# Locally Finite Simple Groups of 1-Type

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#### Abstract

A locally finite, simple group G is called of 1-type if every Kegel cover for G has a factor which is an alternating group. In this paper we study the finite subgroups of locally finite simple groups of 1-type. We also introduce the concept of "block-diagonal embeddings" for groups of alternating type. We show that the groups of 1-type are exactly the groups which have an alternating Kegel cover with block diagonal embeddings.

# 1 Introduction

Let G be a group. G is locally finite if every finite subset of G lies in a finite subgroup of G. G is finitary if there exist a field  $\mathbb{K}$  and a faithful  $\mathbb{K}G$ -module V so that  $V/C_V(g)$  is finite dimensional for all  $g \in G$ .

If H is a group and  $\Omega$  is an H-set, we denote by  $H^{\Omega}$  the image of H in Sym $(\Omega)$ . So  $H^{\Omega} \cong H/C_H(\Omega)$ .

Let G be an infinite, locally finite, simple group. Let  $\mathcal{A}$  be the set of pairs  $(H, \Omega)$  so that H is a finite subgroup of G,  $\Omega$  is an H-set,  $|\Omega| \geq 7$  and  $H^{\Omega} = \text{Alt}(\Omega)$ .

We say that G is of alternating type if G is non-finitary and if for each finite subgroup F of G there exists  $(H, \Omega) \in \mathcal{A}$  such that  $F \leq H$  and F acts faithfully on  $\Omega$ .

Let G be of alternating type and  $F \leq G$  finite. We say that F is non-regular if there exists a finite subgroup  $F^* \leq G$  with  $F \leq F^*$  and so that for all  $(H, \Omega) \in \mathcal{A}$  with  $F^* \leq H$ , F has no regular orbit on  $\Omega$ .

G is called of non-regular alternating type if G is of alternating type and G has a non-regular finite subgroup.

Our first theorem (proven in section ??) describes the normal closure of a non-regular subgroup in (large enough) finite over-groups.

**Theorem 1.1** Let G be a locally finite simple group of alternating type and F a finite nonregular subgroup of G. Then there exists a finite subgroup  $F \leq F^* \leq G$  such that for all finite  $F^* \leq L \leq G$ 

(a) There exist normal subgroups  $R_1, \ldots, R_n$  of  $\langle F^L \rangle$  such that

$$\langle F^L \rangle = R_1 R_2 \dots R_n$$

and

$$R_i \cong (K_i \wr_{\Omega_i} \operatorname{Alt}(\Omega_i))'$$

for some finite group  $K_i$  and some finite set  $\Omega_i$ .

(b) For i = 1, ..., n let  $B_i$  be the base group of  $R_i$  and choose notation so that  $[R_i, F] \notin B_i$ if and only if  $i \leq m$ . Then

$$R_1 \dots R_m = R_1 \times R_2 \times \dots \times R_m.$$

Recall that a Kegel cover for G is a set  $\mathcal{K}$  such that

- (a) Each  $K \in \mathcal{K}$  is a pair (H, M), where H is a finite subgroup of G and M is maximal normal subgroup of H.
- (b) For each finite subgroup F of G there exists  $(H, M) \in \mathcal{K}$  with  $F \leq H$  and  $F \cap M = 1$ .

The groups H/M,  $(H, M) \in \mathcal{K}$ , are called the factors of  $\mathcal{K}$ .  $\mathcal{K}$  is alternating if all the factors of  $\mathcal{K}$  are alternating groups. If  $\mathcal{K}$  is an alternating Kegel cover, we view  $\mathcal{K}$  as a subset of  $\mathcal{A}$ . Indeed, if  $(H, M) \in \mathcal{K}$  with  $H/M \cong \operatorname{Alt}(\Omega)$ , then H acts on  $\Omega$  (with  $M = C_H(\Omega)$ ) and  $(H, \Omega) \in \mathcal{A}$ . This also reveals that a non-finitary locally finite simple group G is of alternating type if and only if G has an alternating Kegel cover.

Our next theorem (proven in section ??) shows that non-regular subgroups can be detected from a given alternating Kegel cover.

**Theorem 1.2** Let G be a locally finite, simple group of alternating type and F a finite subgroup of G. Then F is non-regular if and only if there exists an alternating Kegel cover  $\mathcal{K}$  and a non-negative integer t such that for all  $(H, \Omega) \in \mathcal{K}$  with  $F \leq H$ , F has at most t regular orbits on  $\Omega$ .

The preceding theorem, together with [?, Proposition 1.33], shows that the groups Brian Hartley called Mf-groups of 'visual diagonal alternating type' [?, Definition 1.31], are in fact of non-regular alternating type. Hence (see section ?? for the details) some of the non-absolutely simple, locally finite simple groups constructed in [?, Section 6] are of non-regular alternating type :

### 1 INTRODUCTION

**Theorem 1.3** There exist non-absolutely simple, locally finite, simple groups of non-regular alternating type.  $\Box$ 

Let G be of alternating type

Let  $F \leq G$  be finite. Let  $\mathcal{A}_{reg}(F)$  be the set of all  $(H, \Omega) \in \mathcal{A}$  so that  $F \leq H$  and F has a regular orbit on  $\Omega$ . We say that F is regular if  $\mathcal{A}_{reg}(F)$  is a Kegel cover for G. Note that the definition of a Kegel cover implies that F is non-regular if and only if F is not regular. G is of regular alternating type if G is locally regular, that is if every finite subgroup of Gis regular.

We say that G is of  $\infty$ -type if G has the following property :

Let S be any class of finite simple groups such that every finite group can be embedded into a member of S. Then there exists a Kegel cover for G all of whose factors are isomorphic to a member of S.

We say that G is of 1-type if every Kegel cover for G has a factor which is an alternating group.

The next theorem (proven in section ??) shows the relationship between groups of 1-,  $\infty$ -, regular- and non-regular type.

**Theorem 1.4** Let G be a locally finite, simple group of alternating type.

- (a) G is of non-regular alternating type if and only if G is of 1-type.
- (b) G is of regular alternating type if and only if G is of  $\infty$ -type.

Let p be a prime and G a non-finitary, locally finite, simple group. G is of p-type if every Kegel cover for G has a factor which is a classical group in characteristic p. From Theorem ?? and [?, Theorem A] we have

**Theorem 1.5** Let G be a locally finite, simple group. Then exactly one of the following holds:

- 1. G is finitary.
- 2. G is of 1-type.
- 3. G is of p-type for a unique prime p.
- 4. G is of  $\infty$ -type.

#### 2 THE SET-UP

In [?] "pseudo natural orbits" have been introduced. They are used in [?, Theorem 3.4] to devide alternating Kegel covers into two classes which Brian Hartley [?, Definition 2.8] called RA- and DA- type. Unfortunately these two types are not disjoint. For example suppose  $\{(G_i, \Omega_i) \mid i = 1, 2...\}$  is a Kegel cover so that  $G_i = \text{Alt}(\Omega_i), G_i \leq G_{i+1}$  and  $G_i$  acts semiregulary on  $\Omega_i$ , then this Kegel cover is both of RA and DA type. This comes from the fact that a regular orbit also is a pseudo natural orbit. In this paper we define "block natural orbits" which avoid this problem:

Let  $(H, \Omega) \in \mathcal{A}$ . By [?, Lemma 2.8], there exists a unique minimal (sub)normal supplement R to  $C_H(\Omega)$  in H. Let  $\Lambda$  be an H-set. An orbit  $\Sigma$  for H on  $\Lambda$  is called  $\Omega$ -essential if  $C_H(\Sigma) \leq C_H(\Omega)$ . That is if and only if R acts non-trivially on  $\Sigma$ .  $\Sigma$  is called  $\Omega$ -natural if  $\Sigma$  is isomorphic to  $\Omega$  as an H-set.  $\Sigma$  is called  $\Omega$ -block-natural if there exists an H-invariant partition  $\Delta$  of  $\Sigma$  so that  $\Delta$  is  $\Omega$ -natural and such that  $N_H(D) = C_H(D)C_H(\Omega)$  for all  $D \in \Delta$ . In this case,  $\Delta$  is just the set of orbits of  $C_H(\Omega)$  on  $\Sigma$ . Indeed, since H is transitive on  $\Sigma$ ,  $N_H(D)$  is transitive on D. Hence  $C_H(\Omega)$  is transitive on D. We remark that, since  $N_H(D)/C_H(\Omega) \cong \operatorname{Alt}(|\Omega| - 1)$  is simple, the condition  $N_H(D) = C_H(D)C_H(\Omega)$  is equivalent to  $C_H(D) \nleq C_H(\Omega)$ .  $\Lambda$  is called  $\Omega$ -block-diagonal if all the  $\Omega$ -essential orbits are  $\Omega$ -block-natural.

