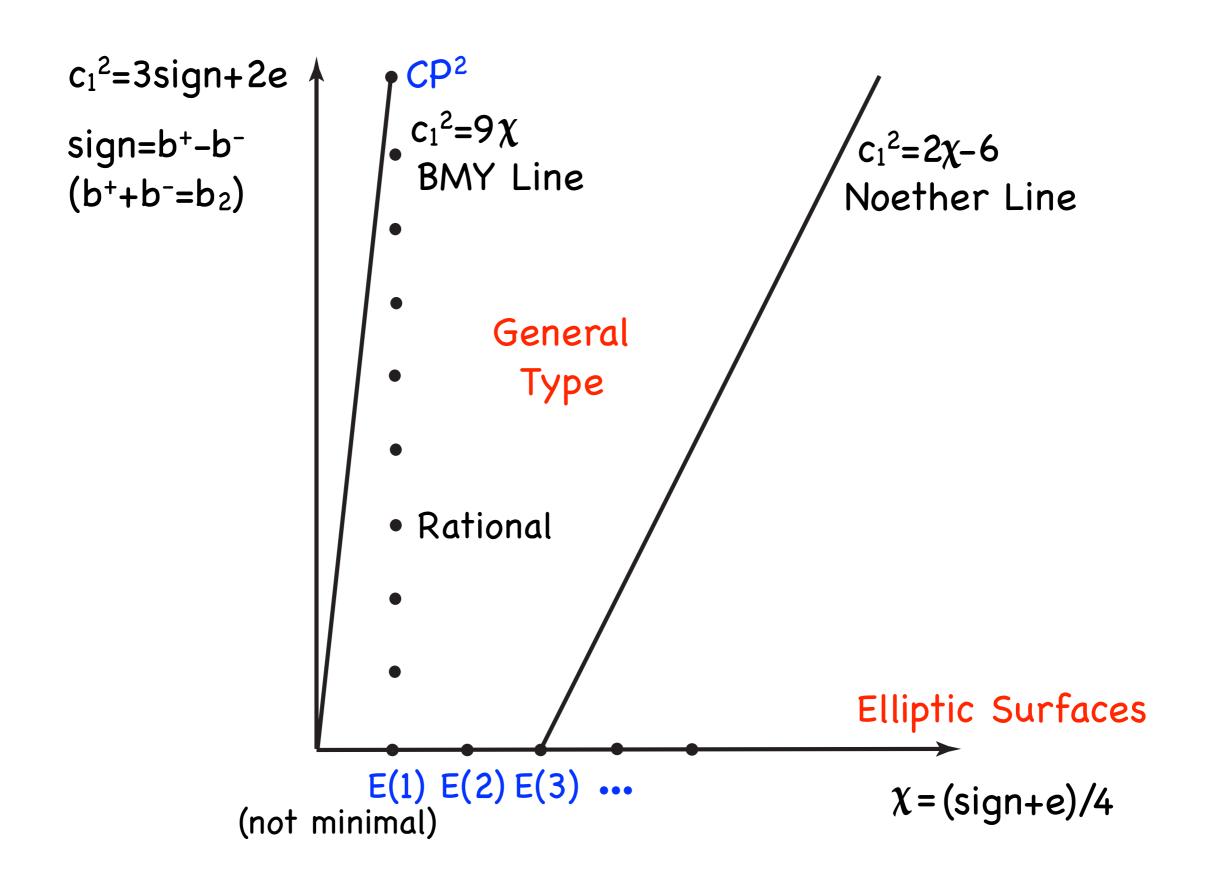
Michigan State University

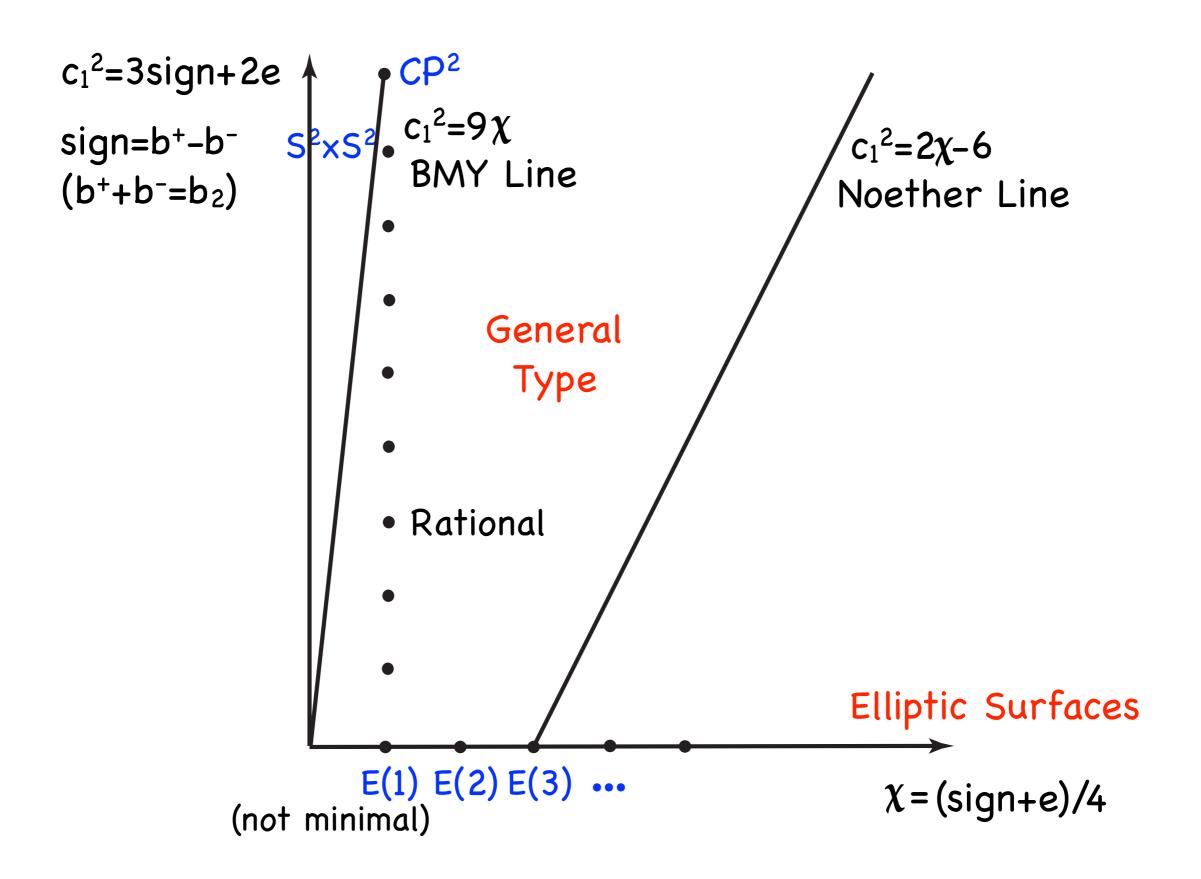


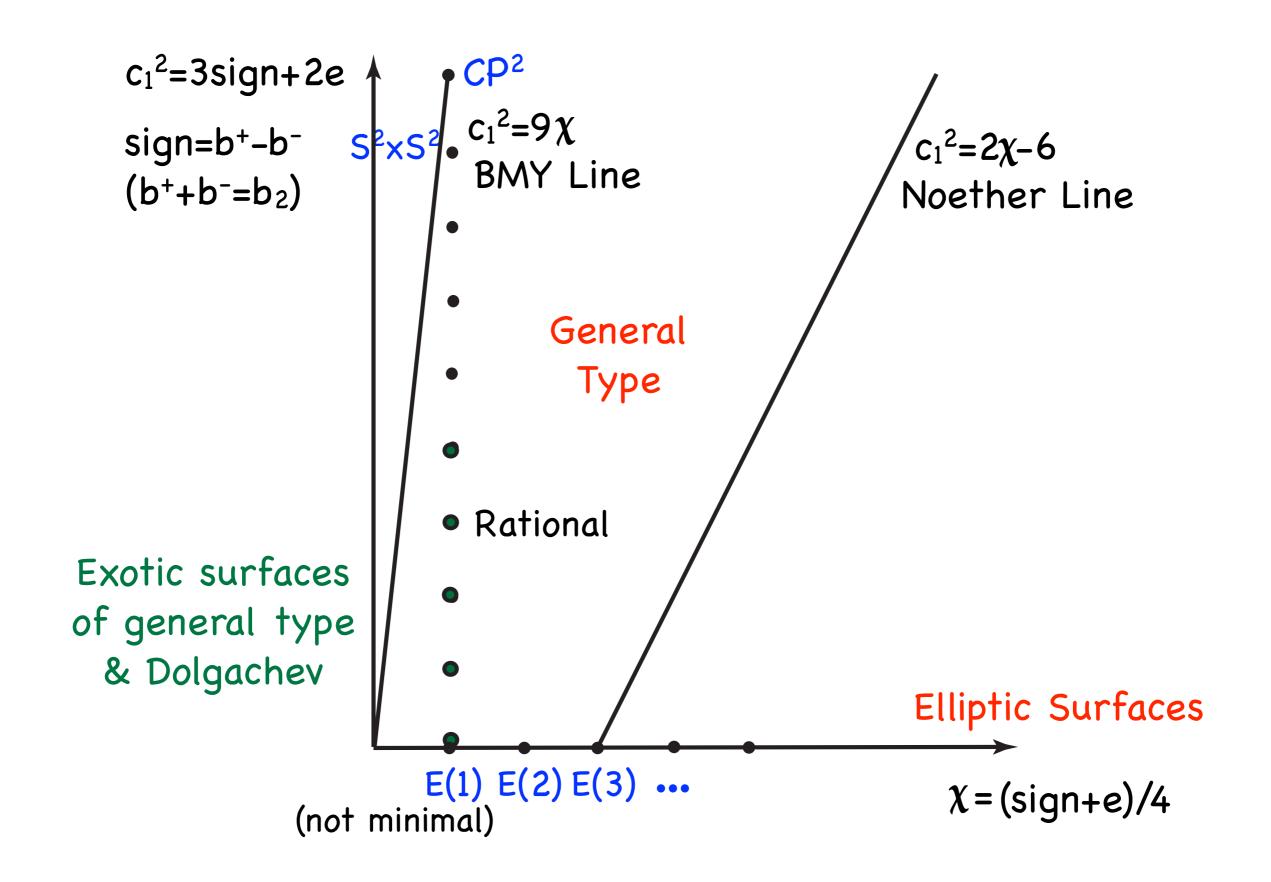












Smooth simply connected 4-manifolds with b<sup>+</sup>=1 classified up to homeomorphism by Freedman's Th'm:

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⇒ Homeo type of s.c. smooth 4-mfd w/ b+=1 determined by Type (even, odd) rank of H<sub>2</sub>

## Elliptic Surfaces

Elliptic Surfaces  

$$E(1)=CP^2\#9\overline{CP}^2$$
  $T^2 \rightarrow E(1)$  Elliptic fibration  
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> For elliptic surfaces with cusp fibers the result of a log transform depends only on the multiplicity. True (up to diffeo) if simply connected.

