

Surgery on Nullhomologous Tori

Ron Fintushel

- joint with Ron Stern

CONJ. X : topological 4-manifd with $K_S \cdot \text{inv}'t = 0$

$\Rightarrow X$ admits only many distinct smooth str's.

Best way to prove conj.

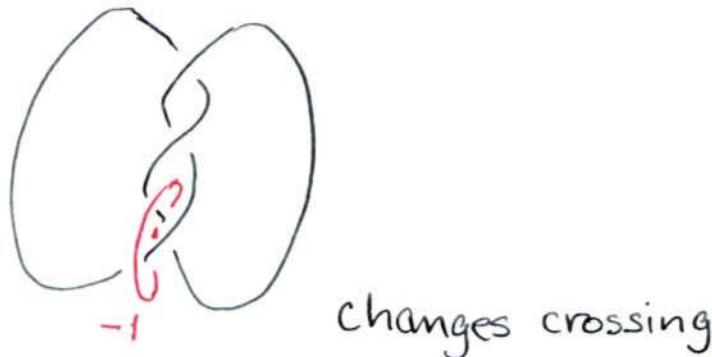
Get dials to rotate

One known way to change smooth str

Knot surgery

Dial = essential torus of self- $\cap = 0$ with $\pi_1 = 0$ complement

Relation with nullhomologous tori



Macarena

Thm of Morgan-Mrowka-Szabo

Essentially For $S^1 \times \mathbb{P}^1/q$ -Dehn surgery on torus T

$$\text{of self-}\cap = 0, \quad T = S^1 \times \gamma$$

(e.g. assume γ is nullhomologous & use nullhomologous framing)

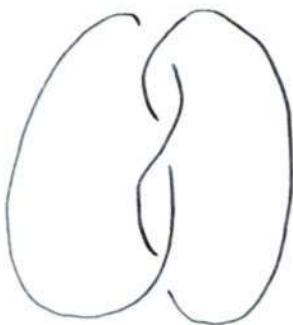
then

$$SW_{X_{\mathbb{P}^1/q}} = p SW_X + q SW_{X_0}$$

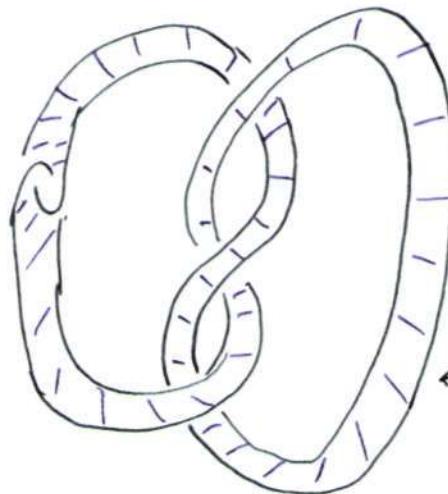
\uparrow surgery wrt nullhomol. framing

Simple method for producing nullhomologous loops

Whitehead doubling



Knot in some
3-mfd

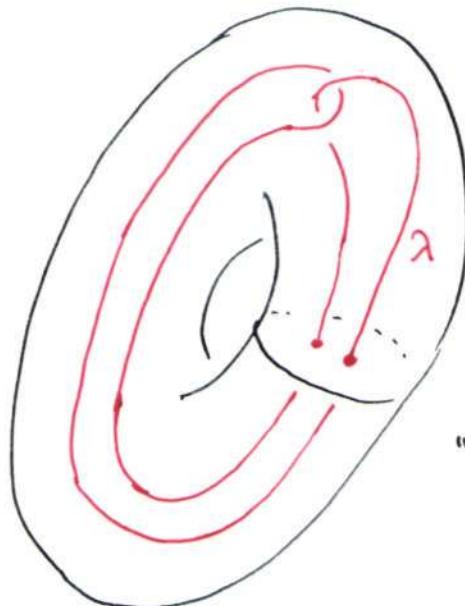


A Whitehead double

- can see genus 1 nullhomology

T : essential self- $\cap = 0$ torus in X

$$\text{Nbhd } T = S^1 \times$$



$$\Lambda = S^1 \times \lambda$$

nullhomologous

"Whitehead double
of T "

