

CHAPTER 0: PRELIMINARIES

§1: RINGS

(0.1) Definition: A ring A is a (nonempty) set together with two binary operations, "+" and "·", such that:

- A is an abelian group with respect to "+".
- A is a semigroup with respect to "·".
- The distributive laws hold: For all $a, b, c \in A$:
$$a(b+c) = ab + ac \quad \text{and} \quad (b+c)a = ba + ca.$$

Note: A semigroup is a nonempty set with an associative operation.

Throughout the course we only study commutative rings A with an identity element 1_A , i.e. (A, \cdot) is a commutative semigroup with an identity element $1_A = 1$. In the following a ring A is a commutative ring with identity element. Note that we allow the case where $1=0$, i.e. A may be the null ring.

(0.2) Definition: Let A and B be rings, i.e. A and B are commutative rings with identity elements 1_A and 1_B , respectively. A map $\varphi: A \rightarrow B$ is called a homomorphism of rings if

- $\forall x, y \in A : \varphi(x+y) = \varphi(x) + \varphi(y)$
- $\forall x, y \in A : \varphi(xy) = \varphi(x)\varphi(y)$
- $\varphi(1_A) = 1_B$.

(0.3) Definition: Let A be a ring.

- A subset $B \subseteq A$ is called a subring of A if B is closed under addition and multiplication and if $1_A \in B$.
- A subset $I \subseteq A$ is called an ideal of A if:

- (i) I is an additive subgroup of A
 (ii) $\forall x \in I$ and $\forall y \in A : xy \in I$.

Note: Ideals are much more interesting than subrings! If $I \subseteq A$ is an ideal we can define the quotient ring A/I . The structure of A/I relates to the structure of A and is in many cases simpler.

(0.4) Remark: Let $\varphi: A \rightarrow B$ be a homomorphism of rings, $I \subseteq B$ an ideal.

Then:

- (a) $\varphi^{-1}(I) \subseteq A$ is an ideal called the contraction of I .
 (b) If $J \subseteq A$ is an ideal its image $\varphi(J)$ is not an ideal of B unless φ is surjective. The smallest ideal of B containing $\varphi(J)$ is called the extension of J .
 (c) $\varphi(A) \subseteq B$ is a subring of B .

(0.5) Remark: Let $\varphi: A \rightarrow B$ and $\psi: B \rightarrow C$ be homomorphisms of rings.

The composition $\psi \circ \varphi: A \rightarrow C$ is a homomorphism of rings.

(0.6) Remark: Let A be a ring, $I \subseteq A$ an ideal. In particular, $(A, +)$ is an abelian group and $I \subseteq A$ is a normal subgroup of A . The quotient group A/I is an abelian group under the operation: $[x] + [y] = [x+y] \quad \forall x, y \in A$. A/I is a commutative ring with identity $1_{A/I} = [1]$ under the multiplication: $[x][y] = [xy]$ for all $x, y \in A$. The canonical map:

$$\begin{aligned} \varepsilon: A &\longrightarrow A/I \\ x &\longmapsto [x] \end{aligned}$$

is a surjective homomorphism of rings.

(0.7) Remark: Let A be a ring and $I \subseteq A$ an ideal. The maps:

$$\Phi: \{J \mid J \subseteq A \text{ an ideal with } I \subseteq J\} \longrightarrow \{K \mid K \subseteq A/I \text{ an ideal}\}$$

$$J \longmapsto \varepsilon(J) = \Phi(J)$$

and

$$\Psi: \{K \mid K \subseteq A/I \text{ an ideal}\} \longrightarrow \{J \mid J \subseteq A \text{ an ideal with } I \subseteq J\}$$

$$K \longmapsto \varepsilon^{-1}(K) = \Psi(K)$$

are inverse to each other and order preserving, that is, $J_1 \subseteq J_2 \Rightarrow \Phi(J_1) \subseteq \Phi(J_2)$ and $K_1 \subseteq K_2 \Rightarrow \Psi(K_1) \subseteq \Psi(K_2)$. Conclusion: There is a one-to-one correspondence between the ideals of A which contain I and the ideals of A/I .