### b<sup>-</sup>=9 Dolgachev surfaces $E(1)_{p,q}$ = result of mult. p and q log transforms (p,q rel prime and $\geq 2$ )

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b<sup>-</sup>=8 Barlow surface

homeo to CP<sup>2</sup>#8CP<sup>2</sup> not diffeo Kotschick, 1989

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For b<sup>+</sup>=1, minor complications arising from reducible solutions to SW eq'ns for some metrics. Get inv'ts SW<sup>±</sup> and these determine SW.

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Log transform formula:  $SW_{X_p} = SW_X \cdot (t^{p-1} + t^{p-3} + ... + t^{3-p} + t^{1-p})$ where t= multiple fiber; so  $t^p$ =fiber

Works for SW<sup> $\pm$ </sup> when b<sup>+</sup>=1. Can use to compute SW.

E.g. 
$$SW_{E(1)_{2,3}} = t^{-1} - t$$

# Knot Surgery

T: homologically  $\neq 0$ , square 0 torus  $\subset X$ 

K: knot in  $S^3$  X<sub>K</sub>= (X-(TxD<sup>2</sup>)) $\cup$ (S<sup>1</sup>x(S<sup>3</sup>-N<sub>K</sub>))

glued so that (long. of K)  $\leftrightarrow \partial D^2$ 

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 $\bullet X_{K:}$  same int. form as X

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- $\bullet X_{K:}$  same int. form as X
- • $\pi_1(X)=1$  and  $\pi_1(X-T)=1 \Rightarrow \pi_1(X_K)=1$

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• $X_{K:}$  same int. form as X

• $\pi_1(X)=1$  and  $\pi_1(X-T)=1 \Rightarrow \pi_1(X_K)=1 \Rightarrow X_K$  homeo to X

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• X<sub>K:</sub> same int. form as X •  $\pi_1(X)=1$  and  $\pi_1(X-T)=1 \Rightarrow \pi_1(X_K)=1 \Rightarrow X_K$  homeo to X <u>Knot Surgery Th'm</u> (F-Stern). SW<sub>X<sub>K</sub></sub>=SW<sub>X</sub>• $\Delta_K(t^2)$  (b<sup>+</sup>>1)

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T: homologically  $\neq 0$ , square 0 torus  $\subset X$ K: knot in  $S^3$  $X_{K} = (X - (T_X D^2)) \cup (S^1 \times (S^3 - N_K))$ qlued so that (long. of K)  $\leftrightarrow \partial D^2$ • $X_{K}$ ; same int. form as X • $\pi_1(X)=1$  and  $\pi_1(X-T)=1 \Rightarrow \pi_1(X_K)=1 \Rightarrow X_K$  homeo to X <u>Knot Surgery Th'm</u> (F-Stern).  $SW_{X_{\kappa}}=SW_{X}\cdot\Delta_{K}(t^{2})$  (b<sup>+</sup>>1)

•Works for SW<sup>±</sup> when b<sup>+</sup>=1

Consequence: K=n-twist knot,  $SW_{E(1)_{K}}=n(t^{-1}-t)$  $\Rightarrow$  no two diffeo, all homeo to E(1)

Usual blowdown:  $S^2 \subset X$ , square -1,  $N_{S^2} = \overline{CP}^2$ -ball  $\partial N_{S^2} = S^3$  Trade  $N_{S^2}$  for  $B^4$ , get  $\overline{X}$   $b_{\overline{X}}^- = b_{\overline{X}}^- - 1$  $SW_X = SW_{\overline{X}} \cdot (\epsilon + \epsilon^{-1})$ 

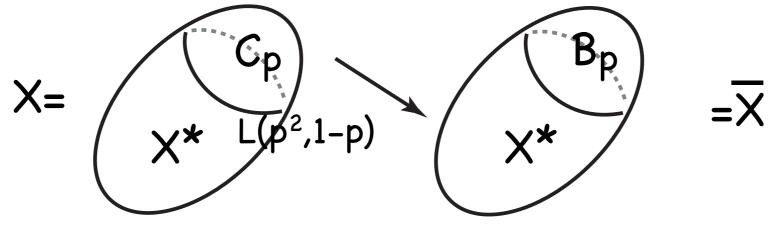
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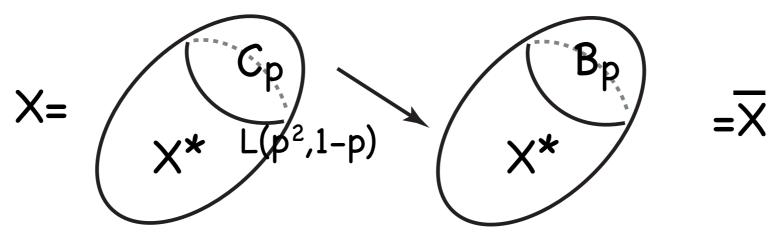
 $S^2$ : -4 sphere  $\subset X$ ,  $N_{S^2} = \overline{CP}^2 - N_{RP^2}$ 

Blowdown -4 sphere: replace  $N_{S^2}$  with  $N_{RP^2_{C}CP^2}$  $N_{RP^2}$  has  $\pi_1=Z_2$  and is a Q-homology ball

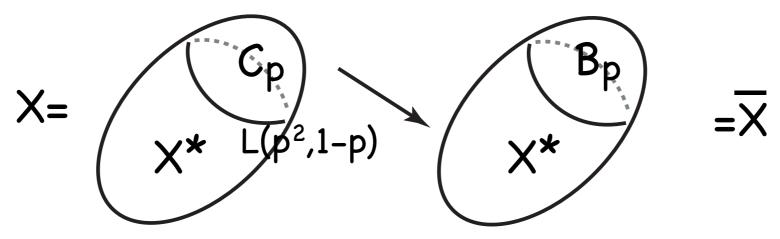
Usual blowdown:  $S^2 \subset X$ , square -1,  $N_{S^2} = \overline{CP}^2$ -ball  $\partial N_{S^2} = S^3$  Trade N<sub>S<sup>2</sup></sub> for B<sup>4</sup>, get X  $b_{\overline{X}} = b_{\overline{X}} - 1$  $SW_{X}=SW_{\overline{X}}\cdot(\epsilon+\epsilon^{-1})$  $S^2$ : -4 sphere  $\subset X$ ,  $N_{S^2} = \overline{CP}^2 - N_{RP^2}$ Blowdown -4 sphere: replace N<sub>S</sub><sup>2</sup> with N<sub>R</sub>P<sup>2</sup><sub>C</sub>CP<sup>2</sup>  $N_{RP^2}$  has  $\pi_1=Z_2$  and is a Q-homology ball -2In general, p-1 has  $\partial C_p = L(p^2, 1-p) = \partial B_p$  B<sub>p</sub> is a Q-ball w/  $\pi_1 = Z_p$ 

Usual blowdown:  $S^2 \subset X$ , square -1,  $N_{S^2} = \overline{CP}^2$ -ball  $\partial N_{S^2} = S^3$  Trade N<sub>S<sup>2</sup></sub> for B<sup>4</sup>, get X  $b_{\overline{X}} = b_{\overline{X}} - 1$ SWx=SW $\overline{x}$ ·( $\epsilon + \epsilon^{-1}$ )  $S^2$ : -4 sphere  $\subset X$ ,  $N_{S^2} = \overline{CP}^2 - N_{RP^2}$ Blowdown -4 sphere: replace N<sub>S2</sub> with N<sub>RP<sup>2</sup><sub>C</sub>CP<sup>2</sup></sub>  $N_{RP^2}$  has  $\pi_1=Z_2$  and is a Q-homology ball p-1 has  $\partial C_p = L(p^2, 1-p) = \partial B_p$  B<sub>p</sub> is a Q-ball w/  $\pi_1 = Z_p$ Rational blowdown – remove C<sub>p</sub>, glue in B<sub>p</sub>. Get X Lowers  $b^-$  by p-1.

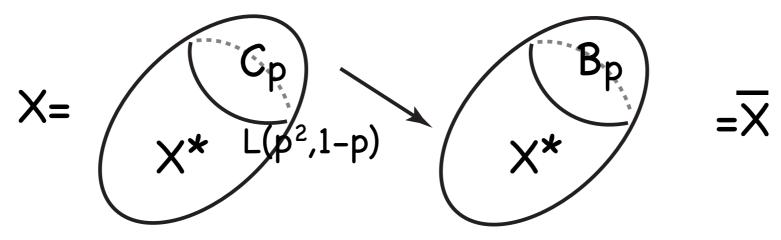




If  $\overline{k}$ =char homology class in  $\overline{X}$ ,  $\exists$  lift k in X  $\ni$  PD's agree on X\*

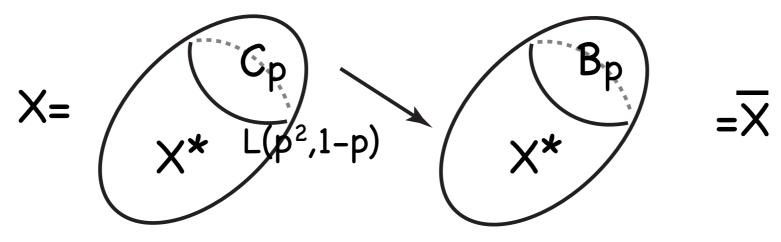


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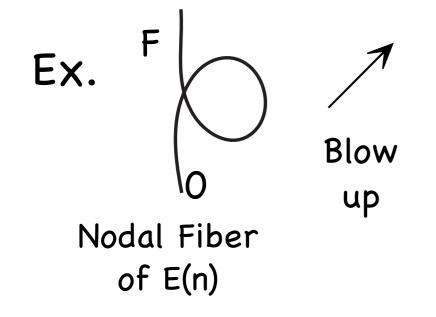