Relate to problem of constructing exotic
smooth str's on $\mathbb{CP}^2 \# k \overline{\mathbb{CP}}^2$

History

$k=9$ ∞ 'ly many smooth str's - Donaldson

$k > 9$ Blowup formula

$k=8$ Barlow surface - Kotschick

$k=7$ Park

$k=6$ Stipsicz - Szabo

F-Stern : ∞ 'ly many smooth str's $k=6, 7, 8$

PSS $k=5$

Same features for all latter constructions
(No min. genus essential tori of square 0)

- Find config of 2-spheres that can be rationally
blown down - after first blowing up
(many times, perhaps)

eg  $\mathfrak{d} = L(49, -6)$

Goal - See these examples by

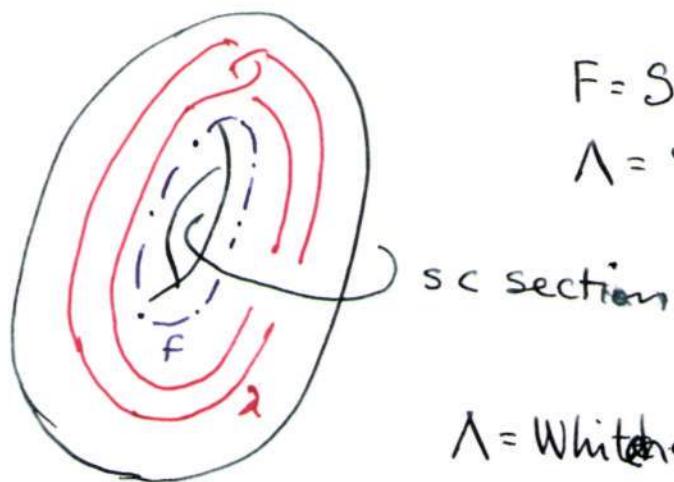
'turning a dial'

(rather than using aux. mfds) - Joint work
with Ron Stern

$$E(1) = \mathbb{CP}^2 \# 9 \bar{\mathbb{CP}}^2 \quad \text{Elliptic surface}$$

$$T^2 = F = \text{elliptic fiber (smooth)} \quad \text{nbd } N_F \approx S' \times (S' \times D^2)$$

$$= S' \times$$



$$F = S' \times F$$

$$\Lambda = S' \times \lambda$$

Λ = Whitehead double
of fiber F

What is result of $\frac{1}{n}$ surgery on Λ ?

$E(1)$ admits metric of +ve scalar curv.

$$\Rightarrow SW_{E(1)} = 0$$

M-M-Sz Formula \Rightarrow

$$SW_{\Lambda, \frac{1}{n}}^{E(1)} = n SW_{\Lambda, 0}^{E(1)}$$

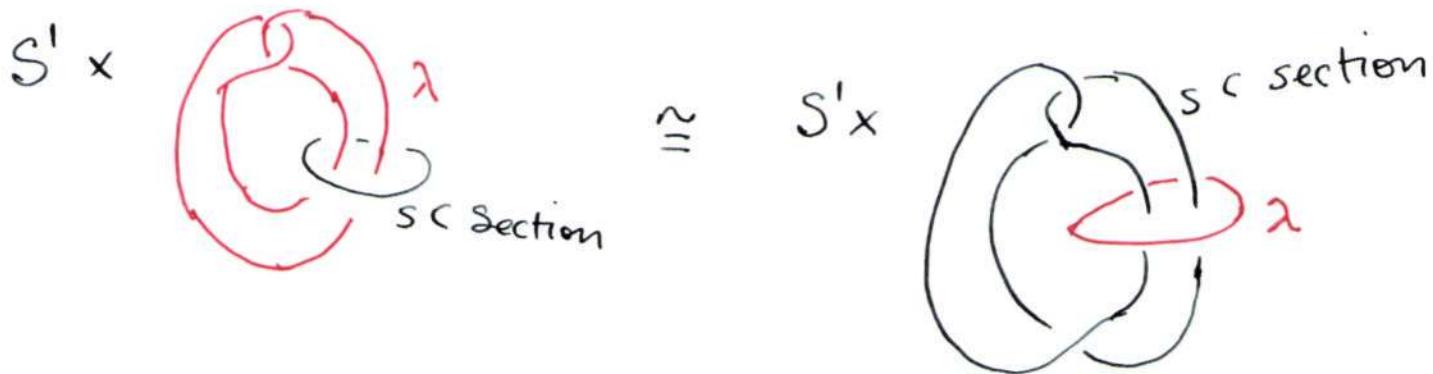
$E(1)_{\lambda, 0}$ = mfld obtained by killing longitude
of λ via surgery