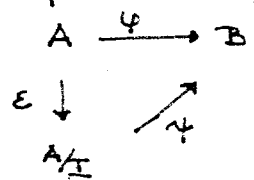
(0.8) Definition: Let $\varphi: A \rightarrow B$ be a homomorphism of rings. The kernel of φ , $\ker(\varphi)$, is defined by:

$$\ker(\varphi) = \varphi^{-1}(0) = \{x \in A \mid \varphi(x) = 0\}.$$

(0.9) Remark: (a) $\ker(\varphi)$ is an ideal of A .

(b) $\ker(\varphi) = (0) \iff \varphi$ is injective.

(0.10) Theorem: Let $\varphi: A \rightarrow B$ be a homomorphism of rings and $I \subseteq \ker(\varphi)$ an ideal of A . Then there is a unique homomorphism of rings $\psi: A/I \rightarrow B$ such that the diagram:



commutes, i.e. $\psi \circ \varepsilon = \varphi$, where $\varepsilon: A \rightarrow A/I$ is the canonical map.

(0.11) Corollary: (First Isomorphism Theorem) Let $\varphi: A \rightarrow B$ be a homomorphism of rings. φ induces an isomorphism of rings:

$$A/\ker(\varphi) \cong \varphi(A) \subseteq B.$$

(Note that $\varphi(A)$ is a subring of B .)

(0.12) Definition: Let A be a ring; $I, J, I_\lambda \subseteq A, \lambda \in \Lambda$, ideals of A .

(a) $I + J = \{a + b \mid a \in I \text{ and } b \in J\}$ the sum of the ideals I and J .

$\sum_{\lambda \in \Lambda} I_\lambda = \left\{ \sum_{\lambda \in \Lambda} a_\lambda \mid a_\lambda \in I_\lambda \text{ and all, but finitely many } a_\lambda = 0 \right\}$
the sum of the ideals I_λ .

$I \cdot J = \left\{ \sum_{i=1}^n a_i b_i \mid n \in \mathbb{N}, a_i \in I, b_i \in J \right\}$ the product of the ideals I and J .

(b) Let $S \subseteq A$ be a subset. The ideal (S) generated by S is the smallest ideal of A that contains S :

$$(S) = \bigcap_{\substack{J \subseteq A \text{ an ideal} \\ S \subseteq J}} J$$

If $\{a_\lambda\}_{\lambda \in \Lambda} \subseteq I$, we say that I is generated by $\{a_\lambda\}_{\lambda \in \Lambda}$ if I is the smallest ideal which contains $\{a_\lambda\}_{\lambda \in \Lambda}$.

(0.13) Remark: Let A be a ring and $I, J, K \subseteq A$ ideals.

(a) If $I = (a_\lambda)_{\lambda \in \Lambda}$, that is, if I is generated by $\{a_\lambda\}_{\lambda \in \Lambda}$, then

$$I = (a_\lambda)_{\lambda \in \Lambda} = \left\{ \sum_{\lambda \in \Lambda} b_\lambda a_\lambda \mid b_\lambda \in A \text{ and all, but finitely many } b_\lambda = 0 \right\}.$$

(b) The operations $+, \cdot, \cap$ on ideals are commutative and associative. Moreover, the distributive laws hold: $I \cdot (J + K) = I \cdot J + I \cdot K$.

(0.14) Definition: Let A_1, \dots, A_n be rings. The direct product of A_1, \dots, A_n is defined as:
$$A = \prod_{i=1}^n A_i = \{(a_1, \dots, a_n) \mid a_i \in A_i\}.$$

A is a commutative ring by componentwise addition and multiplication with identity element $1_A = (1, \dots, 1)$.

(0.15) Remark: Notation as in (0.14). For all $1 \leq j \leq n$ there are canonical maps:

$$\begin{array}{ccc}
 p_j: A \longrightarrow A_j & \text{and} & i_j: A_j \longrightarrow A \\
 (a_1, \dots, a_n) \longmapsto a_j & & a \longmapsto (0, \dots, 0, a, 0, \dots, 0) \\
 & & \uparrow \\
 & & j\text{-th}
 \end{array}$$

The projection map p_j is a surjective homomorphism of rings. The embedding i_j is injective with the property: $i_j(a+b) = i_j(a) + i_j(b)$ and $i_j(ab) = i_j(a)i_j(b)$ for all $a, b \in A_j$. However, i_j is not a homomorphism of rings since the identity element $1 \in A_j$ is not mapped into the identity element of A (if $n \geq 2$ and at least 2 of the rings A_j are not the null ring).