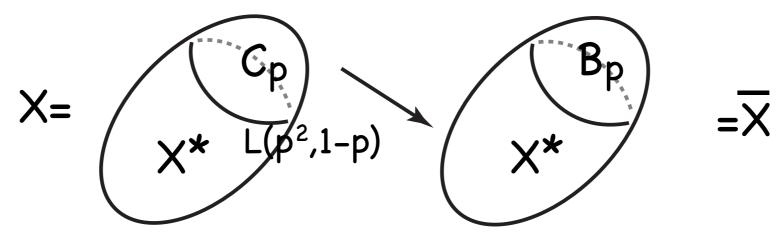
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Nodal Fiber of E(n)



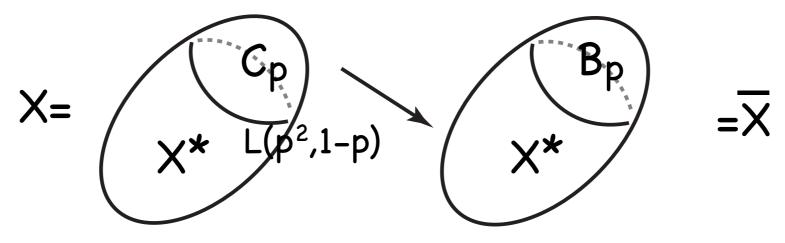
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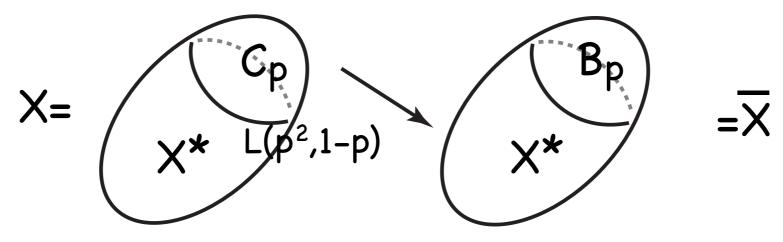
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of E(n)

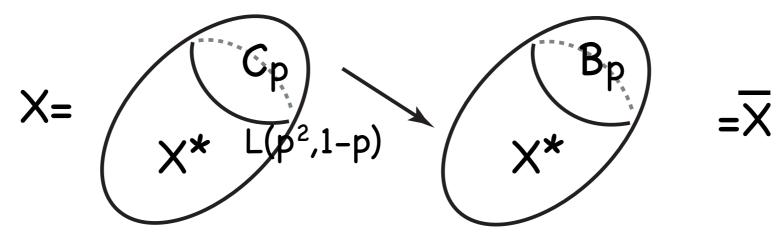


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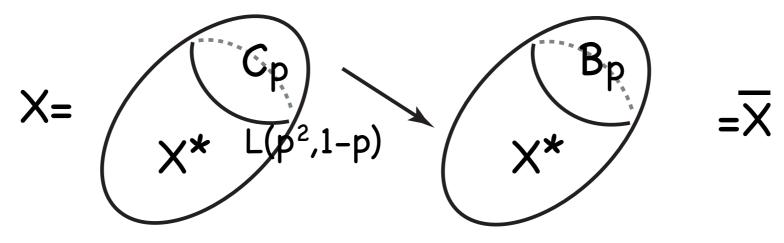
log transform of mult=2 E(n)<sub>2</sub>



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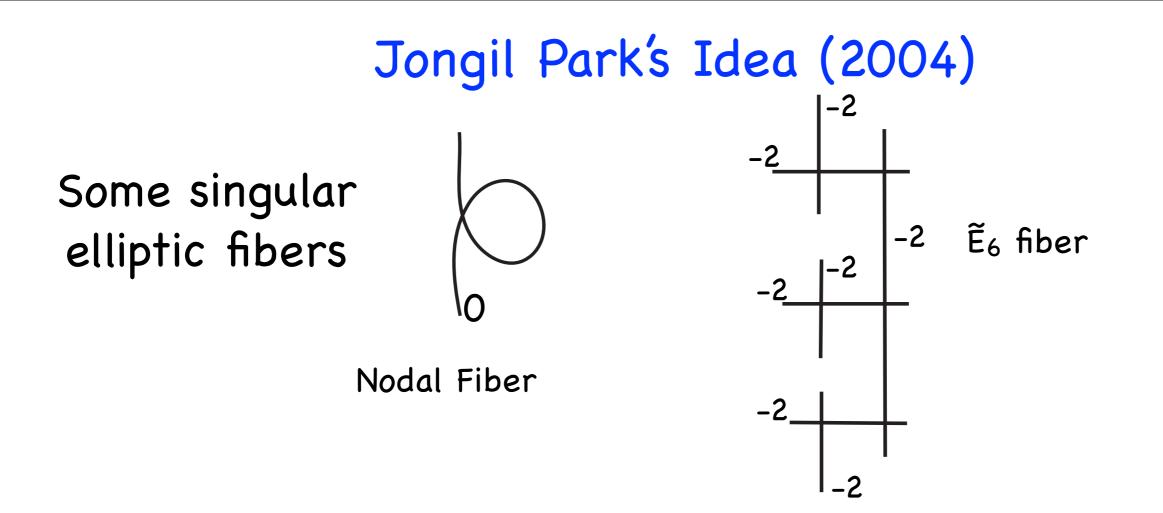


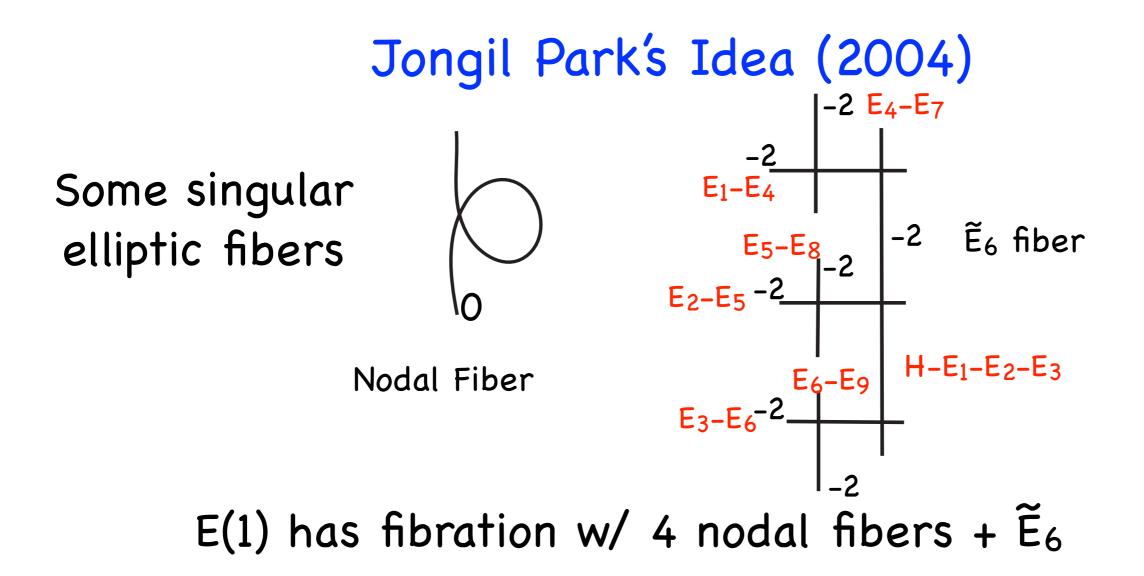
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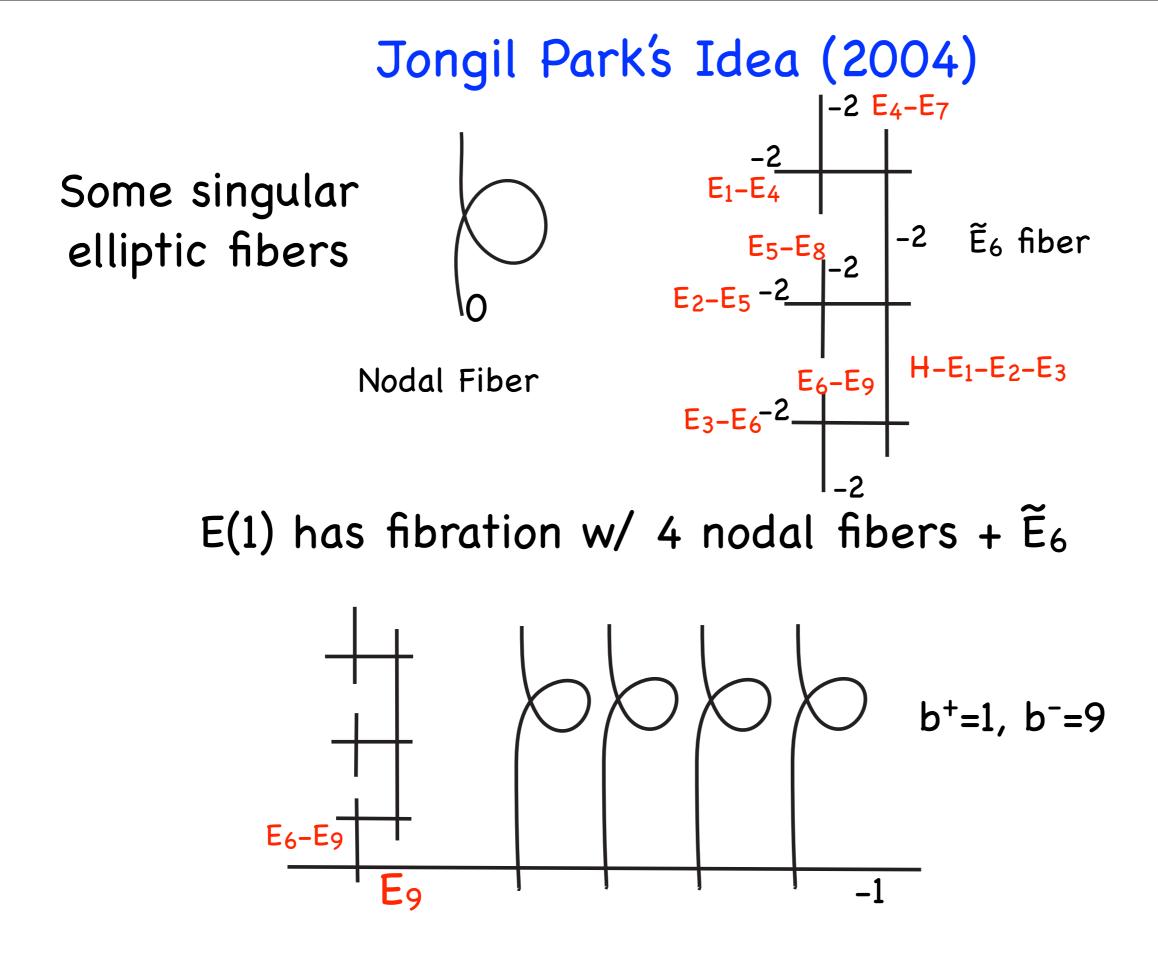