Has $b_1 = 1$ and $H_2 = H_2(E(1)) \oplus \{\text{hyperbolic pair}\}$

core torus of surgery
+

dual torus =
$$\left(\begin{array}{l} D^2 \text{ bdd by long. of } \lambda \\ \cup \text{ punctured torus} \end{array} \right)$$

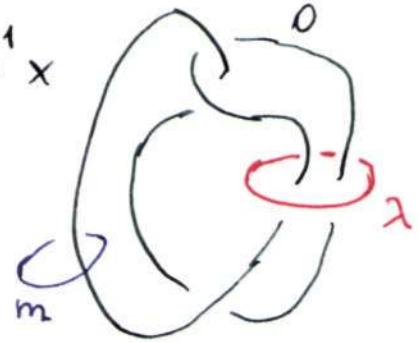
Whitehead link symmetric (+ isotopy) \Rightarrow



Can achieve this situation directly via knot surgery with $K = s$ (= unknot)

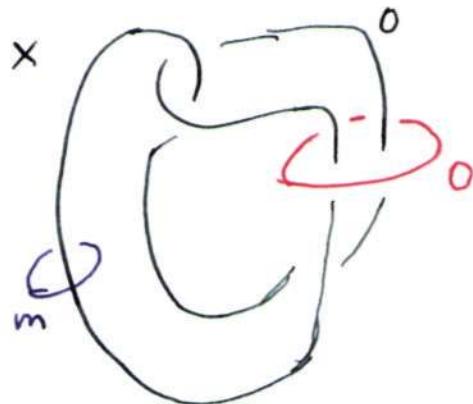
since $E(1)_{\text{unknot}} = E(1)$

$$E(1) = E(1) \underset{K=S}{\#} S' \times_{F=S' \times m}$$



$$E(1)_{\lambda,0} = E(1) \underset{F=S' \times m}{\#} S' \times$$

↑
Want to calculate
its SW-inv't



= Hoste

$$E(1)_L = E(1) \underset{F=S' \times m}{\#} S' \times s(L)$$

link = L

$s(L)$ = sewn-up link complement

$$\exists H_1(s(L)) = \mathbb{Z} \oplus \mathbb{Z}$$

Proof of knot surgery thin \Rightarrow

Compute $SW_{E(1)}_L$ via macarena

$$\text{Rule : } SW_{E(1)}_{L+} = SW_{E(1)}_{L-} + (t - t')^2 SW_{E(1)}_{L_0}$$

L_+
 \downarrow
 links
 L_-
 L_0
 \uparrow
 knot

Use rule to calculate $SW_{E(1)}_{\Lambda, 0} = t^{-1} - t$

\Rightarrow for $\frac{1}{n}$ -surgery on Λ

$$SW_{E(1)}_{\Lambda, \frac{1}{n}} = n(t^{-1} - t) \Rightarrow \infty \text{ family}$$

(Can see all are homeo.)