(0.16) Definition: A ring $A \neq \{0\}$ is called an integral domain if whenever $a, b \in A$ with $ab = 0$ then $a = 0$ or $b = 0$.

(0.17) Definition: Let A be a ring and $S \subseteq A$ a subset. S is called a multiplicative subset of A if $1 \in S$ and $\forall a, b \in S \Rightarrow ab \in S$.

(0.18) Definition and Proposition: Let A be a ring and $P \subseteq A$ an ideal.

The following conditions are equivalent:

- (a) A/P is an integral domain.
- (b) $P \neq A$ and $\forall a, b \in A: ab \in P \Rightarrow a \in P$ or $b \in P$.
- (c) $P \neq A$ and \forall ideals $I, J \subseteq A: IJ \subseteq P \Rightarrow I \subseteq P$ or $J \subseteq P$.
- (d) $A - P$ is a multiplicative subset of A .

An ideal $P \subseteq A$ which satisfies one of the above conditions is called a prime ideal of A .

Proof: (a) \Rightarrow (b): A/P an integral domain $\Rightarrow A/P \neq \{0\} \Rightarrow P \neq A$.

Let $a, b \in A$ with $ab \in P \Rightarrow ab + P = (a+P)(b+P) = 0+P$ in $A/P \Rightarrow a \in P$ or $b \in P$.

(b) \Rightarrow (c): Suppose $I \not\subseteq P$ and $J \not\subseteq P \Rightarrow \exists a \in I - P$ and $b \in J - P \Rightarrow ab \notin P \Rightarrow IJ \not\subseteq P$.

(c) \Rightarrow (b): Set $I = (a)$ and $J = (b)$. Then $ab \in P \iff IJ \subseteq P$.

(b) \Rightarrow (a): Let $a+P, b+P \in A/P$ with $(a+P)(b+P) = 0+P \Rightarrow ab \in P \Rightarrow a \in P$ or $b \in P \Rightarrow a+P = 0+P$ or $b+P = 0+P$.

(b) \iff (d): trivial.

(0.19) Examples: (a) Let A be a factorial domain and $p \in A$ a prime element. Then $(p) \subseteq A$ is a prime ideal.

(b) Let K be a field and x, y, z variables over K . The ideals (x, y) , (y, z) and (x, y, z) are prime ideals of $A = K[x, y, z]$.

(0.20) Definition: Let A be a ring and $m \subseteq A$ an ideal with $m \neq A$. m is a maximal ideal of A if for every ideal $I \subseteq A$ with $m \subseteq I$ either $m = I$ or $I = A$.

(0.21) Proposition: Let A be a ring and $m \subseteq A$ an ideal. The following are equivalent:

(a) A/m is a field.

(b) $m \subseteq A$ is a maximal ideal.

Proof: (a) \Rightarrow (b): The only ideals of the field A/m are (0) and A/m .

By (0.17) the only ideals of A containing m are m and A .

(b) \Rightarrow (a): Let $a+m \in A/m$ with $a+m \neq 0+m$. Then $a \notin m$ and

$m+(a) = A \Rightarrow \exists k \in m$ and $b \in A$ with $k+ab = 1 \Rightarrow (a+m)(b+m) = 1+m$.

§2: MODULES

(0.22) Definition: Let A be a ring. An A -module M is an abelian (additive) group

$(M, +)$ together with a map: $\varphi: A \times M \longrightarrow M$

$$(a, m) \longmapsto \varphi(a, m) = am$$

such that:

$$(a) \quad \forall a \in A, \forall m_i \in M: a(m_1 + m_2) = am_1 + am_2$$

$$(b) \quad \forall a_i \in A, \forall m \in M: (a_1 + a_2)m = a_1m + a_2m$$

$$(c) \quad \forall a_i \in A, \forall m \in M: (a_1 a_2)m = a_1(a_2 m)$$

$$(d) \quad \forall m \in M: 1m = m.$$

(0.23) Remark: Let M be an abelian group and

$$\text{End}(M) = \{ \tau: M \longrightarrow M \mid \tau \text{ is a homomorphism of groups} \}$$

be the set of all endomorphisms of M . $\text{End}(M)$ is a noncommutative ring under the operations $(\tau + \sigma)(m) = \tau(m) + \sigma(m)$ and $(\tau\sigma)(m) = \tau(\sigma(m)) \quad \forall m \in M$.