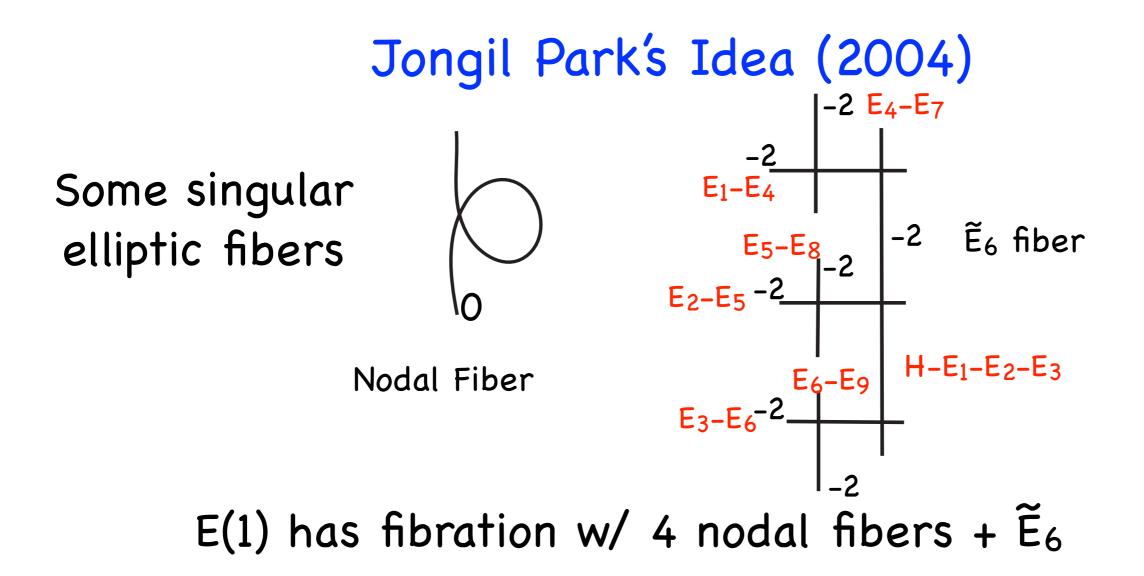
If  $\overline{k}$ =char homology class in  $\overline{X}$ ,  $\exists$  lift k in X  $\ni$  PD's agree on X\* <u>Thm.</u> (F-Stern) Coeff of  $\overline{k}$  in SW $\overline{X}$  = Coeff of k in SWX F-2E1 Ex.  $E_1$ Blow  $F-2E_1-E_2^{1}$ Blow up E(n)#CP E(n)# Nodal Fiber of E(n) rationally rationally blow down C<sub>2</sub> blow down C<sub>3</sub> log transform log transform E(n)<sub>3</sub>  $E(n)_2$ of mult=3 of mult=2

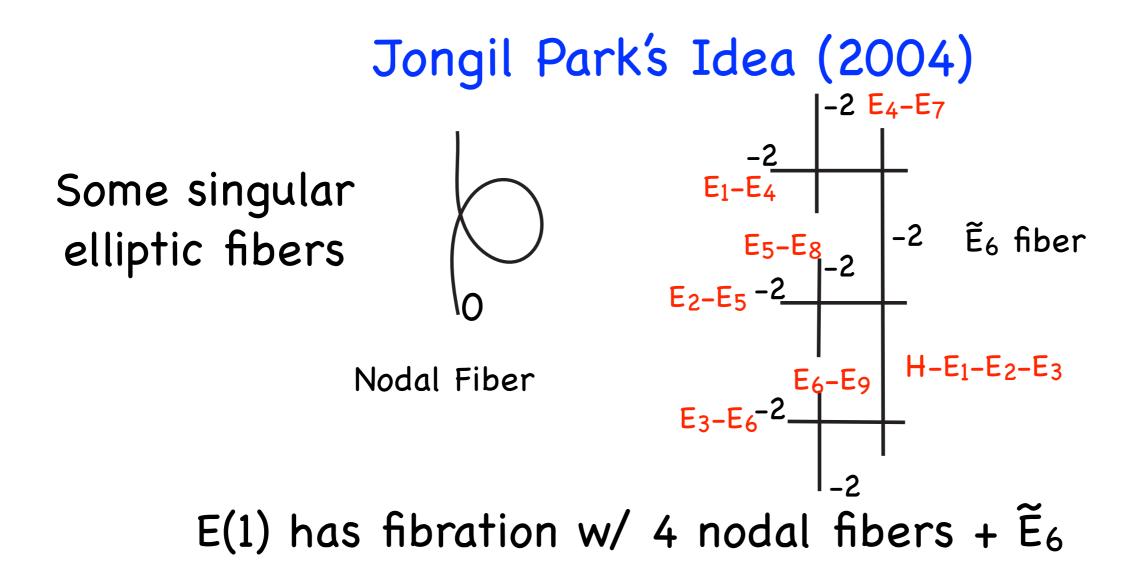
# Jongil Park's Idea (2004)