Theorems ?? and ?? reveal that groups of 1-type are loosely speaking the groups of alternating type with "block-diagonal" embeddings.

Some of the results in this paper first appeared in [?] and some of the arguments have been developed in [?].

## 2 The Set-up

**Proposition 2.1 (Hall's Finitary Lemma)** A locally finite simple group G which has a sectional cover composed of alternating groups and classical groups of unbounded dimension in which the natural degrees of the element  $g \neq 1$  are bounded, has a faithful representation as a finitary linear group.

**Proof:** This is [?, Corollary 3.13].

The reader might consult [?] for the definition of a sectional cover. For our purposes it is enough to know that every Kegel cover is a sectional cover. If H/M is a classical group or an alternating group,  $\operatorname{pdeg}_{H/M}(g)$  denotes the natural degree of g in H/M. So if  $H/M = \operatorname{Alt}(\Omega)$ , then  $\operatorname{pdeg}_{H/M}(g) = \operatorname{deg}_{\Omega}(g)$  is the number of elements in  $\Omega$  not fixed by g; if H/M is a classical group defined over a  $\mathbb{K}$ -space V, then  $\operatorname{pdeg}_H(g)$  is the minimum of all  $\dim_{\mathbb{K}} V/W$ , where W is a  $\mathbb{K}$ -subspace of V on which g acts projectively trivially. If  $g \notin H$ , we put  $\operatorname{pdeg}_{H/M}(g) = 0$ .

**Corollary 2.2** Let G be a non-finitary, locally finite, simple group and F a finite subgroup of G. Let  $\mathcal{K}$  be a Kegel cover for G all of whose factors are alternating or classical groups.

Let s be a positive integer. Then

$$\mathcal{K}(F,s) := \{ (H,M) \in \mathcal{K} \mid F \le H \text{ and } \text{pdeg}_{H/M}(f) \ge s, \forall 1 \ne f \in F \}$$

is a Kegel cover for G.

**Proof:** For  $1 \neq f \in F$ , let  $\mathcal{K}_f = \{(H, M) \in \mathcal{K} \mid \text{pdeg}_{H/M}(f) \leq s\}$ . Suppose that  $\mathcal{K}_f$  is Kegel cover for G. Then by Hall's Finitary Lemma applied to the sectional cover  $\mathcal{K}_f$ , G is finitary, a contradiction. So  $\mathcal{K}_f$  is not a Kegel cover for G. Since

$$\mathcal{K} = \mathcal{K}(F, s) \cup \bigcup_{1 \neq f \in F} \mathcal{K}_f,$$

the Coloring Argument [?, Lemma 3.3] implies that  $\mathcal{K}(F, s)$  is a Kegel cover for G.

Let  $\mathcal{D}$  be a subset of  $\mathcal{A}$ , F a finite subgroup of G and s a positive integer. Define

$$\mathcal{D}(F,s) = \{(H,\Omega) \in \mathcal{D} \mid F \leq H \text{ and } \deg_{\Omega}(f) \geq s, \forall 1 \neq f \in F\}$$

and

$$\mathcal{D}(F) = \mathcal{D}(F, 1).$$

**Lemma 2.3** Let G be a locally finite, simple group of alternating type,  $F \leq G$  finite and s a positive integer. Then there exists a finite  $F \leq F^* \leq G$  so that  $\mathcal{A}(F^*) \subseteq \mathcal{A}(F,s)$ .

**Proof:** Let l be the function from [?, Lemma 2.5]. By ??, we can choose  $(F^*, \Lambda) \in \mathcal{A}(F, l(s))$ . Let  $(H, \Omega) \in \mathcal{A}(F^*)$ . Then by [?, Lemma 2.5],  $\deg_{\Omega}(f) \geq s$  for all elements f of prime order in F. Since every non-trivial cyclic group contains an element of prime order,  $\deg_{\Omega}(f) \geq s$  for all  $1 \neq f \in F$ .  $\Box$ 

For the remainder of the paper, let G be locally finite, simple group of alternating type.

The following result forms the technical basis for the investigations in this paper.

**Lemma 2.4** There exists an increasing function  $f_{reg} : \mathbb{Z}^+ \to \mathbb{Z}^+$  with  $f_{reg}(n) \ge 9n^2$  and so that the following statement holds :

Let F be a finite subgroup of G and  $(H, \Omega) \in \mathcal{A}(F, f_{reg}(|F|))$ . Suppose that H is transitive and  $\Omega$ -essential on a set  $\Lambda$ . Then one of the following holds :

- 1. F has a regular orbit on  $\Lambda$ .
- 2. There exist  $1 \le t \le |F| 2$  and an *H*-invariant partition  $\Delta$  of  $\Lambda$  so that the action of *H* on  $\Delta$  is isomorphic to the action of *H* on the subsets of  $\Omega$  of size *t*.

**Proof:** See [?, Lemma 2.14].

For  $A \in \mathcal{A}$ , we define  $H_A$  and  $\Omega_A$  by  $A = (H_A, \Omega_A)$ . Let  $\mathcal{D} \subseteq \mathcal{A}$ . We say that  $\mathcal{D}$  is Kegel cover for G if  $\{(H, C_H(\Omega)) \mid (H, \Omega) \in \mathcal{D}\}$  is Kegel cover for G.

Let  $\mathcal{D} \subseteq \mathcal{A}$  be a Kegel cover for G. Let F be a finite subgroup of G. Put

$$\mathcal{D}^*(F) = \mathcal{D}(F, f_{reg}(|F|))$$

We say that F is  $\mathcal{D}$ -regular if there exists  $D \in \mathcal{D}^*(F)$  so that F has a regular orbit on  $\Omega_D$ . In other words, F is  $\mathcal{D}$ -regular if and only if  $\mathcal{D}^*(F) \cap \mathcal{A}_{reg}(F) \neq \emptyset$ . We will prove in Theorem ?? that F is  $\mathcal{D}$ -regular if and only if F is regular.

# 3 Block-Diagonality in Groups of Non-Regular Alternating Type

We continue to use the notation introduced in the previuos section. In particular, G is a locally finite, simple group of alternating type.

**Proposition 3.1** Let F be a finite subgroup of G,  $(H, \Omega) \in \mathcal{A}^*(F) \cap \mathcal{A}_{reg}(F)$  and  $\Sigma$  an H-set. Then F has a regular orbit on each  $\Omega$ -essential orbit for H on  $\Sigma$ . In particular,  $\mathcal{A}(H) \subseteq \mathcal{A}_{reg}(F)$ .

**Proof:** Let  $\Lambda$  be an  $\Omega$ -essential orbit for H on  $\Sigma$ . We need to show that F has a regular orbit on  $\Lambda$ . So we may assume that (2) in ?? holds. Since F has a regular orbit on  $\Omega$ , there exists  $\omega \in \Omega$  with  $C_F(\omega) = 1$ . Since  $|\Omega| \ge f_{reg}(|F|) \ge 9|F|^2 \ge 2|F|$ , there exists a subset U of  $\Omega$  of size t with  $U \cap \omega^F = \{\omega\}$ . Then  $N_F(U) \le C_F(\omega) = 1$  and F has a regular orbit on  $\Delta$ . Hence F has a regular orbit on  $\Lambda$ .

**Proposition 3.2** Let F be a finite subgroup of G,  $(H, \Omega) \in \mathcal{A}^*(F)$  and  $A \in \mathcal{A}$  with  $H \leq H_A$ . Suppose that  $\Lambda$  is an  $\Omega$ -essential orbit for H on  $\Omega_A$  and  $\omega \in \Omega$  such that

- (i) F has no regular orbit on  $\Lambda$ .
- (ii) There does not exist  $\lambda \in \Lambda$  with  $C_F(\lambda) \leq C_F(\omega)$ .

Then

- (a) There exists an H-invariant partition  $\Delta$  of  $\Lambda$  so that  $\Delta \cong \Omega$  as an H-set.
- (b) If  $\check{\omega}$  is the element in  $\Delta$  corresponding to  $\omega$ , then  $C_F(\omega) = N_F(\check{\omega}) = C_F(\check{\omega}) = C_F(\lambda)$ for all  $\lambda \in \check{\omega}$ .

**Proof:** Note that all the assumptions of ?? are fullfilled. By (i), ??(1) does not hold. So we can choose t and  $\Delta$  as in ??(2).

Let  $1 \neq f \in C_F(\omega)$ . Suppose that  $t \neq 1$ . Since  $\deg_{\Omega}(f) \geq 9|F|^2 \geq 2|F|$ , there exists  $\rho \in \Omega$  with  $\rho \notin \omega^F$  and  $\rho \neq \rho^f$ . Since  $t \leq |F| - 2$ , there exists a subset U of  $\Omega$  of size t with  $\rho \in U, \rho^f \notin U$  and  $U \cap \omega^F = \{\omega\}$ . Then  $N_F(U) \leq C_F(\omega)$  and  $f \notin N_G(U)$ . Thus  $N_F(U) \leq C_F(\omega)$ .