M is an A -module if and only if there is a homomorphism of rings:

$$\Phi: A \longrightarrow \text{End}(M)$$

(with $\Phi(1_A) = \text{id}_M$).

(0.24) Definition: Let M and N be A -modules.

(a) a map $\varphi: M \longrightarrow N$ is an A -module homomorphism or an A -linear map if:

$$(i) \quad \forall m, m' \in M: \varphi(m + m') = \varphi(m) + \varphi(m')$$

$$(ii) \quad \forall a \in A, \forall m \in M: \varphi(am) = a\varphi(m)$$

$$(b) \quad \text{Hom}_A(M, N) = \{ \varphi: M \longrightarrow N \mid \varphi \text{ } A\text{-linear} \}$$

denotes the set of all A -linear maps from M to N .

(0.25) Remark: Let M, N , and L be A -modules.

- (a) $\varphi \in \text{Hom}_A(M, N)$ and $\psi \in \text{Hom}_A(N, L) \Rightarrow \psi \circ \varphi \in \text{Hom}_A(M, L)$
- (b) Let $\varphi_1, \varphi_2 \in \text{Hom}_A(M, N)$ and define for all $m \in M$ and all $a \in A$:
- $$\left. \begin{aligned} (\varphi_1 + \varphi_2)(m) &:= \varphi_1(m) + \varphi_2(m) \\ (a\varphi_1)(m) &:= a\varphi_1(m) \end{aligned} \right\} \Rightarrow \varphi_1 + \varphi_2, a\varphi_1 \in \text{Hom}_A(M, N).$$

This defines an addition and scalar multiplication on $\text{Hom}_A(M, N)$. Under these operations $\text{Hom}_A(M, N)$ is an A -module.

- (c) $\text{Hom}_A(M, M)$ is a ring (noncommutative) under multiplication the composition of maps.

(0.26) Definition: Let M be an A -module.

- (a) A subset $N \subseteq M$ is an A -submodule of M if

- (i) N is a subgroup of $(M, +)$.
- (ii) $\forall a \in A$ and $\forall n \in N$: $an \in N$.

- (b) Let $N \subseteq M$ be an A -submodule. The factor group M/N is an A -module under the operation: $a(m+N) = am+N$ for $\forall a \in A, m \in M$. M/N is called the factor or quotient module of M by N .

(0.27) Remark: Let A be a ring and M and N A -modules.

- (a) A is naturally an A -module. The A -submodules of A are exactly the ideals of A .

- (b) Let $L \subseteq M$ be an A -submodule. The canonical map:

$$\begin{aligned} \varphi: M &\longrightarrow M/L \\ m &\longmapsto m+L \end{aligned}$$

is A -linear.

- (c) Let $\varphi: M \rightarrow N$ be an A -linear map. The kernel of φ $\ker(\varphi) = \{m \in M \mid \varphi(m) = 0\}$ is a submodule of M and the image of φ $\text{im}(\varphi) = \varphi(M)$ is a submodule of N .

- (d) The module $M/\text{im}(\varphi) = \text{coker}(\varphi)$ is called the cokernel of φ .

(e) Let $\varphi: M \rightarrow N$ be an A -linear map. Then

φ is injective $\iff \ker(\varphi) = 0$

φ is surjective $\iff \text{im}(\varphi) = N \iff \text{coker}(\varphi) = 0$

(f) Let $N \subseteq M$ be an A -submodule. Then there is a 1-1 correspondence between the submodules L of M with $N \subseteq L$ and the submodules of M/N .

(0.28) Proposition: Let $\varphi: M \rightarrow N$ be a linear map of A -modules and $U \subseteq M$ a submodule with $U \subseteq \ker(\varphi)$. There is exactly one A -linear map $\bar{\varphi}: M/U \rightarrow N$ such that the diagram

$$\begin{array}{ccc} M & \xrightarrow{\varphi} & N \\ \text{can.} \downarrow & \nearrow & \\ M/U & \xrightarrow{\bar{\varphi}} & \end{array}$$

commutes. Moreover, $\ker(\bar{\varphi}) = \ker(\varphi)/U$.