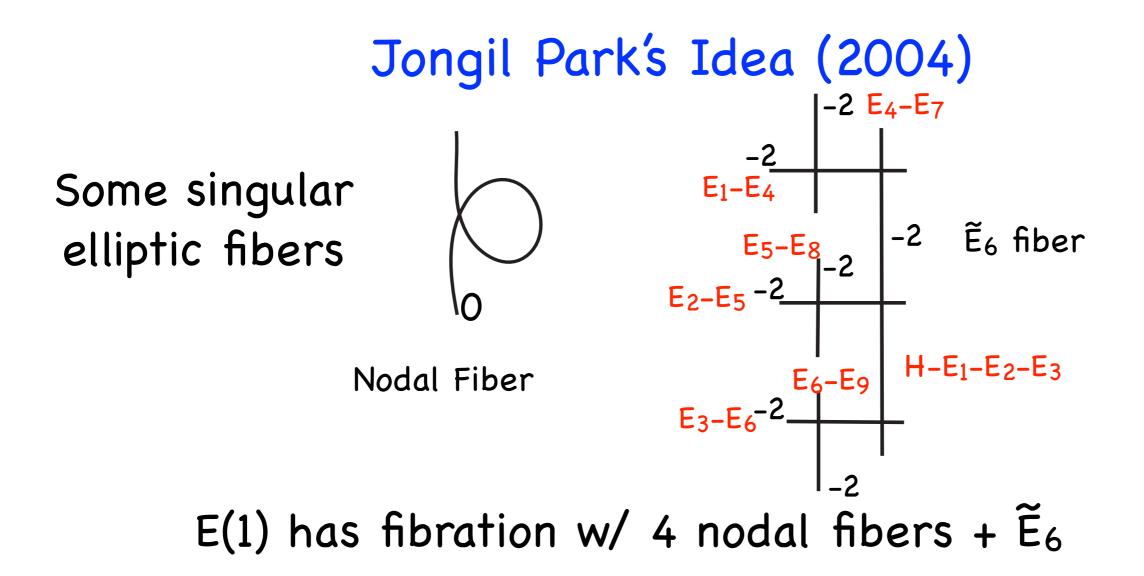


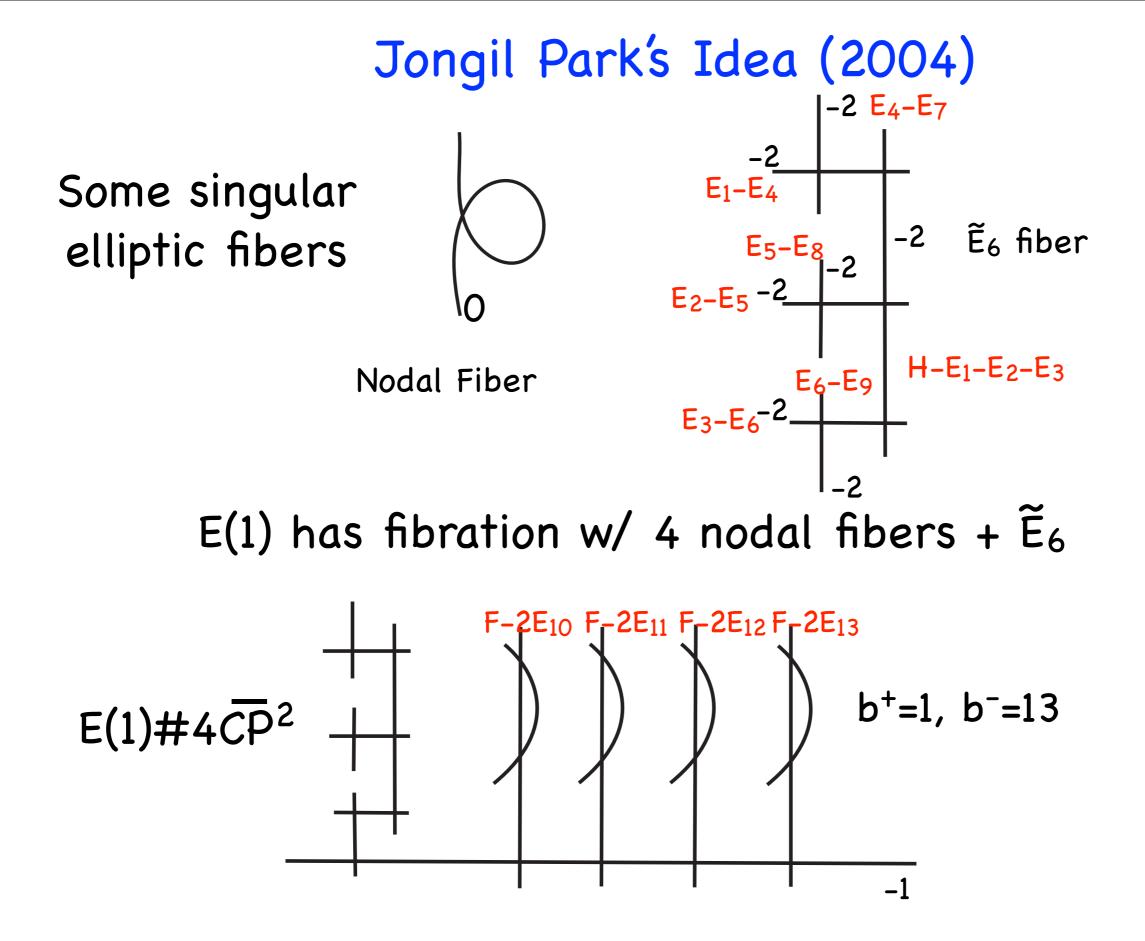


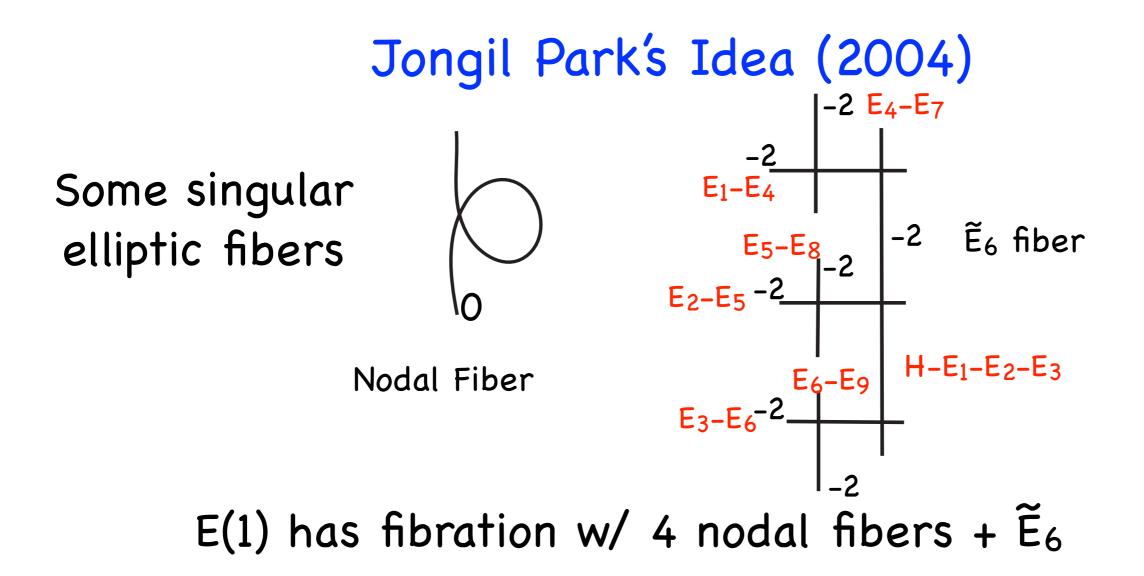


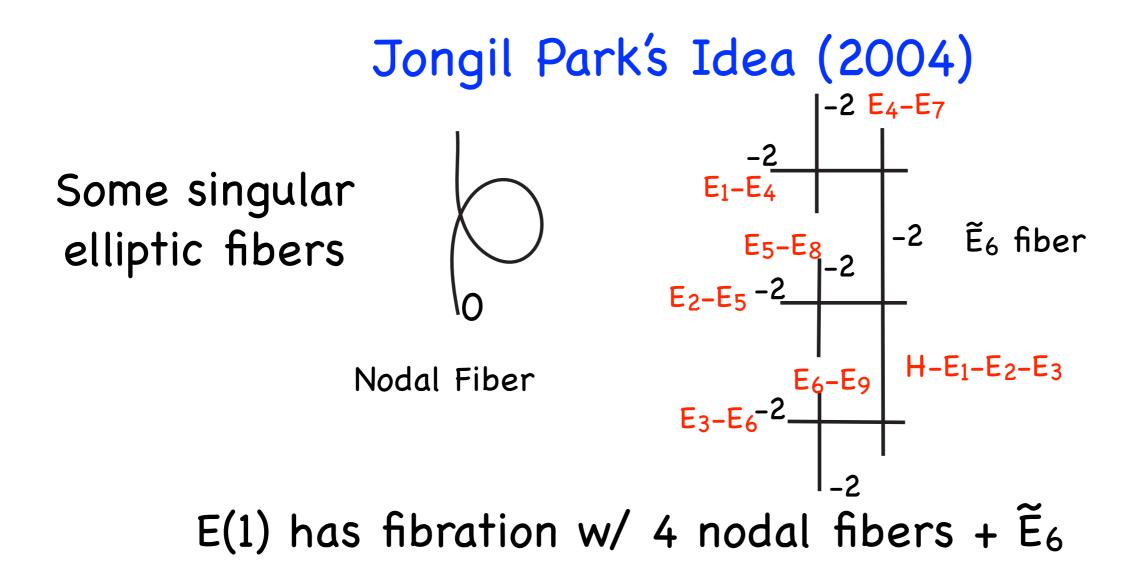




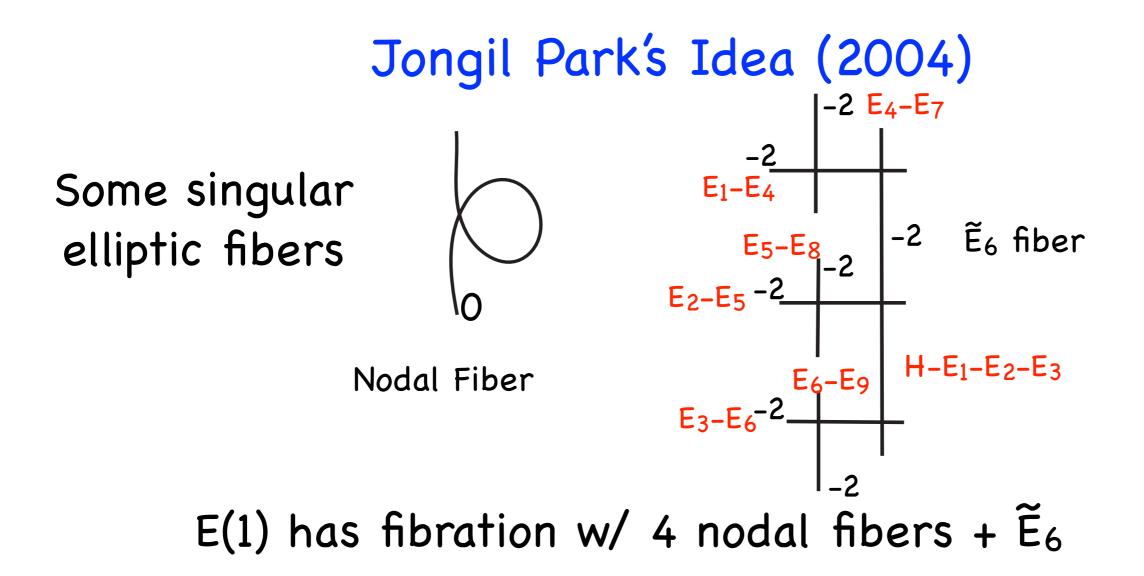


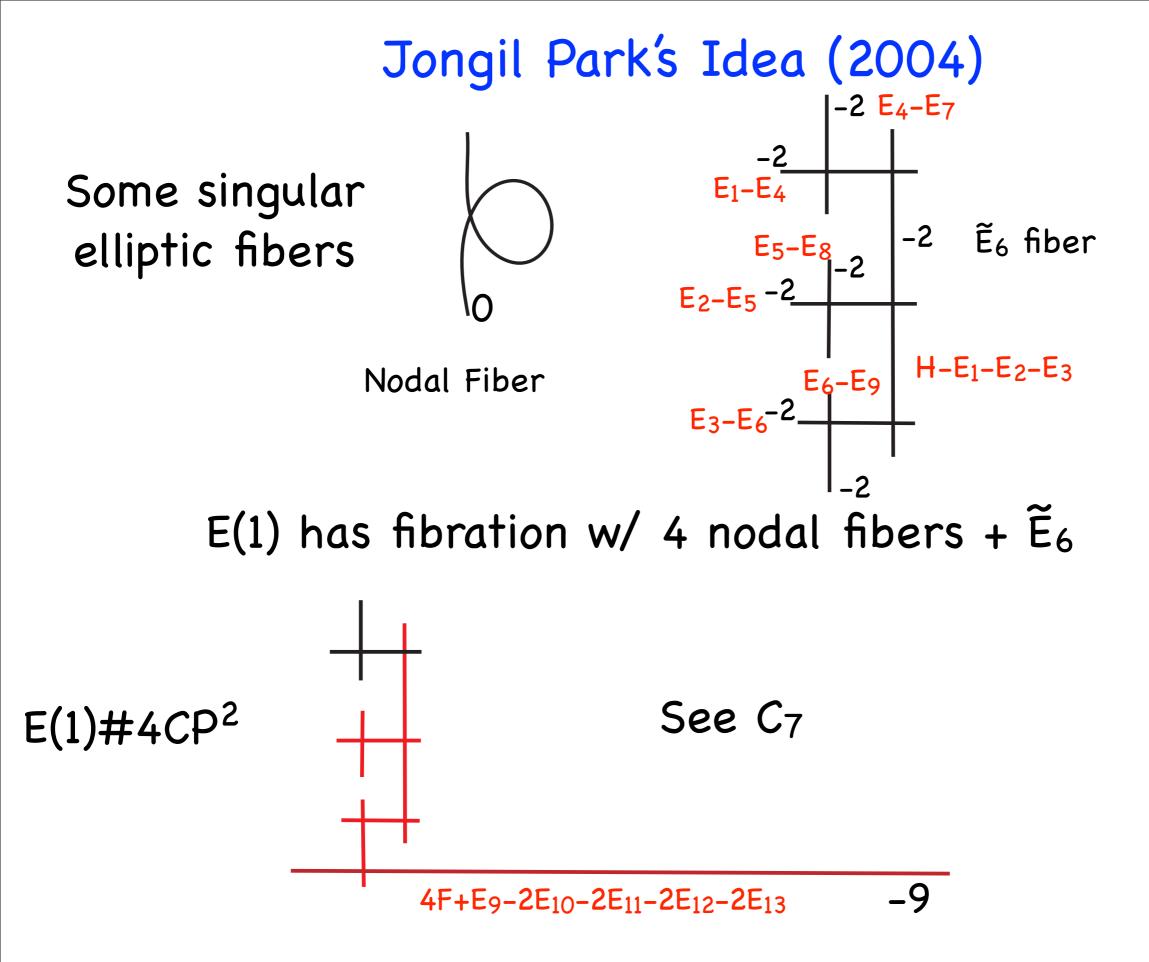


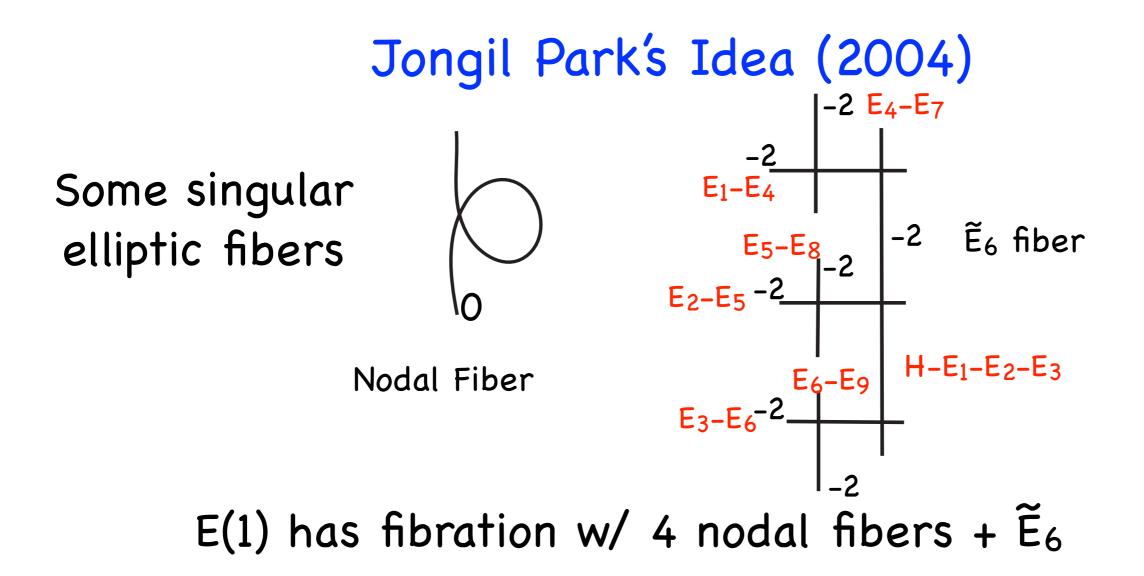


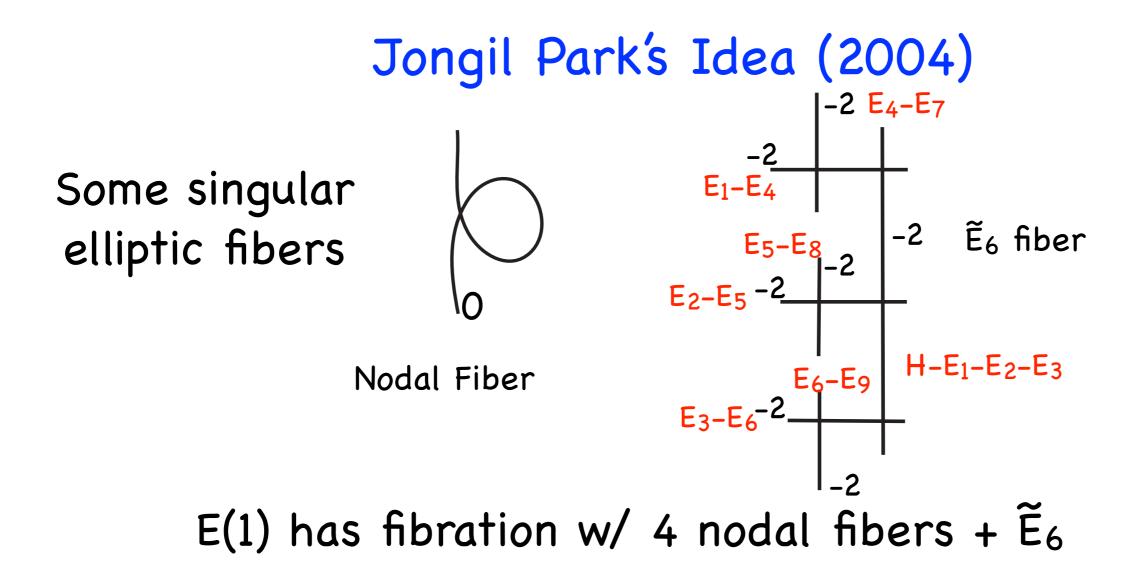


Resolve double points









Rationally blow down to get Park mfd P b+=1, b-=13-6=7 and simply connected & SW≠0 - an exotic CP<sup>2</sup>#7CP<sup>2</sup>

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- Cx Surfaces of general type (J. Park & coauthors)
- These techniques do not seem to work for  $b^{-1}$

back to surgery on tori, but -

<u>Prop</u>. If  $b_X^+=1$ ,  $b_X^-\le 8$ , SW<sub>X</sub>≠0,  $\nexists$  essential torus of square 0 in X.

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So we need to work with nullhomologous tori.

## The Morgan, Mrowka, Szabo Surgery Formula

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#### $T \subset X$ : torus of square 0

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How to achieve this?

# (a) T'⊂X' α',β',γ'=∂D<sup>2</sup> T' primitive γ'=0 in H1(X'-NT') β'≠0 in H1(X'-NT')

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**(a)** 

T $\subset$ X  $\alpha,\beta,\gamma=\partial D^2$ T nullhomologous  $\gamma \neq 0$  in H<sub>1</sub>(X-N<sub>T</sub>)  $\beta=0$  in H<sub>1</sub>(X-N<sub>T</sub>)

**(b)** 

(a) (b)  $T' \subset X' \quad \alpha', \beta', \gamma' = \partial D^2$   $T \subset X \quad \alpha, \beta, \gamma = \partial D^2$  T' primitive T nullhomologous  $\gamma' = 0 \text{ in } H_1(X' - N_T')$   $\gamma \neq 0 \text{ in } H_1(X - N_T)$  $\beta' \neq 0 \text{ in } H_1(X' - N_T')$   $\beta = 0 \text{ in } H_1(X - N_T)$ 