Let  $\delta \in \Delta$  so that  $\delta$  corresponds to U. Note that  $\delta$  is a subset of  $\Lambda$  and pick  $\lambda \in \delta$ . Then

$$C_F(\lambda) \le N_F(\delta) = N_F(U) \le C_F(\omega),$$

a contradiction to the assumptions.

Thus t = 1 and (a) holds. For (b), pick  $\lambda \in \check{\omega}$  and note that

$$C_F(\lambda) \le N_F(\check{\omega}) = C_F(\omega).$$

This implies that  $C_F(\lambda) = C_F(\omega) = N_F(\check{\omega}) = C_F(\check{\omega})$ . So (b) holds.

**Theorem 3.3** Let G be a locally finite, simple group of alternating type and F a finite subgroup of G. Then the following are equivalent :

- 1. F is not A-regular.
- 2. F is not  $\mathcal{D}$ -regular for some alternating Kegel cover  $\mathcal{D}$  for G.
- 3. There exists an alternating Kegel cover  $\mathcal{D}$  for G and a non-negative integer t such that for all  $A \in \mathcal{D}(F)$ , F has at most t regular orbits on  $\Omega_A$ .
- 4. F is non-regular.

**Proof:** Clearly (1) implies (2).

Suppose (2) holds. By ??,  $\mathcal{D}^*(F)$  is a Kegel cover for G. Hence (3) holds with t = 0 and  $\mathcal{D}^*(F)$  in place of  $\mathcal{D}$ .

Suppose (3) holds but (4) does not. Then F is regular and so  $\mathcal{A}_{reg}(F)$  is a Kegel cover for G. By ??, there exists  $(H, \Omega) \in \mathcal{A}_{reg}(F) \cap \mathcal{A}^*(F)$ . Let  $A \in \mathcal{D}(H)$ . By assumption, Fhas at most t regular orbits on  $\Omega_A$ . Hence by ??, H has at most t  $\Omega$ -essential orbits on  $\Omega_A$ . Let R be the minimal normal supplement to  $C_H(\Omega)$  in H. As each  $\Omega$ -essential H-orbit has size at most |H| and since R acts trivially on the non- $\Omega$ -essential orbits,  $\deg_{\Omega_A}(x) \leq t|H|$ for all  $1 \neq x \in R$ . Hence by Hall's Finitary Lemma ??, G is finitary, a contradiction. So (3) implies (4).

Suppose finally that (4) holds but (1) does not. Then there exists  $(H, \Omega) \in \mathcal{A}_{reg}(F) \cap \mathcal{A}^*(F)$ . By ??,  $\mathcal{A}(H) \subseteq \mathcal{A}_{reg}(F)$ . As  $\mathcal{A}(H)$  is a Kegel cover for G, so is  $\mathcal{A}_{reg}(F)$ . So F is regular, a contradiction.

Let F be a finite, non-regular subgroup of G. Let  $\mathcal{M}_F$  be the set of all  $E \leq F$  so that  $E = C_F(\omega)$  for some  $(H, \Omega) \in \mathcal{A}^*(F)$  and  $\omega \in \Omega$ . Note that  $E \neq 1$  for all  $E \in \mathcal{M}_F$ . Let

 $\mathcal{M}_F^*$  be the set of minimal elements of  $\mathcal{M}_F$ . We say that  $\omega$  is *F*-extreme if  $C_F(\omega) \in \mathcal{M}_F^*$ . Let  $\mathcal{B}_F$  be the set of  $(H, \Omega) \in \mathcal{A}^*(F)$  so that there exists an *F*-extreme  $\omega \in \Omega$ . Let  $\mathcal{B}$  be the union of the  $\mathcal{B}_F$ 's as *F* runs through the non-regular finite subgroups of *G*.

**Theorem 3.4** Let G be a locally finite, simple group of alternating type and F a finite non-regular subgroup of G. Then the following holds :

- (a) Let  $(H, \Omega) \in \mathcal{B}_F$  and  $A \in \mathcal{A}^*(F)$  with  $H \leq H_A$  and  $C_H(\Omega_A) \leq C_H(\Omega)$ . Then  $A \in \mathcal{B}_F$ and H is  $\Omega$ -block-diagonal on  $\Omega_A$ .
- (b) Let  $(H, \Omega) \in \mathcal{B}_F$ . Then  $\mathcal{A}(H) \cap \mathcal{A}^*(F) \subseteq \mathcal{B}_F$ .
- (c) Let  $A, B \in \mathcal{B}_F$  with  $H_A \leq H_B$ . Then  $H_A$  is  $\Omega_A$ -block-diagonal on  $\Omega_B$ .
- (d) Both  $\mathcal{B}_F$  and  $\mathcal{B}$  are Kegel covers for G.

**Proof:** (a) Since  $C_H(\Omega_A) \leq C_H(\Omega)$ , there exists an  $\Omega$ -essential orbit  $\Lambda$  for H on  $\Omega_A$ . Let  $\Lambda$  be any  $\Omega$ -essential orbit for H on  $\Omega_A$ . Let  $\omega \in \Omega$  be F-extreme. Let  $\Delta$  be the H-invariant partition of  $\Lambda$ , given by ??(a). Let  $\check{\omega}$  be the element of  $\Delta$ , corresponding to  $\omega$  and  $\lambda \in \check{\omega}$ . By ??(b),

$$C_F(\omega) = C_F(\check{\omega}) = C_F(\lambda).$$

In particular,  $C_F(\lambda) = C_F(\omega) \in \mathcal{M}_F^*$ ,  $\lambda$  is *F*-extreme and  $A \in \mathcal{B}_F$ .

Since  $C_F(\check{\omega}) = C_F(\omega) \neq 1$  and F is faithful on  $\Omega$ ,  $C_F(\check{\omega}) \nleq C_H(\Omega)$ . Hence  $C_H(\check{\omega}) \nleq C_H(\Omega)$  and  $\Lambda$  is  $\Omega$ -block-natural. So  $\Omega_A$  is  $\Omega$ -block-diagonal.

(b) Follows from (a).

(c) If  $C_{H_A}(\Omega_B) \notin C_{H_A}(\Omega_A)$ ,  $H_A$  has no  $\Omega_A$ -essential orbits on  $\Omega_B$ . So (c) holds in this case. If  $C_{H_A}(\Omega_B) \leq C_{H_A}(\Omega_A)$ , we can apply (a) and again (c) holds.

(d) Let  $(H, \Omega) \in \mathcal{B}_F$ . By ??,  $\mathcal{A}(H) \cap \mathcal{A}^*(F)$  is a Kegel cover for G. By (b),  $\mathcal{A}(H) \cap \mathcal{A}^*(F) \subseteq \mathcal{B}_F \subseteq \mathcal{B}$ . So (d) holds.  $\Box$ 

### 4 Groups Acting Block-Diagonally on a Set

Let  $(H, \Omega) \in \mathcal{A}$  and  $\Sigma \subseteq \Omega$ . If  $|\Omega \setminus \Sigma| \ge 5$ , let  $R_{\Sigma}$  be the minimal normal supplement to  $C_H(\Omega)$  in  $C_H(\Sigma)$ ; otherwise put  $R_{\Sigma} = 1$ . Put  $R = R_{\emptyset}$ .

Suppose that H is  $\Omega$ -block-diagonal on a set  $\Lambda$ . Let  $\Lambda^*$  be the union of the  $\Omega$ -essential orbits for H on  $\Lambda$ . As every orbit for H on  $\Lambda^*$  is  $\Omega$ -block-natural, there exists an Hinvariant partition  $\Delta$  of  $\Lambda^*$  so that  $\Omega$  and  $\Delta$  are isomorphic as H-sets. Note that this isomorphism is unique. Let  $\tilde{\Sigma}$  denote the image of  $\Sigma$  in  $\Delta$  under this H-isomorphism. Each  $D \in \tilde{\Sigma}$  is a subset of  $\Lambda^*$ . Let  $\hat{\Sigma} = \bigcup \tilde{\Sigma}$  be the union of these subsets. So  $\hat{\Sigma} \subseteq \Lambda^*$  and  $N_H(\hat{\Sigma}) = N_H(\tilde{\Sigma}) = N_H(\Sigma)$ . Define  $H_{\hat{\Sigma}} = C_H(\hat{\Sigma})$  and  $H_{\Sigma} = C_H(\Sigma)$ . Then  $H_{\hat{\Sigma}} \leq H_{\Sigma}$ . Note that  $C_H(\Omega) = H_{\Omega} \leq H_{\Sigma}$  but  $H_{\Omega} \not\leq H_{\hat{\Sigma}}$ , unless  $H_{\Omega}$  acts trivially on  $\Lambda^*$  or  $\Sigma = \emptyset$ .