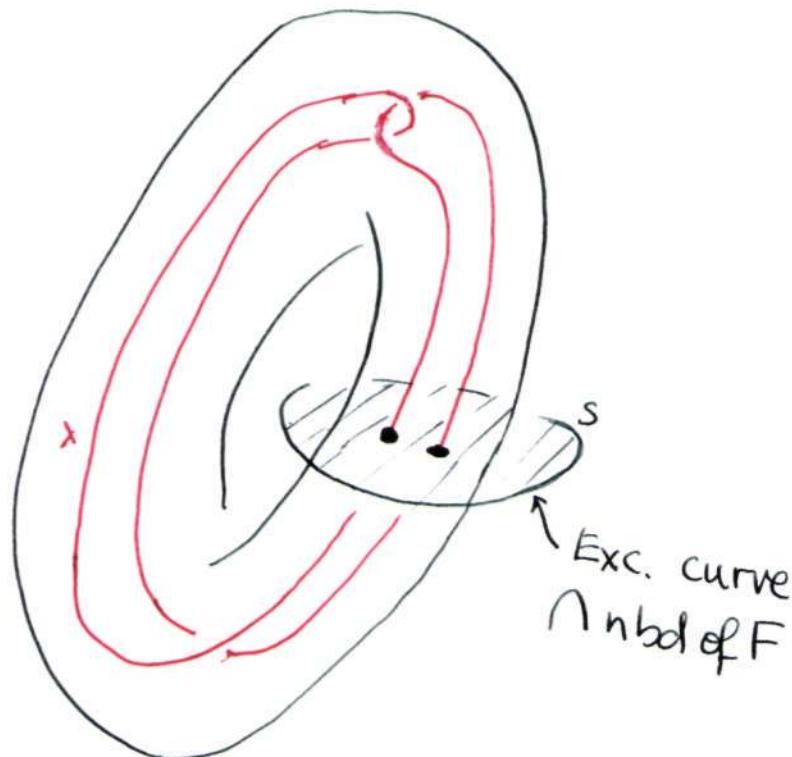
Λ is the dial
 ()

Dream - blow down $E(1) = \mathbb{CP}^2 \# 9\bar{\mathbb{CP}}^2$

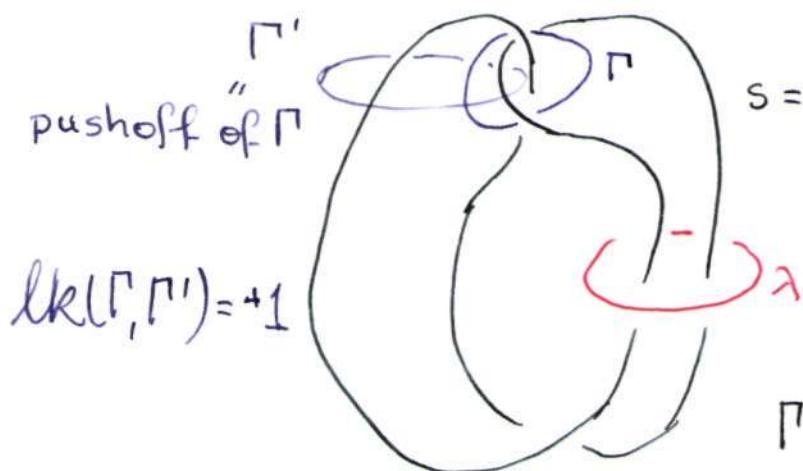
to find $\Lambda \subset \mathbb{CP}^2 \# 8\bar{\mathbb{CP}}^2$

then turn the dial

Dream can't come true



Alternative approach :