 $(0,1,1) \text{ surgery } \begin{array}{c} \beta' + \gamma' \leftrightarrow \gamma \\ \gamma' \leftrightarrow \beta \end{array}$ 

#### Surgery on Tori **(a) (b)** $T' \subset X' \quad \alpha', \beta', \gamma' = \partial D^2$ $T \subset X \quad \alpha, \beta, \gamma = \partial D^2$ T' primitive T nullhomologous y'=0 in $H_1(X'-N_{T'})$ $\gamma \neq 0$ in $H_1(X-N_T)$ $\beta' \neq 0$ in $H_1(X' - N_T')$ $\beta=0$ in $H_1(X-N_T)$ (0,1,1) surgery $\beta' + \gamma' \leftrightarrow \gamma$ $\gamma' \leftrightarrow \beta$ $\beta' \leftrightarrow -\beta + \gamma$ $\gamma' \leftrightarrow \beta$

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This is how we can assure  $SW_{X'}\neq 0$ .

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Get ∞ family if all 1/k-surgeries are s.c.



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Baldridge-KirkTheir models constructedAkhmedov-Parkby cut-and-paste

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 Santeria Surgery – Find a useful nullhomologous torus directly in a standard mfd
 (Stern and I have shown how to do this in CP<sup>2</sup>#nCP<sup>2</sup> for 2≤n≤7.)

## Rational surface: CP<sup>2</sup>#nCP<sup>2</sup>, K=-3H+E<sub>1</sub>+...+E<sub>n</sub> say 0≦n<9, so c<sub>1</sub><sup>2</sup>>0.

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Seek exotic sympl. mfd X homeo to CP<sup>2</sup>#nCP<sup>2</sup> with K<sub>X</sub> pseudoholo.

Adj formula would  $\Rightarrow K_X$  rep. by surface of genus 10-n (not a torus)

Rational surface: CP<sup>2</sup>#nCP<sup>2</sup>, K=-3H+E<sub>1</sub>+...+E<sub>n</sub> say 0≦n<9, so c<sub>1</sub><sup>2</sup>>0.

K not holo, <u>-K is</u>. K rep by torus

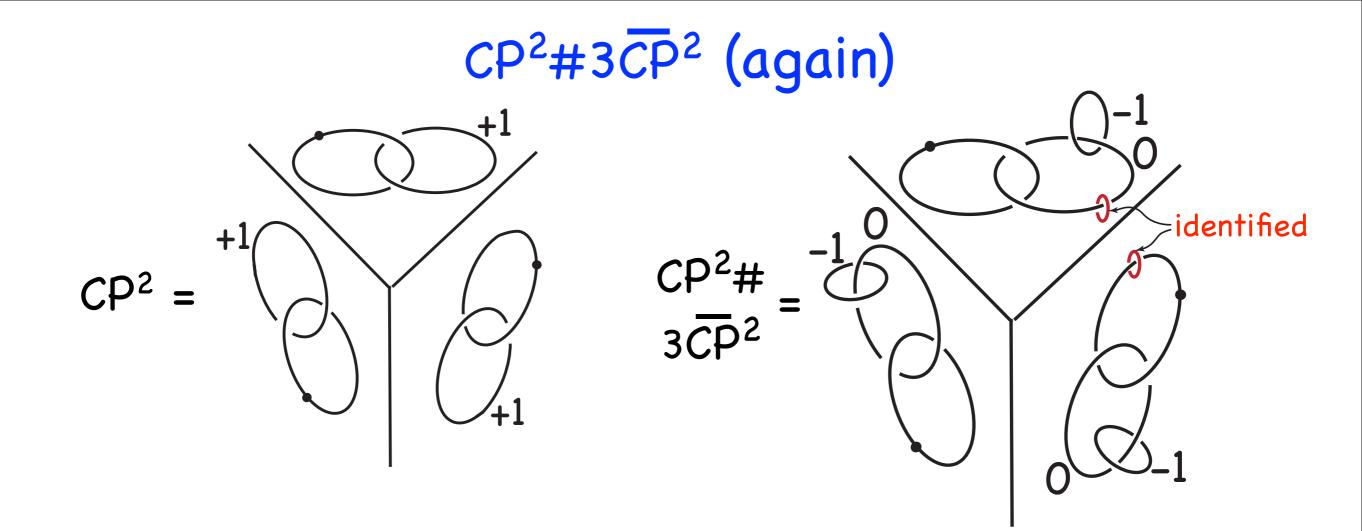
Seek exotic sympl. mfd X homeo to CP<sup>2</sup>#nCP<sup>2</sup> with K<sub>X</sub> pseudoholo.