**Lemma 4.1** Let  $(H, \Omega) \in \mathcal{A}$ . Suppose that H is  $\Omega$ -block-diagonal on a set  $\Lambda$ . Let  $\Sigma \subseteq \Omega$ .

(a) If  $|\Omega \setminus \Sigma| \ge 5$ , then

$$H_{\Sigma} = H_{\hat{\Sigma}} H_{\Omega} = (H_{\hat{\Sigma}} \cap R) H_{\Omega} \text{ and } R_{\Sigma} \leq H_{\hat{\Sigma}} \cap R.$$

- (b) Both  $\bigcap_{\omega \in \Omega} R_{\omega}$  and  $R \cap H_{\hat{\Omega}}$  act trivially on  $\Lambda$ .
- (c) Let  $\Sigma_1, \Sigma_2 \subseteq \Omega$  with  $\Omega = \Sigma_1 \cup \Sigma_2$ . If H is faithful on  $\Lambda$ , then

$$[R_{\Sigma_1}, R_{\Sigma_2}] = [H_{\hat{\Sigma}_1} \cap R, H_{\hat{\Sigma}_2} \cap R] = [H_{\hat{\Sigma}_1}, H_{\hat{\Sigma}_2}] \cap R = 1.$$

**Proof:** (a) Let  $\omega \in \Omega$ . Let  $\Xi$  be an orbit for H on  $\Lambda$  such that R acts non-trivial on  $\Xi$ . Then  $\Xi$  is  $\Omega$ -essential for H and as  $\Lambda$  is  $\Omega$ -block-diagonal,  $\Xi$  is  $\Omega$ -block-natural. Hence

$$H_{\omega} = C_H(\Xi \cap \hat{\omega})H_{\Omega}.$$

Thus  $R_{\omega} \leq C_H(\Xi \cap \hat{\omega})$ . As  $\Xi$  was an arbitrary  $\Omega$ -essential orbit for H on  $\Lambda$ ,  $R_{\omega} \leq H_{\hat{\omega}}$ . Thus

$$H_{\omega} = R_{\omega}H_{\Omega} = H_{\hat{\omega}}H_{\Omega}.$$

Let  $\omega \in \Sigma$ . We compute

$$H_{\Sigma} = H_{\omega} \cap H_{\Sigma} = (H_{\hat{\omega}} H_{\Omega}) \cap H_{\Sigma} = (H_{\hat{\omega}} \cap H_{\Sigma}) H_{\Omega}$$

By minimality of  $R_{\Sigma}$ ,  $R_{\Sigma} \leq H_{\hat{\omega}} \cap H_{\Sigma} \leq H_{\hat{\omega}}$ . As this is true for all  $\omega \in \Sigma$  and  $H_{\hat{\Sigma}} = \bigcap_{\omega \in \Sigma} H_{\hat{\omega}}$ ,  $R_{\Sigma} \leq H_{\hat{\Sigma}}$ . As  $H_{\Sigma} = R_{\Sigma}H_{\Omega}$ ,  $H_{\Sigma} = H_{\hat{\Sigma}}H_{\Omega}$ . Finally,  $H = RH_{\Omega}$  implies  $H_{\Sigma} = (R \cap H_{\Sigma})H_{\Omega}$ and so  $R_{\Sigma} \leq R \cap H_{\Sigma}$ . Hence  $R_{\Sigma} \leq H_{\hat{\Sigma}} \cap R$  and  $H_{\Sigma} = R_{\Sigma}H_{\Omega} = (H_{\hat{\Sigma}} \cap R)H_{\Omega}$ . This proves (a).

(b) Note that  $\hat{\Omega} = \Lambda^*$  and so  $H_{\hat{\Omega}}$  acts trivially on  $\Lambda^*$ . Since R acts trivially on each of the non- $\Omega$ -essential orbits for H on  $\Lambda$ , R acts trivially on  $\Lambda \setminus \Lambda^*$ . So  $H_{\hat{\Omega}} \cap R$  acts trivially on  $\Lambda$ . By (a),  $\bigcap_{\omega \in \Omega} R_{\omega} \leq \bigcap_{\omega \in \Omega} (H_{\hat{\omega}} \cap R) = H_{\hat{\Omega}} \cap R$ . So (b) holds.

(c) Note that  $H_{\hat{\Sigma}_1} \leq H_{\Sigma_1} \leq H_{\Omega \setminus \Sigma_2} \leq N_H(\Sigma_2) \leq N_H(H_{\hat{\Sigma}_2})$ . Similarly,  $H_{\hat{\Sigma}_2} \leq N_H(H_{\hat{\Sigma}_1})$ . So

$$[H_{\hat{\Sigma}_1}, H_{\hat{\Sigma}_2}] \le H_{\hat{\Sigma}_1} \cap H_{\hat{\Sigma}_2} \le H_{\widehat{\Sigma_1 \cup \Sigma_2}} = H_{\hat{\Omega}}.$$

Using (a) we get that

$$[R_{\Sigma_1}, R_{\Sigma_2}] \le [H_{\hat{\Sigma}_1} \cap R, H_{\hat{\Sigma}_2} \cap R] \le [H_{\hat{\Sigma}_1}, H_{\hat{\Sigma}_2}] \cap R \le H_{\hat{\Omega}} \cap R.$$

Since H is faithful on  $\Lambda$ , (b) implies  $H_{\hat{\Omega}} \cap R = 1$ . Thus (c) holds.

**Theorem 4.2** Let G be a locally finite, simple group of alternating type and  $\mathcal{D}$  an alternating Kegel cover for G. Suppose that there exists  $(H, \Omega) \in \mathcal{A}$  such that for all  $A \in \mathcal{D}(H)$ , H is  $\Omega$ -block-diagonal on  $\Omega_A$ . Then H is non-regular and G is of non-regular alternating type.

**Proof:** By ??,  $\mathcal{D}^*(H)$  is a Kegel cover for G. By ??(2), it suffices to show that for all  $A \in \mathcal{D}^*(H)$ , H does not have a regular orbit on  $\Omega_A$ . Pick  $A \in \mathcal{D}^*(H)$  and  $\lambda \in \Omega_A$ . Put  $\Lambda = \lambda^H$ . Suppose that  $\Lambda$  is regular. If  $\Lambda$  is not  $\Omega$ -essential, then  $1 \neq R \leq C_H(\lambda)$ , a contradiction. Hence  $\Lambda$  is  $\Omega$ -essential and so  $\lambda \in \hat{\omega}$  for some  $\omega \in \Omega$ . By Lemma ??(a),  $1 \neq R_{\omega} \leq H_{\hat{\omega}} \leq C_H(\lambda)$ , a contradiction. So  $\Lambda$  is not regular.  $\Box$ 

**Theorem 4.3** Let  $(H, \Omega) \in \mathcal{A}$  and suppose that H is faithful and  $\Omega$ -block-diagonal on some set. Let R be the minimal normal supplement to  $H_{\Omega}$  in H. Let  $\omega \in \Omega$  and put  $K = C_R(\omega)/R_{\omega}$ . Then

$$R \cong (K \wr_{\Omega} \operatorname{Alt}(\Omega))'.$$

**Proof:** To simplify notation, we assume that  $\Omega = \{1, \ldots, n\}$  and  $\omega = 1$ . For  $i \in \Omega$ , pick  $r_i \in R$  with  $1^{r_i} = i$ . Let  $\pi : R \to \operatorname{Alt}(\Omega)$  be the homomorphism arising from the action of R on  $\Omega$ . Note that  $r_i g r_{ig}^{-1} \in C_R(1)$  for all  $g \in R$  and all  $i \in \Omega$ . Hence we obtain a map

$$\phi: R \to K \wr_{\Omega} \operatorname{Alt}(\Omega): g \to ((r_i g r_{ig}^{-1} R_1)_{i \in \Omega}, \pi(g)).$$

We will first show that  $\phi$  is a homomorphism. Indeed let  $g, h \in \mathbb{R}$ . Then

$$\begin{split} \phi(g)\phi(h) &= ((r_igr_{i^g}^{-1}R_1)_{i\in\Omega}, \pi(g))((r_ihr_{i^h}^{-1}R_1)_{i\in\Omega}, \pi(h)) \\ &= ((r_igr_{i^g}^{-1}r_{i^g}hr_{i^{gh}}^{-1}R_1)_{i\in\Omega}, \pi(g)\pi(h)) \\ &= ((r_ighr_{i^{gh}}^{-1}R_1)_{i\in\Omega}, \pi(gh)) \\ &= \phi(gh). \end{split}$$

If  $\phi(g) = 1$ , then  $\pi(g) = 1$  and so

$$\phi(g) = ((r_i g r_i^{-1} R_1)_{i \in \Omega}, 1).$$

Thus  $r_i g r_i^{-1} \in R_1$  and  $g \in R_1^{r_i} = R_i$  for all  $i \in \Omega$ . By ??(b), g = 1. So  $\phi$  is one-to-one.