(0.29) Remark: (1st Isomorphism Theorem) If $U = \ker(\varphi)$ then $\bar{\varphi}$ is injective and $M/\ker(\varphi) \cong \text{im}(\varphi)$.

(0.30) Examples: (a) Let $A = K$ be a field. The K -modules are exactly the K -vector spaces.

(b) Every abelian group $(M, +)$ is a \mathbb{Z} -module by: $\forall n \in \mathbb{Z}, m \in M$:

$$n=0: 0m = 0; \quad n>0: nm = \underbrace{m + \dots + m}_{n\text{-times}}; \quad n<0: nm = \underbrace{(-m) + \dots + (-m)}_{(-n)\text{-times}}$$

(c) Let A be a ring; I an index set. Consider the set:

$$A^{(I)} = \{ f: I \rightarrow A \mid f \text{ a map with } f(i) = 0 \text{ for all, but finitely many } i \in I \}$$

and define addition and scalar multiplication on $A^{(I)}$ by:

$$\forall f, g \in A^{(I)}, \forall a \in A, \forall i \in I: \quad (f+g)(i) = f(i) + g(i) \\ (af)(i) = af(i).$$

$A^{(I)}$ is an A -module under these operations. Modules of this form are called free A -modules. Usually we write elements of $A^{(I)}$ as sequences

(a) $\{a_i\}_{i \in I} \in A^{(I)}$ where $a_i = 0$ for almost all $i \in I$. If I is a finite set with $|I| = n$ we write $A^{(I)} = A^n$.

(0.31) Remark: Let M be an A -module and $M_i \subseteq M, i \in I$, submodules of M .

(a) $\sum_{i \in I} M_i = \{ \sum_{i \in I} m_i \mid m_i \in M_i \text{ and } m_i = 0 \text{ for almost all } i \in I \}$
is a submodule of M , called the sum of M_i .

(b) $\bigcap_{i \in I} M_i$ is a submodule of M .

(c) $\sum_{i \in I} M_i = \bigcap_{\substack{N \subseteq M \text{ a submodule} \\ M_i \subseteq N \forall i \in I}} N$ i.e. $\sum M_i$ is the smallest submodule of M which contains M_i for all $i \in I$.

(0.32) Proposition: (More Isomorphism Theorems) Let M be an A -module and $M_1, M_2 \subseteq M$ submodules.

(a) If $M_2 \subseteq M_1$ then $(M/M_2)/(M_1/M_2) \cong M/M_1$.

(b) $(M_1 + M_2)/M_1 \cong M_2/(M_1 \cap M_2)$.

Proof: (a) Define $\varphi: M/M_2 \rightarrow M/M_1$ by $\varphi(m+M_2) = m+M_1$. φ is a well defined, A -linear map with $\ker(\varphi) = M_1/M_2$. By the 1st Isomorphism Theorem (0.29): $(M/M_2)/(M_1/M_2) \cong \text{im}(\varphi) = M/M_1$.

(b) Consider the map: $\psi: M_2 \rightarrow (M_1 + M_2)/M_1$
 $m \rightarrow m+M_1$.

ψ is a surjective A -linear map with $\ker(\psi) = M_1 \cap M_2$. The statement follows again with (0.29).

(0.33) Definition: Let M be an A -module and $\{x_i\}_{i \in I} \subseteq M$.

(a) $\{x_i\}_{i \in I}$ is called a system of generators of M if $\sum_{i \in I} (Ax_i) = M$.

(b) $\{x_i\}_{i \in I}$ is called linearly independent if whenever $a_i, b_i \in A$ with

$\sum_{i \in I} a_i x_i = \sum_{i \in I} b_i x_i$ then $a_i = b_i$ for all $i \in I$. (\sum' indicates finite sums, i.e.

$a_i = 0$ and $b_i = 0$ for all but finitely many $i \in I$.)

(c) $\{x_i\}_{i \in I}$ is called a basis of M if $\{x_i\}_{i \in I}$ is a linearly independent system of generators of M .

(d) M is called a finitely generated or finite (!) A -module if M has a finite system of generators.