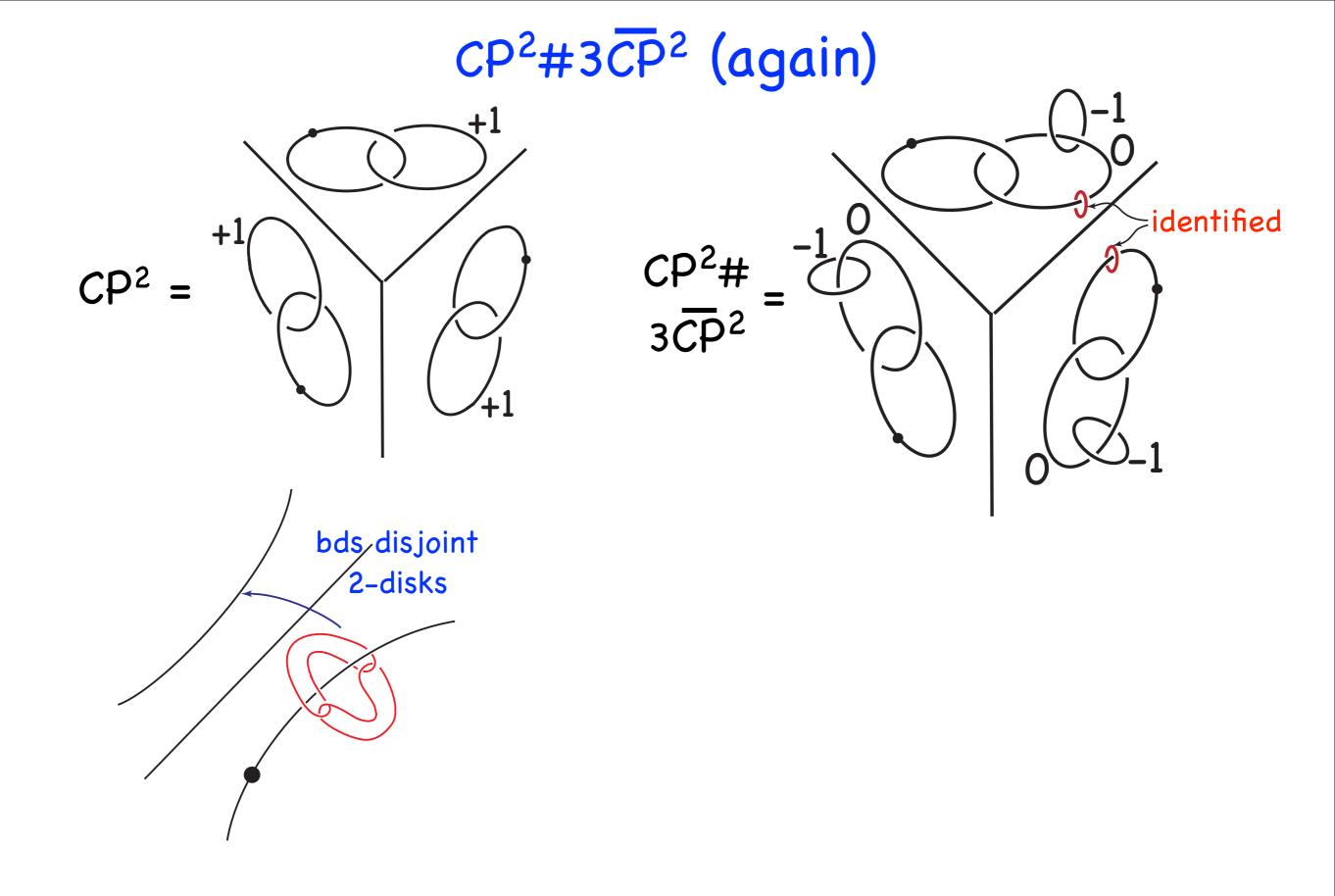
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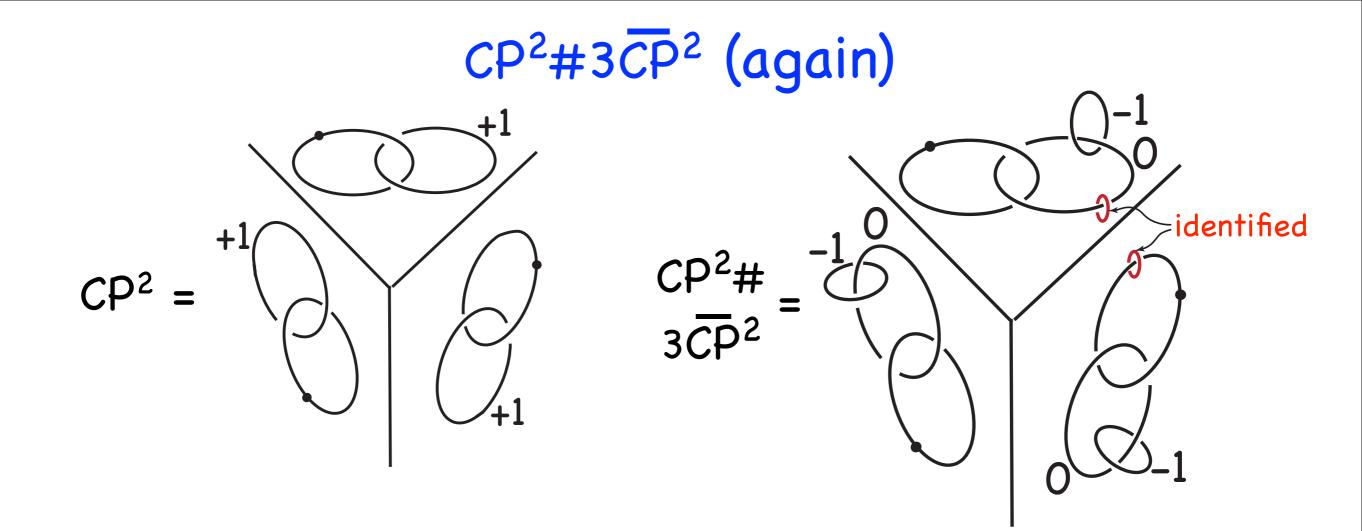
Need to look for tori to surger in  $CP^2 # n\overline{CP}^2$ such that genus of K is "forced up"

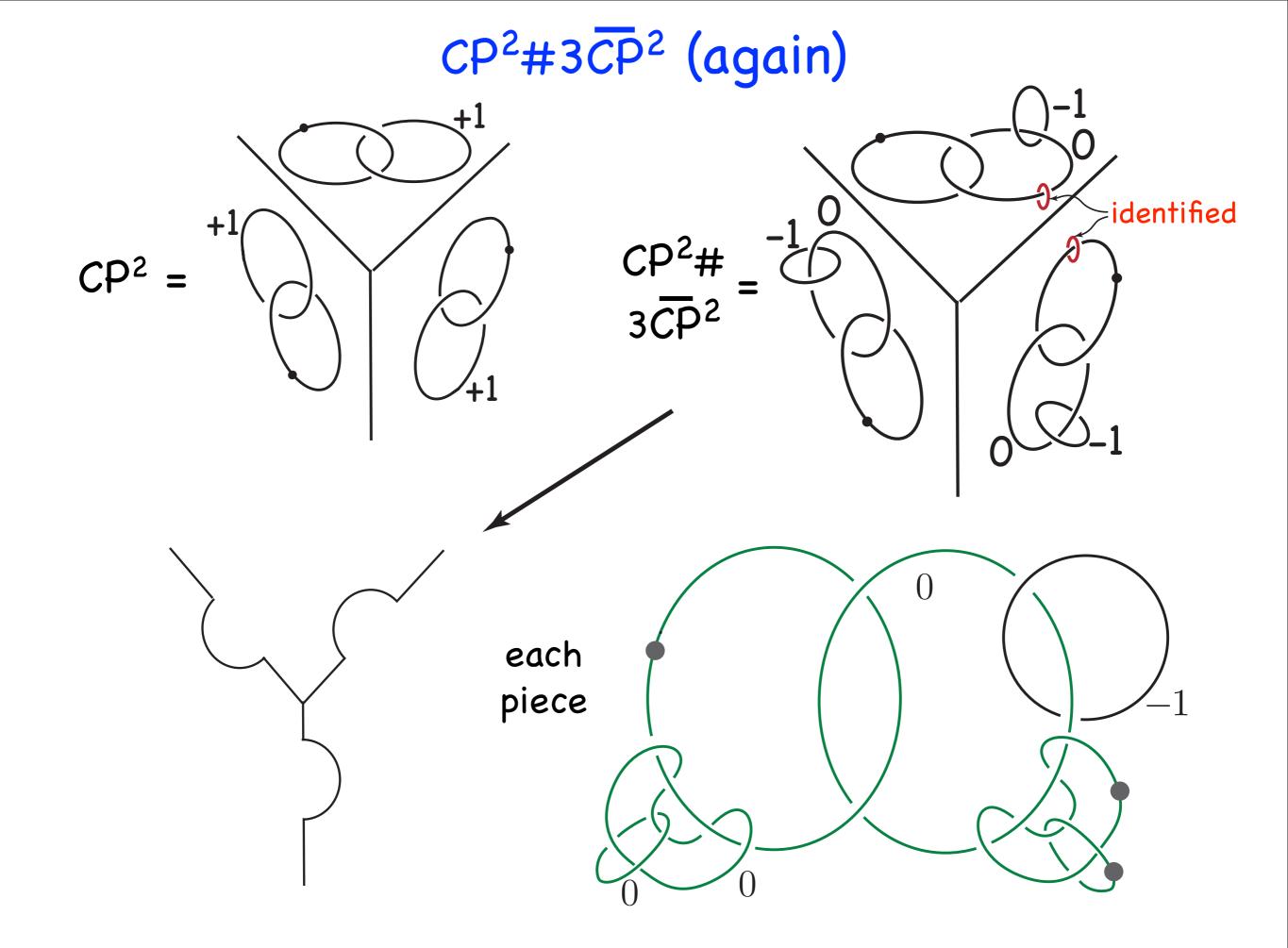
# CP<sup>2</sup>#3CP<sup>2</sup> (again)