Put  $D = \phi(R)$  and  $S = K \wr_{\Omega} \operatorname{Alt}(\Omega)$ . It remains to show that D = S'. For  $i \in \Omega$  let  $D_i = \phi(R_i)$ . Also let B be the base group of S. Then  $B = \bigoplus_{i=1}^n B_i$  with  $B_i \cong K$  for all  $i \in \Omega$ . Note that  $B \cap D = \phi(C_R(\Omega))$ . Since  $C_H(1) = R_1 C_H(\Omega)$  and  $R_1 \leq R$  we have  $C_R(1) = R_1 C_R(\Omega)$ . As  $K = C_R(1)/R_1$ , we conclude that  $B \cap D$  projects onto  $B_i$  for all  $i \in \Omega$ . For  $i \in \Omega$ , put  $Q_i = B_i \cap D$ . As  $B \cap D$  normalizes  $Q_i, Q_i \leq B_i$  for all  $i \in \Omega$ . Let  $Q = \bigoplus_{i \in \Omega} Q_i$ . Then  $Q \leq BD = S$ .

Put  $E = \langle B \cap D_i \mid i \in \Omega \rangle$  and  $D^* = \langle D_i \mid i \in \Omega \rangle$ . Note that both E and  $D^*$  are normal in D and  $D = D^*(B \cap D)$ . By the definition of R, R has no proper normal supplement to  $C_R(\Omega)$ . Thus  $D = D^*$ .

Let  $i \in \Omega$ . Then  $[C_R(\Omega), R_i] \leq C_R(\Omega) \cap R_i$  and so  $[B \cap D, D_i] \leq B \cap D_i$ . As  $D = D^*$ , we get  $[B \cap D, D] \leq E$  and D/E is a perfect central extension of  $D/B \cap D \cong \operatorname{Alt}(\Omega)$ . Note that  $D_1/D_1 \cap B \cong \operatorname{Alt}(\Omega \setminus \{1\})$ . Since  $D_1 \not\leq E$  and  $D_1 \cap E = D_1 \cap B$  we conclude  $D_1E/E \cong D_1/D_1 \cap E = D_1/D_1 \cap B \cong \operatorname{Alt}(\Omega \setminus \{1\})$ . From the Schur multiplier of  $\operatorname{Alt}(\Omega)$ [?] and since  $|\Omega| \geq 7$  we see that no non-trivial, perfect, central extension of  $\operatorname{Alt}(\Omega)$  has a subgroup isomorphic to Alt $(\Omega \setminus \{1\})$ . Thus  $B \cap D = E$ . Let  $i \neq j \in \Omega$ . As  $[B \cap D, D_i] \leq B \cap D_i$ ,  $D_i$  normalizes  $(B \cap D_j)(B \cap D_i)$ . Since  $D_i$  acts transitively on  $\Omega \setminus \{i\}$ , we get  $B \cap D_k \leq (B \cap D_j)(B \cap D_i)$  for all  $k \in \Omega$ . Thus

$$E = (B \cap D_i)(B \cap D_j), \quad \forall i \neq j \in \Omega.$$

Put

$$X = [B \cap D, B \cap D_2, \dots, B \cap D_n].$$

Since  $B \cap D_j$  projects trivially onto  $B_j$  for all  $2 \le j \le n$ ,  $X \le B_1$  and so  $X \le Q_1$ . Since  $B \cap D = E = (B \cap D_j)(B \cap D_1)$  for all  $2 \le j \le n$ ,

$$X(B \cap D_1) = \underbrace{[B \cap D, \dots, B \cap D]}_{n \text{ terms}} (B \cap D_1).$$

Projecting this equation on  $B_1$  and using that the projection of  $B \cap D_1$  is trivial, we get

$$\underbrace{[B_1, \dots, B_1]}_{n \text{ terms}} = X \le Q_1$$

So  $B_1/Q_1$  is nilpotent. Hence also B/Q is nilpotent.

Suppose for the moment that B is abelian. Let  $B_0 = \{b \in B \mid \sum b_i = 0\}$ . So  $[B, S] = [B, D] = B_0$  and  $S' = B_0 \operatorname{Alt}(\Omega)$ . As D is perfect,  $D \leq S'$  and so  $E = B \cap D \leq B_0$ .

Put  $L = \langle e_i - e_j \mid e \in E, 1 \leq i < j \leq n \rangle \leq K$  and  $Y = \{b \in B \mid b_i \in L\}$ . Note that  $Y \cap B_0$ is generated by elements of the form  $(0, \ldots, 0, e_i - e_j, 0, \ldots, 0, e_j - e_i, 0, \ldots, 0)$  where the non-zero entries are in arbitrary positions and  $(e_1, \ldots, e_n) \in E$ . Let  $e = (e_1, \ldots, e_n) \in E$ . Pick  $s_1, s_2 \in R$  with  $\pi(s_1) = (1, 2, 3)$  and  $\pi(s_2) = (1, 4)(5, 6)$ . Then

$$[e, \phi(s_1), \phi(s_2)] = [(e_3 - e_1, e_1 - e_2, e_2 - e_3, 0, \dots, 0), \phi(s_2)] = (e_1 - e_3, 0, 0, e_3 - e_1, 0, \dots, 0).$$

We conclude that  $Y \cap B_0 \leq E$ . Pick  $e = (e_1, \ldots, e_n) \in B \cap D_i \leq E$ . Since  $B \cap D_i$  projects trivially on  $B_i, e_i = 0$ . Hence  $e_j = e_j - e_i \in L$  for all  $j \in \Omega$  and  $e \in Y$ . So  $B \cap D_i \leq Y$  and the definition of E implies  $E \leq Y$ . Thus  $Y \cap B_0 \leq E \leq Y \cap B_0$  and  $E = Y \cap B_0$ . As  $E = B \cap D$ projects onto  $B_1$ , we get that K = L, B = Y and  $E = B_0$ . So  $D \leq S' \leq B_0 D = D$  and S' = D.

Thus the theorem holds if B is abelian. More importantly, note that all the above arguments are valid in S/B' and so S' = DB'. Hence  $B_0 = EB'$  where  $B_0 = S' \cap B$ . For j = 2, 3, pick  $d_j \in D$  with  $1^{d_j} = j$ . Then for j = 2, 3,  $[B_1, d_j] \leq B_1 B_j$  and  $[B_1, d_j]$  projects onto  $B_1$ . Thus

$$[[B_1, d_2], [B_1, d_3]] = [B_1, B_1].$$

Hence  $B'_0 = B'$  and  $B_0 = EB'_0$ . As B/Q is nilpotent and  $Q \le E \le B_0$ ,  $B'_0Q/Q \le \Phi(B_0/Q)$ . So  $B_0 = EQ = E$  and  $S' = DB' = DB'_0 = DE' = D$ .

The preceding theorem is false for  $|\Omega| = 6$  and thus our assumption that  $|\Omega| \ge 7$  for all  $(H, \Omega) \in \mathcal{A}$ . Indeed, let 3. Alt(6) be the 3-cover of Alt(6). Then 3. Alt(6) has Alt(5) as a subgroup. Also, 3. Alt(6) acts faithfully and block-natural on the cosets of Alt(5). But 3. Alt(6) is not the derived group of a wreath-product.

## 5 Groups of 1-Type and $\infty$ -Type

The main goal of this section is to prove theorems ?? and ??. For this, we first prove a couple of technical lemmas.

**Lemma 5.1** Let H be a group,  $\mathbb{K}$  a field and  $0 \leq X \leq Y$  a chain of  $\mathbb{K}H$ -modules. Let P be its stabilizer in  $GL_{\mathbb{K}}(Y)$ . If H acts projectively non-trivially on Y/X, then

$$X = [Y, [P, H]].$$

**Proof:** Let Z = [Y, [P, H]] and suppose that  $Z \neq X$ . Then  $Z \leq X$ . Replacing  $0 \leq X \leq Y$  by  $0 \leq X/Z \leq Y/Z$ , we may assume that Z = 0. So [Y, [P, H]] = 0 and [P, H] = 1. It is well-known and easily verified that  $C_{GL_{\mathbb{K}}(Y)}(P) \leq Z(GL_{\mathbb{K}}(Y))P$ . But this implies that H acts as scalars on Y/X, a contradiction.