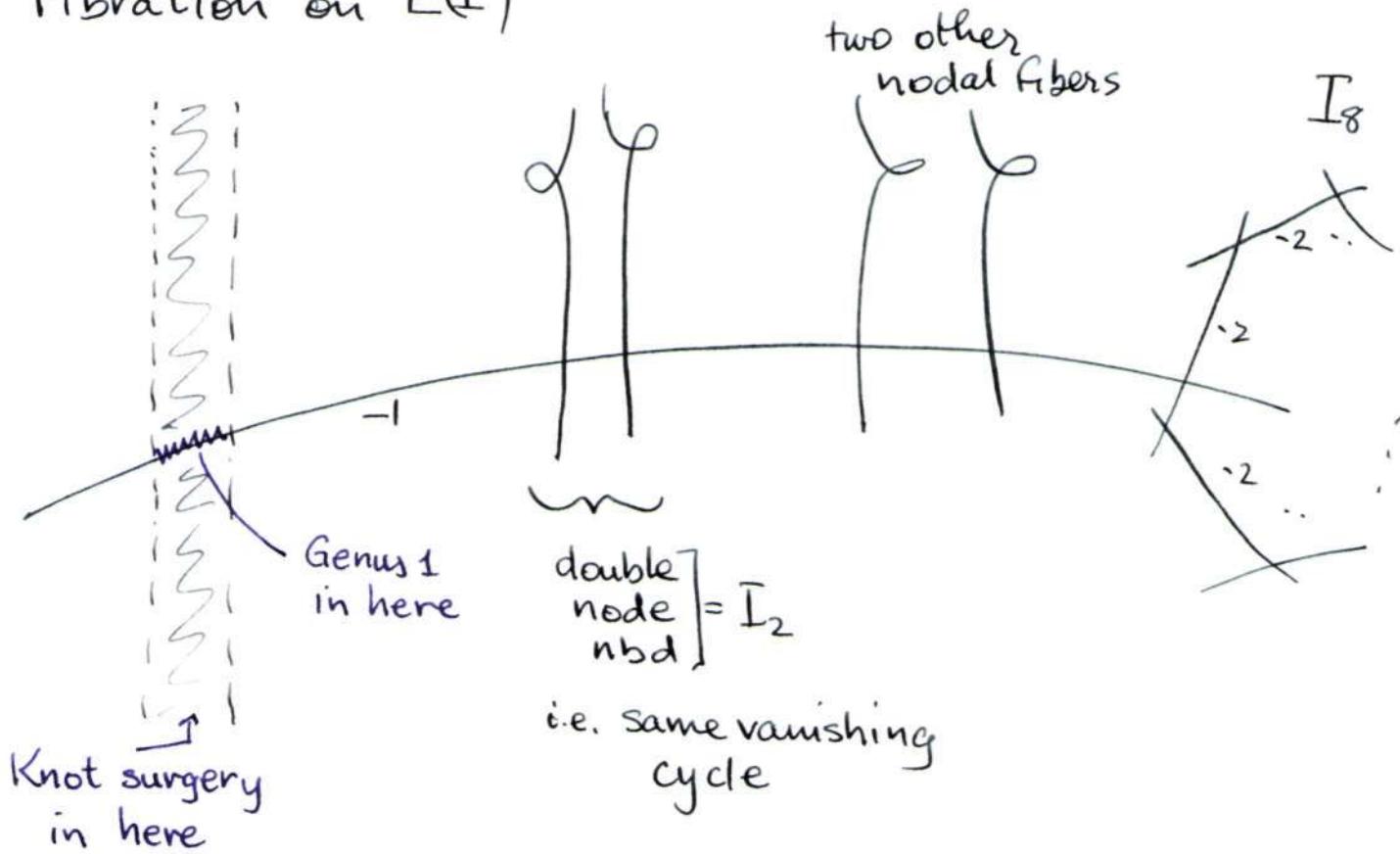
$$\text{lk}(\Gamma, \Gamma') = +1$$

$s = \text{unknot (with visible genus 1 Seifert surface)}$

$\Gamma' + 2$ mends to s
bound punctured disk

$$E(1) = E(1)_{K=5} - \text{Genus one pseudosection}$$

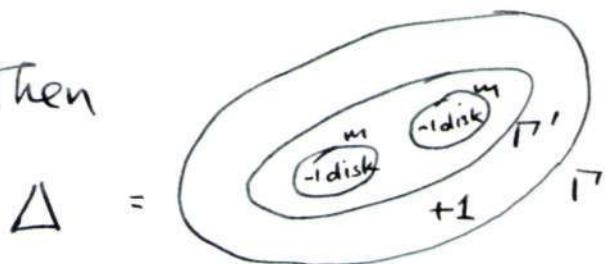
Fibration on $E(1)$



Freedom in knot surgery - gluing only restricted by longitude (K) $\leftrightarrow \partial D^2$.