(0.34) Remark: An A -module M is free $\iff \exists$ an index set I such that $M \cong A^{(I)} \iff M$ has a basis.

Let $\{M_i\}_{i \in I}$ be a family of A -modules. Set

$$\bigoplus_{i \in I} M_i = \left\{ f: I \rightarrow \bigcup_{i \in I} M_i \mid f \text{ is a map with } f(i) \in M_i \text{ and } f(i) = 0 \text{ for all but finitely many } i \in I \right\}$$

Usually we write the elements of $\bigoplus M_i$ as 'sequences' $(m_i)_{i \in I}$ where $m_i \in M_i$ and $m_i = 0$ for almost all $i \in I$. $(m_i)_{i \in I}$ represents the map: $f: I \rightarrow \bigcup M_i$ with $f(i) = m_i \forall i \in I$.

$\bigoplus_{i \in I} M_i$ is an A -module under the operations:

$$\begin{aligned} (m_i) + (n_i) &= (m_i + n_i) \\ a(m_i) &= (am_i) \quad \forall a \in A. \end{aligned}$$

$\bigoplus_{i \in I} M_i$ is called the direct sum of $\{M_i\}_{i \in I}$.

(0.35) Remark: If $M_i = A$ for all $i \in I$, then $\bigoplus_{i \in I} A = A^{(I)}$.

(0.36) Proposition: Let N be an A -module and $M_1, \dots, M_n \subseteq N$ submodules.

Suppose:

(a) $\sum_{i=1}^n M_i = N$

(b) $\forall 2 \leq i \leq n: M_i \cap (M_1 + \dots + M_{i-1}) = (0)$.

Then $N \cong \bigoplus_{i=1}^n M_i$

Proof: By induction on n . $n=1$: trivial

$n-1 \Rightarrow n$: Consider the A -linear map $\varphi: \bigoplus_{i=1}^n M_i \rightarrow N$ defined by

$\varphi(m_1, \dots, m_n) = m_1 + \dots + m_n$. By (a) φ is surjective. Suppose $\varphi(m_1, \dots, m_n) =$

$\sum_{i=1}^n m_i = 0 \Rightarrow m_n = -\sum_{i=1}^{n-1} m_i \in M_n \cap (M_1 + \dots + M_{n-1}) = (0)$. Thus

$m_n = 0$ and $\sum_{i=1}^{n-1} m_i = 0$. Apply the induction hypothesis to $N' = \sum_{i=1}^{n-1} M_i \subseteq N$.

Since $N' \cong \bigoplus_{i=1}^{n-1} M_i$ we obtain $m_i = 0$ for all $i=1, \dots, n-1$. This shows that

φ is injective.

Let $\{M_i\}_{i \in I}$ be a family of A -modules. For all $i \in I$ there are

A -linear maps: $\chi_i: M_i \rightarrow \bigoplus_{i \in I} M_i$ and $\pi_i: \bigoplus_{i \in I} M_i \rightarrow M_i$

defined by $\chi_i(m) = (m_j)$ where $m_j = 0$ if $i \neq j$ and $m_i = m$ and

$$\pi_i(m_j) = m_i.$$

π_i is surjective and is called the projection onto M_i . χ_i is injective. We

consider M_i a submodule of $\bigoplus_{i \in I} M_i$ via χ_i . Note that $\pi_i \circ \chi_i = \text{id}_{M_i}$.

(0.37) Proposition: Let $\{M_i\}_{i \in I}$ be a family of A -modules and N an A -module.

(a) Suppose that for every $i \in I$ there is given an A -linear map

$f_i: M_i \rightarrow N$. Then there is exactly one A -linear map $f: \bigoplus_{i \in I} M_i \rightarrow N$

with $f \circ \chi_i = f_i \ \forall i \in I$ (or $f|_{M_i} = f_i$, considering M_i a submodule of $\bigoplus_{i \in I} M_i$.)

(b) Suppose for all $i \in I$ there is an A -linear map $g_i: N \rightarrow M_i$

such that for all $n \in N$: $g_i(n) = 0$ for all but finitely many $i \in I$.

Then there is a unique A -linear map $g: N \rightarrow \bigoplus_{i \in I} M_i$ with

$\pi_i \circ g = g_i$ for all $i \in I$.

Proof: Homework