**Lemma 5.2** Let T be a finite group,  $n \in \mathbb{Z}^+$  and  $T_1, T_2, \ldots T_n$  non-trivial subgroups of T. Suppose that  $T \leq \operatorname{PGL}_{\mathbb{K}}(V)$  and that  $\operatorname{pdeg}_V(t) \geq (n+1)|T|^2$  for all  $1 \neq t \in T$ . Then there exists a T-invariant unipotent subgroup  $Q \leq \operatorname{PGL}_{\mathbb{K}}(V)$  with  $T \cap Q = 1$  and

$$[[Q, T_1], [Q, T_2]], \dots, [Q, T_n]] \neq 1.$$

**Proof:** For  $1 \neq t \in T$ , let  $U_t$  be a one-dimensional subspace of V such that  $(U_t)^t \neq U_t$ . Put  $V_1 = \langle U_t^T \mid 1 \neq t \in T \rangle$ . Then  $\dim_{\mathbb{K}} V_1 \leq |T|^2$ , T acts projectively faithfully on  $V_1$  and  $\operatorname{pdeg}_{V/V_1}(t) \geq n|T|^2$  for all  $1 \neq t \in T$ .

An easy induction argument now shows that there exists an ascending chain

$$0 = V_0 \le V_1 \le V_2 \le V_n \le V_{n+1} \le V$$

of T-submodules so that T acts (projectively) faithfully on  $V_{i+1}/V_i$  and  $\dim_{\mathbb{K}} V_{i+1}/V_i \leq |T|^2$ for all  $0 \leq i \leq n$ . Let  $P \leq \operatorname{GL}_{\mathbb{K}}(V)$  be the stabilizer of this chain and Q the image in  $\operatorname{PGL}_{\mathbb{K}}(V)$  of P. For  $i = 1, \ldots, n$ , let  $S_i$  be the pre-image in  $\operatorname{GL}_{\mathbb{K}}(V)$  of  $T_i$  and  $P_i = [P, S_i]$ . Put  $A_0 = C_P(V/V_1), A_1 = [A_0, S_1] \leq P_1$  and inductively  $A_i = [A_{i-1}, P_i]$  for  $i = 2, \ldots, n$ .

We will prove by induction that

(\*) 
$$[V_i, A_i] = 0$$
 and  $[V, A_i] = [V_{i+1}, A_i] = V_1$ 

for all  $1 \le i \le n$ . Note first that  $A_i \le A_0$  for all *i* and so  $[V_{i+1}, A_i] \le [V, A_i] \le [V, A_0] \le V_1$ . By ?? applied to  $0 \le V_1 \le V_2$  and  $H = S_1$ ,  $[V_2, [A_0, S_1]] = V_1$ . Thus (\*) holds for i = 1.

Suppose that (\*) holds for i - 1. Then

$$[V_i, P_i, A_{i-1}] \le [V_{i-1}, A_{i-1}] = 0$$

and

$$[V_i, A_{i-1}, P_i] = [V_1, P_i] = 0$$

#### 5 GROUPS OF 1-TYPE AND $\infty$ -TYPE

Thus by the Three Subgroup Lemma,

$$[V_i, [A_{i-1}, P_i]] = 0.$$

So the first statement in (\*) holds.

By ?? applied to  $0 \le V_i/V_{i-1} \le V_{i+1}/V_{i-1}$  and  $H = S_i$ ,

$$[V_{i+1}, [P, S_i]] + V_{i-1} = V_i.$$

Taking the commutator with  $A_{i-1}$  on both sides (and using the induction assumption), we conclude

$$[V_{i+1}, P_i, A_{i-1}] = V_1.$$

Also

$$[V_{i+1}, A_{i-1}, P_i] \le [V, A_0, P_i] \le [V_1, P_i] = 0.$$

Thus by the Three Subgroup Lemma,

$$[V_{i+1}, [A_{i-1}, P_i]] = V_1.$$

Hence (\*) holds for all  $1 \leq i \leq n$ . In particular,  $[V, A_n] = V_1$  and  $A_n \not\leq Z(\operatorname{GL}_{\mathbb{K}}(V))$ . Note that

$$A_n \leq [[P, S_1], [P, S_2], \dots, [P, S_n]]$$

and the lemma follows by considering the image of the last equation in  $PGL_{\mathbb{K}}(V)$ .

**Theorem 5.3** Let G be a locally finite, simple group of alternating type and F a finite subgroup of G. Then the following are equivalent :

- 1. F is regular.
- 2. Let L be a finite group and  $\phi : F \to L$  an embedding. Then there exists a finite subgroup E of G, containing F, and an epimorphism  $\xi : E \to L$  with  $\phi = \xi |_F$ .

**Proof:** Suppose (1) holds. Let  $\phi : F \to L$  be as in (2). By ??(3), there exists  $(H, \Omega) \in \mathcal{A}(F)$  so that F has at least |L|/|F| regular orbits on  $\Omega$  and  $|\Omega| \ge |L| + 2$ . In particular, there exists an F-invariant subset  $\Lambda$  of  $\Omega$  of size |L| so that all the orbits of F on  $\Lambda$  are regular. Let  $\rho : L \to \text{Sym}(\Lambda)$  be an embedding such that  $\rho(L)$  acts regularly on  $\Lambda$ . Let  $\psi : N_H(\Lambda) \to \text{Sym}(\Lambda)$  be the homomorphism arising from the action of  $N_H(\Lambda)$  on  $\Lambda$ . As both  $\psi(F)$  and  $\rho\phi(F)$  act semi-regularly on  $\Lambda$ , there exists an inner automorphism  $\tau$  of  $\text{Sym}(\Lambda)$  with  $\psi |_F = \tau \rho \phi$ . Thus

$$\psi(F) = \tau \rho \phi(F) \le \tau \rho(L) \le \operatorname{Sym}(\Lambda).$$

As  $|\Omega| \ge |L| + 2$ ,  $|\Omega \setminus \Lambda| \ge 2$ . Since  $H^{\Omega} = \operatorname{Alt}(\Omega)$ ,  $\psi(N_H(\Lambda)) = \operatorname{Sym}(\Lambda)$ . Let  $E = \psi^{-1}(\tau(\rho(L)))$ . Since  $\psi(F) \le \tau \rho(L)$ ,  $F \le E$ . Since  $\rho : L \to \operatorname{Sym}(\Lambda)$  is one-to-one, there

exists a partial inverse  $\rho^* : \rho(L) \to L$ . Put  $\xi = \rho^* \tau^{-1} \psi|_E : E \to L$ . As  $\psi, \tau^{-1}$  and  $\rho^*$  are onto,  $\xi(E) = L$  and

$$\xi \mid_F = \rho^* \tau^{-1} \psi \mid_F = \rho^* \tau^{-1} \tau \rho \phi = \phi.$$

So (1) implies (2).

Suppose that (2) holds. Let  $s \geq f_{reg}(|F|)$  with s even,  $\Omega$  a set with  $|\Omega| = s|F|$  and  $L = \operatorname{Alt}(\Omega)$ . Let  $\phi: F \to L$  be an embedding so that  $\phi(F)$  is semi-regular on  $\Omega$ . Let E and  $\xi$  be given by (2). Then  $(E, \Omega) \in \mathcal{A}^*(F)$  and all the orbits for F on  $\Omega$  are regular. Hence F is  $\mathcal{A}$ -regular and so by ?? F is regular.  $\Box$ 

We remark that the preceding theorem remains true if in part 2. " $\phi : F \to L$  an embedding" is replaced by " $\phi : F \to L$  a homomorphism. "Indeed suppose that  $\alpha : F \to L$  is a homomorphism. Define  $\phi : F \to F \times L$ ,  $f \to (f, \alpha(f))$  and  $\pi : F \times L \to L$ ,  $(f, l) \to l$ . Then  $\phi$  is one-to-one and  $\alpha = \pi \phi$ . So if  $\xi : E \to F \times L$  is onto with  $\xi \mid_F = \phi$ , then  $\pi \xi : E \to L$  is onto with  $(\pi \xi) \mid_F = \alpha$ .