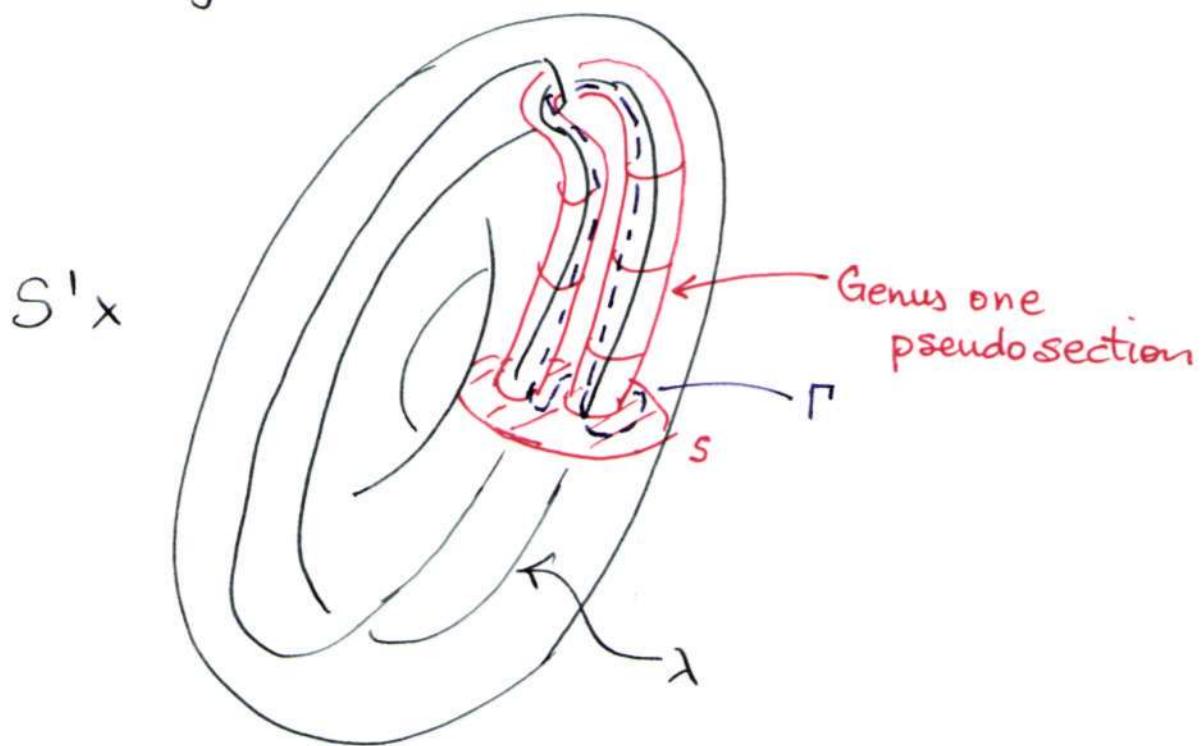
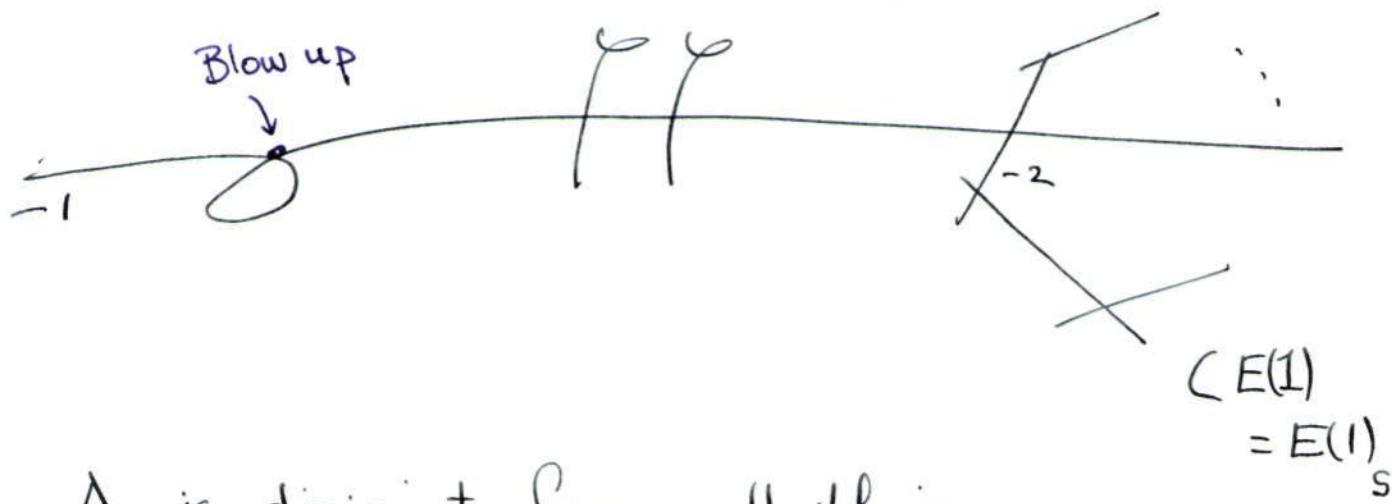
Can glue meridian m to van. cycle of double node nbd.