# $CP^{2}\#3\overline{CP}^{2} \text{ (again)}$ $CP^{2} = \overset{+1}{\bigvee} \overset{+1}$

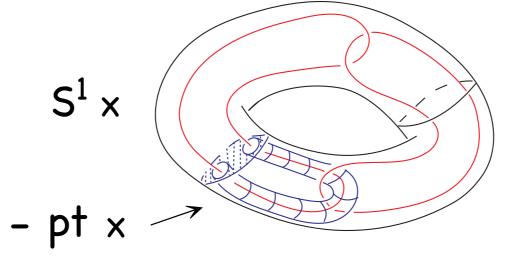






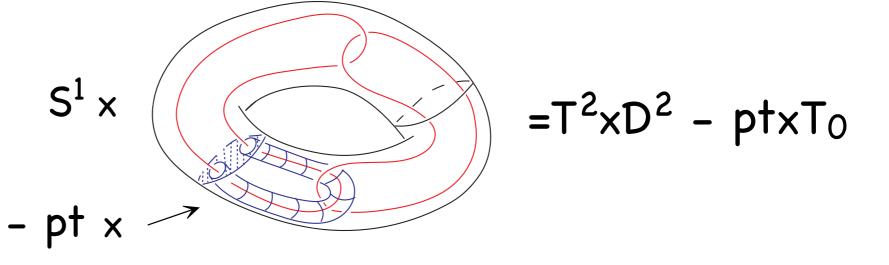


A=Green part=



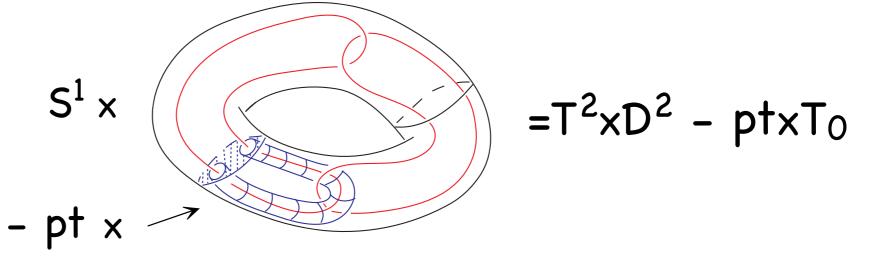
$$=T^2 x D^2 - pt x T_0$$

A=Green part=



contains pair of "Bing tori"

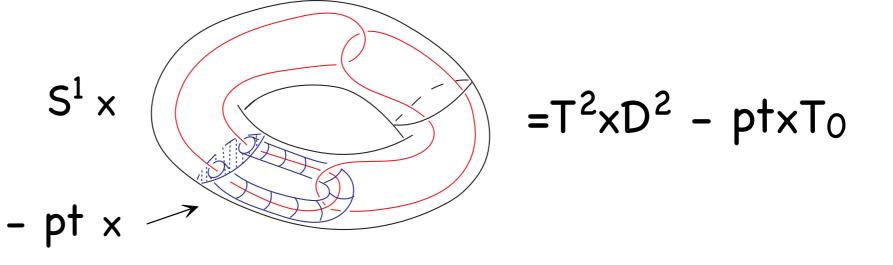




contains pair of "Bing tori"

 $K_{CP^2#3CP^2}$  intersects this in pair of normal disks

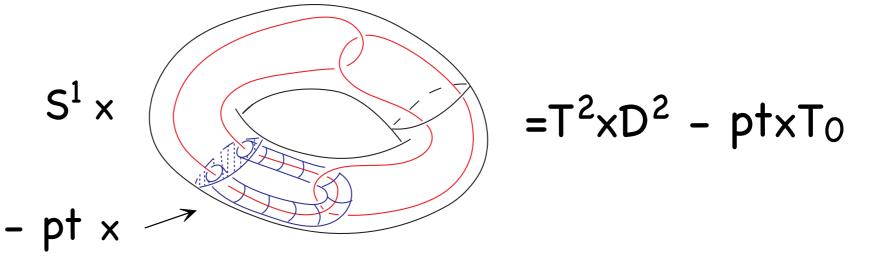
A=Green part=



contains pair of "Bing tori"

# $K_{CP^2#3CP^2}$ intersects this in pair of normal disks Surgery on both Bing tori forces genus of K up by 2.

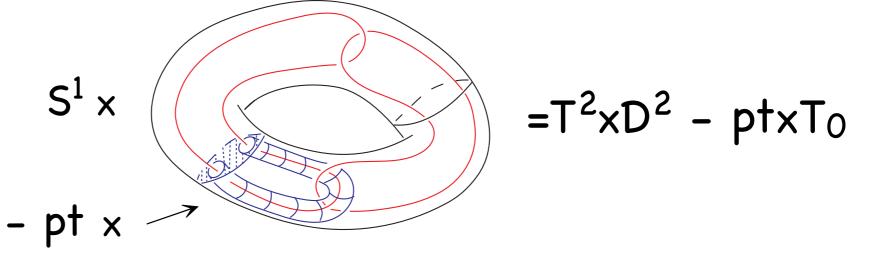




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K<sub>CP<sup>2</sup>#3CP<sup>2</sup></sub> intersects this in pair of normal disks
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Do all 6 surgeries to get sympl mfd ≅ CP<sup>2</sup>#3CP<sup>2</sup>
and SW≠0 - and genus(K)=1+6=7

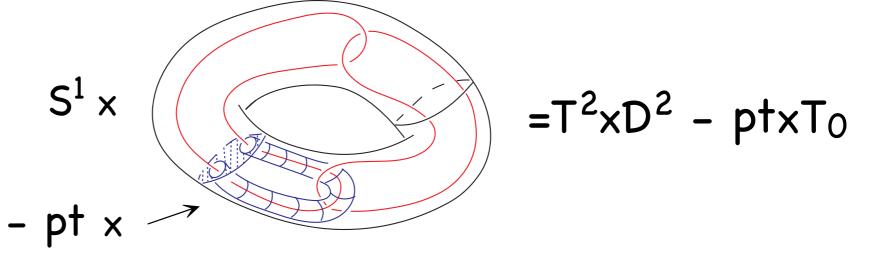
A=Green part=



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K<sub>CP<sup>2</sup>#3CP<sup>2</sup></sub> intersects this in pair of normal disks Surgery on both Bing tori forces genus of K up by 2. Do all 6 surgeries to get sympl mfd ≅ CP<sup>2</sup>#3CP<sup>2</sup> and SW≠0 – and genus(K)=1+6=7
•One surgery will suffice

A=Green part=

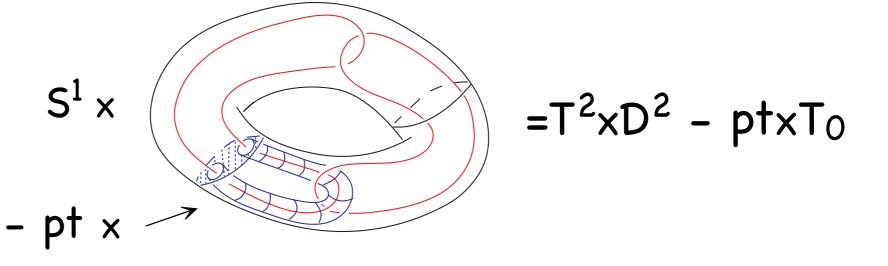


contains pair of "Bing tori"

 $K_{CP^2#3CP^2}$  intersects this in pair of normal disks Surgery on both Bing tori forces genus of K up by 2. Do all 6 surgeries to get sympl mfd  $\cong CP^2#3CP^2$ and SW $\neq 0$  – and genus(K)=1+6=7

•One surgery will suffice •Similar constr of exotic  $CP^2 # 2\overline{CP}^2$ 

A=Green part=



contains pair of "Bing tori"

 $K_{CP^2#3CP^2}$  intersects this in pair of normal disks Surgery on both Bing tori forces genus of K up by 2.

Do all 6 surgeries to get sympl mfd  $\cong CP^2 # 3CP^2$ 

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•One surgery will suffice •Similar constr of exotic  $CP^2 # 2\overline{CP}^2$ 

Moral: Look for useful emb's of A.

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- Open:  $CP^2$ ,  $CP^2 # \overline{CP}^2$ ,  $S^2 \times S^2$

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   Open: CP<sup>2</sup> CP<sup>2</sup>#CP<sup>2</sup> S<sup>2</sup>×S<sup>2</sup>
- Open:  $CP^2$ ,  $CP^2 # \overline{CP}^2$ ,  $S^2 \times S^2$
- ∃ proposed examples for S<sup>2</sup>xS<sup>2</sup> but π<sub>1</sub> calculation incorrect
   These use reverse eng. with model M=Σ<sub>2</sub> bundle over Σ<sub>2</sub> ⇒ M aspherical

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- Open:  $CP^2$ ,  $CP^2 # \overline{CP}^2$ ,  $S^2 \times S^2$
- $\exists$  proposed examples for  $S^2 x S^2$ but  $\pi_1$  calculation incorrect These use reverse eng. with model  $M=\Sigma_2$  bundle over  $\Sigma_2$  $\Rightarrow M$  aspherical

<u>Conjecture</u>: The result of Lagrangian (i.e. Luttinger) surgery on a symplectically aspherical 4-mfd is again sympl. asph. ( $\Rightarrow \pi_1$  infinite).

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(Very) Optimistic Conj. Every s.c smooth 4-mfd can be obtained from surgery on tori in a conn. sum of copies of  $S^4$ ,  $CP^2$ ,  $\overline{CP}^2$ , and  $S^2xS^2$ .