#### Proof of Theorem ??:

Suppose first that G is of non-regular type. Let F be a finite, non-regular subgroup of G. Assume for a contradiction that G is not of 1-type. Then there exists a Kegel cover  $\mathcal{K}$  for G, none of whose factors are alternating groups. By [?, Proposition 3.2(b)], we may assume that all the factors of  $\mathcal{K}$  are of the form  $PSL_{\mathbb{K}}(V)$  for some finite field  $\mathbb{K}$  and a  $\mathbb{K}$ -vectorspace V. Let  $(T, \Sigma) \in \mathcal{B}_F$  with  $|\Sigma| \geq 10$ . By ??, we can choose  $(L, M) \in \mathcal{K}(T)$  so that  $pdeg_{L/M}(t) \geq 9|T|^2$  for all  $1 \neq t \in T$ . For i = 1, 2 pick  $\Sigma_i \subseteq \Sigma$  so that  $\Sigma = \Sigma_1 \cup \Sigma_2$  and  $|\Sigma \setminus \Sigma_i| \geq 5$ . For i = 1, 2, let  $T_i^*$  be the minimal normal supplement to  $C_T(\Sigma)$  in  $C_T(\Sigma_i)$ . By ??, there exists a T-invariant unipotent subgroup Q/M of L/M so that  $T \cap Q = 1$  and

$$[[Q/M, T_1^*], [Q/M, T_2^*]] \neq 1.$$

Note that Q/M is a *p*-group for some prime *p*. Put H = TQ. Then  $\Sigma$  is an *H*-set with Q acting trivially on  $\Sigma$  and  $(H, \Sigma) \in \mathcal{B}_F$ . Pick  $A \in \mathcal{B}_F(H)$ . By ??(c), both *T* and *H* are faithful and  $\Sigma$ -block-diagonal on  $\Omega_A$ . We use the notation introduced just before Lemma ??. Let *R* be the minimal normal supplement to  $H_{\Sigma}$  in *H*. Note that  $H_{\Sigma} = QT_{\Sigma}$ . By ??(a),

$$H_{\Sigma_i} = (H_{\hat{\Sigma_i}} \cap R) H_{\Sigma} = (H_{\hat{\Sigma_i}} \cap R) Q T_{\Sigma}.$$

Hence  $T_{\Sigma_i} = (((H_{\hat{\Sigma_i}} \cap R)Q) \cap T)T_{\Sigma}$  and the minimality of  $T_i^*$  implies  $T_i^* \leq (H_{\hat{\Sigma_i}} \cap R)Q$ . Since  $T_i^*$  is perfect and  $(H_{\hat{\Sigma_i}} \cap R)Q/(H_{\hat{\Sigma_i}} \cap R)M$  is a *p*-group,  $T_i^* \leq (H_{\hat{\Sigma_i}} \cap R)M$ . Thus

$$[[Q, T_1^*], [Q, T_2^*]] \le ([H_{\hat{\Sigma}_1}, H_{\hat{\Sigma}_2}] \cap R)M.$$

By ??(c),  $[[Q, T_1^*], [Q, T_2^*]] \leq M$ , a contradiction.

So non-regular type implies 1-type.

Suppose next that G is locally regular. We will show that G is of  $\infty$ -type. So let S be a class of finite simple groups such that every finite group is embedded into a member of S.

Let F be a finite subgroup of G. Pick  $L \in S$  such that F is embedded in L. By ??, there exist a finite subgroup E of G and  $M \leq E$  such that  $F \leq E$ ,  $F \cap M = 1$  and  $E/M \cong L$ . Put  $K_F = (E, M)$ . Then  $\{K_F \mid F \text{ is a finite subgroup of } G\}$  is a Kegel cover for G all of whose factors are isomorphic to members of S.

So we proved that locally regular implies  $\infty$ -type.

Suppose next that G is of 1-type. Then clearly G is not of  $\infty$ -type and so also not locally regular. Thus G is non-regular.

Suppose finally that G is of  $\infty$ -type. Then G is clearly not of 1-type. As non-regular implies 1-type, we conclude that G is locally regular.

**Proposition 5.4** Let G be a locally finite, simple group of alternating type and F a nonregular subgroup. Then there exists a finite  $F \leq \tilde{F} \leq G$  such that for all finite  $\tilde{F} \leq H \leq G$ and all maximal normal subgroups M of H with  $M \cap \tilde{F} = 1$ , there exists a finite set  $\Omega$  such that

- (a)  $H/M \cong \operatorname{Alt}(\Omega)$ .
- (b) F has no regular orbit on  $\Omega$ .
- (c)  $(H, \Omega) \in \mathcal{B}_F$

**Proof:** Let  $\mathcal{U}$  be the set of pairs (H, M) where H is a finite subgroup of G, M is a maximal normal subgroup of H and H/M is not isomorphic to an alternating group. By ??(a), G is of 1-type and so  $\mathcal{U}$  is not a Kegel cover for G. Hence there exists a finite subgroup  $F_1$  with  $\mathcal{U}(F_1) = \emptyset$ . Let  $s = f_{reg}(|F|)$ . By ??, there exists a finite  $F \leq F_2 \leq G$  with  $\mathcal{A}(F_2) \subseteq \mathcal{A}(F,s) = \mathcal{A}^*(F)$ . Since  $\mathcal{B}_F$  is a Kegel cover for G, there exists  $(\tilde{F}, \Sigma) \in \mathcal{B}_F$  with  $\langle F_1, F_2 \rangle \leq \tilde{F}$ .

Let H and M be as in the proposition. Since  $\mathcal{U}(F_1) = \emptyset$ ,  $H/M \cong \operatorname{Alt}(\Omega)$  for some set  $\Omega$ . Thus  $(H, M) \in \mathcal{A}(\tilde{F})$ . Since  $F_2 \leq \tilde{F}$ ,  $(H, \Omega) \in \mathcal{A}^*(F)$ . In particular, since F is non-regular, F has no regular orbit on  $\Omega$ . Finally, (c) follows from  $(\tilde{F}, \Sigma) \in \mathcal{B}_F$  and ??(b).  $\Box$ 

#### **Proof Of Theorem ?? :**

Let F be a non-regular finite subgroup of G. Let  $\tilde{F}$  be given by ??. For  $1 \neq d \in G$ , we have that  $G = \langle d^{G} \rangle = \langle d^{\langle d^{G} \rangle} \rangle$  and so we can choose a finite  $\tilde{F} \leq F^* \leq G$  with

$$\tilde{F} \le \langle d^{\langle d^{F^*} \rangle} \rangle$$

for all  $1 \neq d \in \tilde{F}$ .

Let  $F^* \leq L \leq G$  be finite and put  $H = \langle F^L \rangle$ . Then  $\tilde{F} \leq H$ . Let  $\{M_1, \ldots, M_n\}$  be the set of all maximal normal subgroups of H. Pick  $i \in \{1, \ldots, n\}$ . Since  $\langle F^L \rangle \leq M_i, F \leq M_i^{l_i}$  for some  $l_i \in L$ . Suppose that  $1 \neq d \in \tilde{F} \cap M_i^{l_i}$ . Then

$$F \leq \tilde{F} \leq \langle d^{\langle d^{F^*} \rangle} \rangle \leq \langle d^H \rangle \leq M_i^{l_i},$$

a contradiction. So  $\tilde{F} \cap M_i^{l_i} = 1$ . Thus by ??(a)(c),  $H/M_i^{l_i} \cong \operatorname{Alt}(\Omega_i)$  for some set  $\Omega_i$  and  $(H, \Omega_i) \in \mathcal{B}_F$ . Note that  $M_i^{l_i} = C_H(\Omega_i)$ . Let  $R_i$  be the minimal normal supplement to  $M_i$  in H. By ??(d), there exists  $A \in \mathcal{B}_F(H)$ . By ??(c), H is faithful and  $\Omega_i$ -block-diagonal on  $\Omega_A$ . By ??,  $R_i^{l_i} \cong R_i \cong (K_i \wr_{\Omega_i} \operatorname{Alt}(\Omega_i))'$  for some finite group  $K_i$ . As  $R_1 \ldots R_n$  lies in none of the  $M_i$ 's,  $H = R_1 R_2 \ldots R_n$ . Thus (a) holds.

Let  $R = R_1$  and  $T = R_2 \dots R_m$ . To show (b), it suffices to show that  $R \cap T = 1$ . Note that  $B_i = R_i \cap M_i$  and so  $[R_i, F] \not\leq B_i$  just means  $F \not\leq M_i$ . Thus we can choose  $l_i = 1$ for all  $1 \leq i \leq m$ . Suppose that  $R \cap T \neq 1$ . Pick an orbit  $\Lambda$  for H on  $\Omega_A$  so that  $R \cap T$ acts non-trivially on  $\Lambda$ . Then  $R_1$  and at least one  $R_j$  with  $2 \leq j \leq m$  act non-trivially on  $\Lambda$ , say j = 2. As  $\Omega_A$  is  $\Omega_i$ -block-diagonal, there exist H-invariant partitions  $\Delta_i$  of  $\Lambda$ so that  $\Delta_i$  is isomorphic to  $\Omega_i$  as an H-set for i = 1, 2. Let  $\omega \in \Omega_1$  be F-extreme and  $U_1$ the corresponding element in  $\Delta_1$ . For all  $\lambda \in U_1$ ,  $C_F(\lambda) \leq N_F(U_1) = C_F(\omega)$ . Since  $\omega$  is F-extreme,  $C_F(\lambda) = C_F(\omega)$  for all  $\lambda \in U_1$  and so  $C_F(\omega)$  acts trivially on  $U_1$ . Let  $U_2 \in \Delta_2$ .