Then



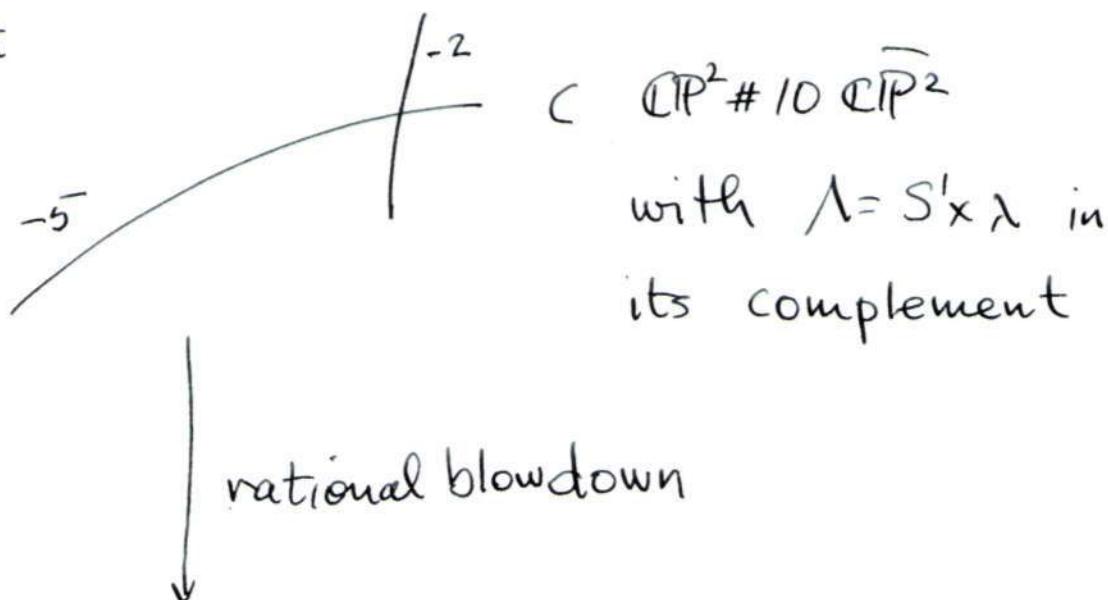
$\Rightarrow P$ bds disk with rel framing $= -1$.

Ambient surgery wrt Δ turns
pseudosection into immersed S^2



Blow up double pt of immersed
Pseudosection

Get



Get mfd R homeo to $\mathbb{CP}^2 \# 8 \bar{\mathbb{CP}}^2$

$$SW_R = 0 \quad (\text{easy calculation})$$

[With work, can see $R \cong \mathbb{CP}^2 \# 8 \bar{\mathbb{CP}}^2$]

$\Lambda \subset R$. Now spin the dial . . .

$\frac{1}{n}$ -surgeries on Λ give ∞ family as before.

Same techniques work for $k = 5, 6, 7$

How to find other useful nullhomologous tori

One way - Reverse engineering

Start with 4-mfd Y with :

- $\text{SW}_Y \neq 0$
- $b_1(Y) = 1$
- \exists "H₁-essential torus" i.e. T of self- $\cap = 0$ with loop γ on it generating $H_1(Y)$

Surger T , killing γ . Also kills a hyp. pair in H_2 .

Get X , $H_1(X) = 0$ & $H_2(Y) = H_2(X) \oplus (\text{hyp pair})$

Λ = Core torus of surgery in X

\wedge nullhomologous "0"-surgery on it \rightarrow γ

\Rightarrow Only many distinct smooth mfd's with same homology as X .

Ex. $\gamma = \text{Sym}^2(\Sigma_3)$ perform process
iteratively

Get ∞ 'ly many mfd's with $H_x = H_x(\mathbb{CP}^2 \# 3\bar{\mathbb{CP}}^2)$

$$Y = \bar{\Sigma}_2 \times \bar{\Sigma}_2 \quad \dots$$

Get ∞ 'ly many mols with $H_x = H_x(S^2 \times S^2)$