Suppose that  $U_2 \cap U_1 = \emptyset$ . As  $M_1 \neq M_2$ ,  $H = M_1 M_2$  and so  $M_1$  acts transitively on  $\Delta_2$ . So we conclude  $U \cap U_1 = \emptyset$  for all  $U \in \Delta_2$ , a contradiction. Hence  $U_2 \cap U_1 \neq \emptyset$  and so  $C_F(\omega)$  fixes an element in  $U_2$ . Thus  $C_F(\omega)$  normalizes  $U_2$ . As  $U_2$  was arbitrary,  $C_F(\omega)$  acts trivially on  $\Delta_2$  and so on  $\Omega_2$ . Thus  $1 \neq C_F(\omega) \leq M_2$ , a contradiction to  $F \cap M_2 = 1$ .  $\Box$ 

# 6 Non-Absolutely Simple Groups of 1-Type

In this section we present examples of non-absolutely simple, locally finite, simple groups of non-regular alternating type. The existence of such groups also follows from [?, 1.33] and Theorem ??. Our class of examples is slightly larger than the one in [?] and also shows that one does not have any control over the quotient  $L/\langle F^L \rangle$  in Theorem ??. Since knowledge of most of details of [?, Section 6] is required, they are repeated here.

**Lemma 6.1** Let H be a perfect, finite group and  $\Omega$  a faithful, finite H-set. Then there exist a perfect, finite group  $H^*$  containing H, a function X which associates to each subgroup Aof H a subgroup X(A) of  $H^*$ , and a faithful, finite  $H^*$ -set  $\Omega^*$  such that

- (a)  $H \leq \langle h^{H^*} \rangle$  for all  $1 \neq h \in H$ .
- (b)  $X(A) \cap H = A$  for all  $A \leq H$ .
- (c) If  $A \leq B \leq H$ , then  $A \leq B$  if and only if  $X(A) \leq X(B)$ .
- (d)  $X(H) \leq \langle X(H)^{H^*} \rangle$  but X(H) is not a normal subgroup of  $H^*$ .
- (e) Every non-trivial orbit for H on  $\Omega^*$  is isomorphic to an orbit for H on  $\Omega$ .
- (f) There exists a finite X(H)-set  $\Lambda$  so that
  - (fa)  $X(H)^{\Lambda} = \operatorname{Alt}(\Lambda)$
  - (fb) H acts faithfully on  $\Lambda$ .

(fc) Every non-trivial orbit for H on  $\Lambda$  is isomorphic to an orbit for H on  $\Omega$ .

**Proof:** Let I be a faithful, finite H-set so that every non-trivial orbit for H on I is isomorphic to an orbit for H on  $\Omega$ . Let  $S = \operatorname{Alt}(I)$  and  $\alpha : H \to S$  be the monomorphism associated to the action of H on I.

Let T be any non trivial, finite, perfect group and J a faithful, finite T-set such that T acts transitively on J. We assume that  $0 \in I$  and  $\{0,1\} \subseteq J$ . Let  $K = H \wr_I S$ . For  $i \in I$ , let  $\beta_i : H \to K$  be the canonical isomorphism between H and the *i*-th component of the base group of K and let  $\beta$  be the canonical monomorphism from S to K. Let  $H^* = K \wr_J T$  and for  $j \in J$ , let  $\gamma_j : K \to H^*$  be the canonical isomorphism between K and the *j*-th component of the base group of  $H^*$ . Define  $\rho : H \to H^*$  by  $\rho(h) = \gamma_0(\beta_0(h))\gamma_1(\beta(\alpha(h)))$ . Then  $\rho$  is clearly a monomorphism. For  $A \leq H$ , let X(A) be the set of elements in the base group of  $H^*$  such that the projection onto the 0-th component is contained in  $\gamma_0(\prod_{i \in I} \beta_i(A))$ . Identifying H with  $\rho(H)$ , we see immediately that (b) and (c) hold. Note that  $\langle X(H)^{H^*} \rangle$  is the base group of  $H^*$  and so (d) holds. One easily checks that  $\langle h^{H^*} \rangle$  is the base group of  $H^*$  for all  $1 \neq h \in H$  and so (a) holds.

Note that  $K = H \wr_I S$  acts faithfully on  $\Omega \times I$  and  $H^* = K \wr_J T$  acts faithfully on  $\Omega^* := \Omega \times I \times J$ . By definition of the embedding of H into  $H^*$ , we see that

- $\Omega \times \{0\} \times \{0\}$  is isomorphic to  $\Omega$  as an *H*-set.
- *H* acts trivially on  $\Omega \times \{i\} \times \{0\}$  for all  $i \in I \setminus \{0\}$ .
- $\{\omega\} \times I \times \{1\}$  is isomorphic to I as an H-set for all  $\omega \in \Omega$ .
- *H* acts trivially on  $\Omega \times I \times \{j\}$  for all  $j \in J \setminus \{0, 1\}$ .

By assumption, every non-trivial orbit for H on I is isomorphic to an orbit for H on  $\Omega$ . So (e) holds.

Put  $\Lambda = \{\Omega \times \{i\} \times \{1\} \mid i \in I\}$ . Then the base group of  $H^*$  normalizes  $\Lambda$ . Hence  $\Lambda$  is an X(H)-set. Since  $\gamma_1(K) \leq X(H)$  and  $S = \operatorname{Alt}(I)$ , we get  $X(H)^{\Lambda} = \operatorname{Alt}(I)$ . Also,  $\Lambda$  is isomorphic to I as an H-set. So (f) holds.  $\Box$ 

#### Proof of Theorem ??:

Let  $G_1$  be a finite perfect group and  $\Omega_1$  a faithful, finite  $G_1$ -set so that  $G_1$  has no regular orbits on  $\Omega_1$ . Inductively, for  $i \ge 1$ , let  $G_{i+1} = G_i^*$ ,  $X_i$  a function from the subgroups of  $G_i$  to the subgroups of  $G_{i+1}$ ,  $\Omega_{i+1}$  a faithful, finite  $G_{i+1}$ -set and  $\Lambda_{i+1}$  a finite  $X_i(G_i)$ -set which fulfills ??. Let  $G = \bigcup_{i=1}^{\infty} G_i$ . Then by ??(a)(d), G is an infinite, locally finite simple group.

Put  $M_{1,1} = 1$ ,  $M_{1,2} = G_1$  and inductively, for  $n \ge 1$ , we put  $M_{n+1,j} = X_n(M_{n,j})$  for  $1 \le j \le 2n$ ,  $M_{n+1,2n+1} = \langle X_n(G_n)^{G_{n+1}} \rangle$  and  $M_{n+1,2n+2} = G_{n+1}$ . Using induction on n and ??(c)(d), we get that for all  $n \ge 1$ ,  $M_{n,i} \triangleleft M_{n,i+1}$  for  $1 \le i \le 2n - 1$  and  $M_{m,i} \cap G_n = M_{n,i}$  for all m > n and  $1 \le i \le 2n$ . For  $i \ge 1$ , put  $M_i = \bigcup_{n \ge \frac{i}{2}} M_{n,i}$ . Then  $G_n \le M_{2n}$  for all

 $n \ge 1$  and so  $G = \bigcup_{i=1}^{\infty} M_i$ . Also,  $G_n \cap M_i = M_{n,i}$  for all  $n \ge 1$  and  $1 \le i \le 2n$ . By ??(d),  $M_i \lhd M_{i+1}$  for all  $i \ge 1$ .

Hence G is not absolutely simple. Suppose G is finitary. Then by [?], G is an alternating group and so absolutely simple. Therefore G is not finitary. For  $i \ge 1$ , let  $H_{i+1} = X_i(G_i)$ . Then  $G_i$  acts faithfully on  $\Lambda_{i+1}$  and so  $\mathcal{D} = \{(H_i, \Lambda_i) \mid i \ge 2\}$  is an alternating Kegel cover for G. By ??(e)(fc) and induction on i, each non-trivial orbit for  $G_1$  on  $\Omega_i$  or  $\Lambda_i$  is isomorphic to a  $G_1$ -orbit on  $\Omega_1$  for all  $i \ge 2$ . Since  $G_1$  has no regular orbits on  $\Omega_1$ , we conclude that  $G_1$  has no regular orbits on  $\Lambda_i$  for all  $i \ge 2$ . So by ??,  $G_1$  is non-regular and G is of non-regular alternating type.  $\Box$ 

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